

**Multi-Scale Mathematical Models of Airway  
Epithelium to Facilitate Cystic Fibrosis Treatment**

by

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# Multi-Scale Mathematical Models of Airway Epithelium to Facilitate Cystic Fibrosis Treatment

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University of Pittsburgh, 2019

Cystic Fibrosis is a life-shortening, autosomal recessive disease caused by mutations in the Cystic Fibrosis Transmembrane Conductance Regulator (CFTR) gene. Cystic Fibrosis is the most common lethal genetic disorder among Caucasians, and occurs at a rate of 1 in every 3,400 births in the United States. The CFTR gene codes an anion channel expressed on the mucosal side of the epithelia of multiple organ systems, including the digestive tract, reproductive organs, pancreas, and airways. Loss of CFTR expression or function results in an osmotic imbalance due to defective ion and water transport. In the respiratory tract, this leads to airway surface liquid hyperabsorption and mucus dehydration causing decreased mucociliary clearance rates. Failure to clear mucus and other inhaled debris favors the development of mucus plugs and the prolonged colonization of harmful pathogens. These conditions lead to a sustained, unregulated inflammatory response that results in severe tissue damage and, ultimately, respiratory failure, the leading cause of Cystic Fibrosis mortality.

Cystic Fibrosis therapeutic development is largely dependent on the availability of model systems that can be used to test and optimize therapies ahead of clinical use. These systems include networks of interactive elements that can be overly complex to exhaustively explore experimentally. The work described here, focuses on the development of cell-scale, mechanistic, and biologically relevant mathematical models that provide information about the contribution of individual mechanisms to experimental outcomes.

The models were trained and validated with data obtained from human bronchial and nasal epithelial cell cultures from donors with Cystic Fibrosis, non-Cystic Fibrosis controls, and carriers of a single disease-causing allele. Within this context, we have use these models as tools to explore the underlying mechanisms behind Cystic Fibrosis pathophysiology not easily accessible experimentally. These predictions were further enhanced through the inclusion of similar estimates generated from separately developed models of *in vivo* lung-scale



function, as well as standard clinical measurements of airway function. Model predictions provide us with unique and novel parametric descriptions of mechanistic and physiological differences between the three populations and across multiple spatiotemporal scales. We envision using these models as means to facilitate the deployment of personalized treatment protocols, where cells sampled and cultured from individuals could be used to generate patient-specific *in silico* predictors of lung-scale disease state and therapeutic response.

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## Preface

*No debes permitirte estar encadenado al destino, ni ser un esclavo de tus genes. Los seres humanos pueden escoger que tipo de vida quieren vivir. Lo que es crucial es que escojas vivir... y que vivas.* -Naomi Hunter, Metal Gear Solid

Esta disertación no es solo el resultado de mi esfuerzo, sino mas bien es una reflexión del apoyo de un vasto grupo de personas que decidieron creer en mí.

Esto incluye, por supuesto, a mis consejeros y mentores que me proveyeron con el patrocinio y la guianza necesaria para que pudiera alcanzar mis metas. Incluso en aquellos momentos cuando fui mas terco y argumentativo de lo necesario.

Igualmente, incluye a todos mis amigos y compañeros que a traves de sus ocurrencias, consejos y alegrías hicieron de Pittsburgh mi hogar. No importa si fue mientras nos tomabamos un trago, bailabamos, conversabamos acerca de algo banal, ó cuando me escuchaban quejarme de alguna tontería; su apoyo siempre sera increíblemente agradecido. Los últimos cinco años hubieran sido miserables sin ustedes.

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*You mustn't allow yourself to be chained to fate, to be ruled by your genes. Human beings can choose the kind of life that they want to live. What's important is that you choose life... and then live.* -Naomi Hunter, Metal Gear Solid

This dissertation is not just the results of my work, but rather it is a reflection of the support of a vast group of people that decided to believe in me.

This group includes my mentors and advisors. You provided me the support and guidance I needed in order to reach my goals. Specially, in those moments when my stubbornness got the better of me.

It also includes my friends and classmates. Who through their advise, companionship and cheerfulness helped turn Pittsburgh into home. Regardless of if it was while we shared a drink, danced, talked about something silly, or while you listened to my complains, your support is truly appreciated. The last five years would have sucked without you all.

Most importantly, I dedicate my work to my parents. You never doubted, never complained or made excuses, and never gave up. You are my first and best cheerleaders. Everything I have accomplished, and anything else I may achieve will always be because of you.

## 1.0 Introduction

### 1.1 Airway Epithelial Structure and Function

Epithelia are inherently interfacial tissues. They exist ubiquitously across the entire body, and serve a myriad of different specialized functions depending on their location. The skin, for example, primarily serves as the outermost protective layer of the body. Stomach epithelia regulate pH and facilitates digestion; intestinal epithelia facilitate water and nutrient absorption; proximal tubules facilitate the secretion of excess solutes and fluids; while lung epithelia hydrate the airway and facilitate gas exchange during breathing.

Regardless of their various functions, all epithelial tissues share a general structural formula: a group of cells joined laterally through a junctional space that facilitates intercellular communications and establishes a tight, mesh-like layer. This conformation creates two distinct interfacial membranes: the apical (or mucosal) membrane that interacts with the lumen, and the basolateral (or serosal) membrane where the epithelium attaches to other tissue in the body. Figure 1.1 depicts the general structure of all epithelial tissues.

Transport in epithelial tissue can occur through transcellular pathways, or across the the paracellular pathway along the junctional space in between the cells. The paracellular pathway is delimited by tight junctions that connect the individual cells in the apical side and act as a physical boundary that regulates the permeability of the epithelial tissue. More permeant tight junctions lead to “leakier” tissue (*e.g.* airway, intestine), while the reverse (*e.g.* kidney, stomach) leads to significantly reduced paracellular liquid and solute transport. Changes in “leakiness” can therefore cause drastic differences in the hydraulic permeability, electrical resistance and conductivity of an epithelial tissue.

The characterization of epithelial transport has been historically complex [106]. Transcellular ionic permeability is traditionally assessed through electrophysiological measurements of channel and cellular conductivity. However, the membrane structure of the epithelia results in a more physiologically complex system than dispersed cells, and thus requires more technical nuance and careful examination.

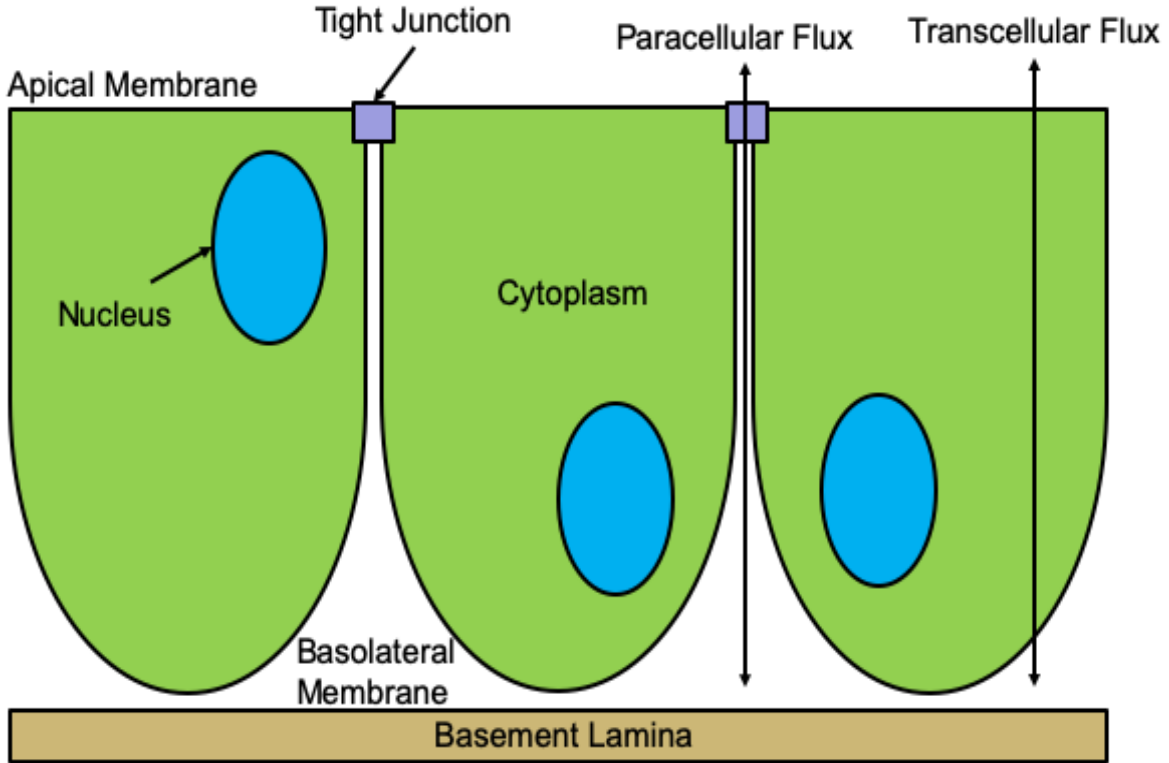


Figure 1.1: Diagram of the general structure of epithelial membranes. Epithelial cells adhere to a basement membrane of connective tissue. Transcellular transport occurs in all epithelia via channels and pumps in the apical and basolateral membranes. Paracellular transport occurs through the tight junctions.

## 1.2 Fundamentals of Human Airway Electrophysiology

When considering the transport of ions across a cellular membrane, the electrochemical potential ( $\hat{\mu}$ ) represents the main driving force behind ionic transport. The total change in potential energy behind this transport ( $\Delta\hat{\mu}$ ) is the resulting driving force after the inclusion of both the membrane potentials across the membrane ( $E_m$ ) and the chemical potentials ( $\mu$ ).  $E_m$  is affected by the changes in the distribution of electrical charges across the membrane regardless of the chemical species, and is typically described through the Nernst equation. In contrast,  $\mu$  is dependent on the concentrations ( $[X_i]$ ) and activity coefficients ( $y_i$ ) of each

species  $i$  in each compartment. If we considered a single-ion system, equation 1.1 defines  $\Delta\hat{\mu}$ , such that  $R$  is the gas constant,  $T$  is the temperature of the system,  $z$  is the valence of ion  $i$ , and  $F$  is the Faraday constant.

$$\Delta\hat{\mu} = \Delta\mu + zFE_m = RT \ln \left( \frac{[X_i]_{in}}{[X_i]_{out}} \right) + \frac{RT}{zF} \ln \left( \frac{[X_i]_{in}}{[X_i]_{out}} \right) \quad (1.1)$$

However, most biological systems of relevance are not single-ion systems. Therefore, depending on the molar and charge distribution and the permeability of the membrane to each individual ion, it is possible for the conditions that lead to electrical equilibrium ( $V_m = 0$ ) to not also lead to chemical equilibrium ( $\Delta\mu = 0$ ), and vice versa. For example, imagine a two reservoir system divided by a semipermeable membrane, where each compartment contains two ionic species ( $i$  and  $j$ ) of the same valence. Each compartment has equimolar concentrations of each ion, but one compartment has a lower overall molarity. At time zero this system is in neither chemical nor electrical equilibrium. If we define the membrane to be permeable to both ions, then the system will reach equilibrium when the net change of ion transport is zero (*i.e.* both species become equimolar across the two compartments). However, if the membrane is only permeable to one species ( $i$ ), electrochemical equilibrium is reached when the net transport of species  $i$  is zero. In other words, when the chemical gradient is offset by the charge distributions, without the need for species  $i$  to reach equimolar conditions across the two compartments.

This example highlights the need to account for the electrostatic contributions to ionic transport when dealing with polyionic solutions. In the case of airway epithelial electrophysiology, the electrolytes considered in the system are  $\text{Na}^+$ ,  $\text{Cl}^-$ , and  $\text{K}^+$ . This redefines  $E_m$  through the Goldman-Hodgkins-Katz equation to account for the three different ionic species (equation 1.2), where  $P_i$  is the permeability of ion  $i$ , and  $V_m$  replaces the  $E_m$  in equation 1.1.

$$V_m = \frac{RT}{F} \ln \left( \frac{P_{Na}[Na_{out}^+] + P_K[K_{out}^+] + P_{Cl}[Cl_{in}^-]}{P_{Na}[Na_{in}^+] + P_K[K_{in}^+] + P_{Cl}[Cl_{out}^-]} \right) \quad (1.2)$$

In equation 1.1, the two terms on the right hand side can be described as the diffusive and electrical driving forces, respectively. From these expressions, Goldman derived the single-ion flux relationship across a cellular membrane, assuming a negligible membrane thickness and constant electromagnetic field [66], as shown in equation 1.3. Here,  $\Gamma^+$  and  $\Gamma^-$  are the upper and lower bounds on the feasible flux conductivities, respectively. It is clear from equation 1.3 that ionic transport is inherently nonlinear in biological systems. This also implies that common linear transport relationships such as Fick's law (chemical diffusion) or Ohm's law (electrical conductivity) cannot single-handedly describe this type of transport, at least not under non-asymptotic behavior.

$$J_i = \frac{zF^2V_m}{RT} \left( \frac{\Gamma^+ - \Gamma^- \exp \frac{RTV_m}{F}}{1 - \exp \frac{RTV_m}{F}} \right) \quad (1.3)$$

Having defined a physically relevant way to describe ionic transport across biological membranes, it is possible to extrapolate these flux equations to explain ionic transport dynamics in biological systems in a compartmental fashion. If, for example, we define our system to be a single cell, the intracellular molar dynamics for a solute  $i$  ( $N_i$ ) can be described through an ordinary differential equation by considering the different influx and efflux pathways relevant to that cell, as seen in equation 1.4. There,  $A_C$  is the surface area of the cell membrane, and  $K$  is the vector of all distinct pathways that conduct solute  $i$  across the cell membrane. This general structure can be used to define systems of transepithelial ion transport for multiple species across multiple pathways, expressed in distinct membranes.

$$\frac{dN_i}{dt} = A_c \sum_{\mathbf{K}} J_{i,k} \quad (1.4)$$

The Ussing Chamber can be applied to study individual membrane potentials. Figure 1.2 shows the simplest equivalent circuit diagram of the epithelial ion transport system. From this representation it is easily observed that the three general transport pathways (apical and basolateral membranes and the paracellular junction) are individual resistors that provide a measurable electrical effect and can be studied individually.

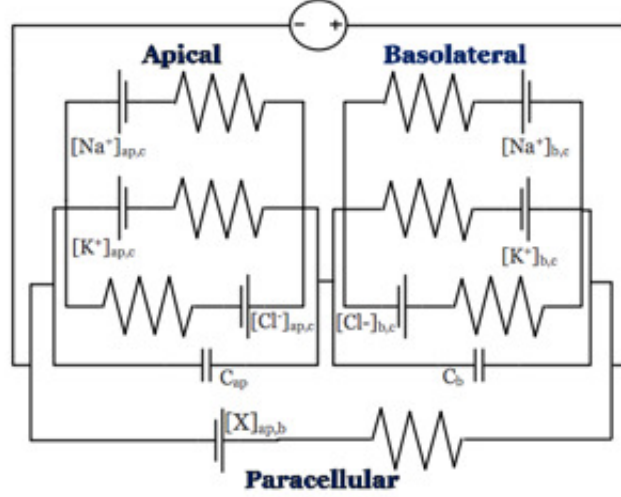


Figure 1.2: Diagram of the circuit abstraction of a epithelial monolayer. Ion channels and transporters are considered resistors that regulate ion displacement. The plasma membranes are taken to be slow-charging capacitors that inhibit flux of ions through non-physiological pathways, and the electrochemical potential for each ionic species is considered as a potential drop.

From this structure, we can derive an expression to assess the total epithelial resistance ( $R_T$ ), as seen in equation 1.5. Here  $R_P$  and  $R_C$  represents the paracellular and cellular resistances, respectively.  $R_P$  is due to the occluding effect of junction proteins like actin, claudins, and connexins [36, 84].

$$\begin{aligned}
 R_T &= \left[ \frac{1}{R_P} + \frac{1}{R_C} \right]^{-1} \\
 &= \left[ \frac{1}{R_P} + \frac{1}{R_{Ap} + R_{Bl}} \right]^{-1}
 \end{aligned} \tag{1.5}$$

The density and structure of these proteins is what largely determines the leakiness of an epithelial tissue type, where tighter epithelia have increased electrical resistance. The apical ( $R_{Ap}$ ) and basolateral ( $R_{Bl}$ ) resistances are related to the density of channels and

transporters in the apical and basolateral membranes, respectively. Higher densities and transport rates correlate with lower resistances. If we assumed a relatively even channel expression per surface area, the relatively smaller interfacial area of the lumen means the apical membrane acts as the transport limiting step. [84].

### 1.3 Cystic Fibrosis: A Multi-Scale Disease

Cystic Fibrosis (CF) is an autosomal recessive disease that arises from a defect in the Cystic Fibrosis Transmembrane Conductance Regulator (CFTR) gene [112]. It is the most common lethal recessive autosomal disease among populations of Caucasian descent, affecting around 70,000 patients worldwide, and with roughly 1,000 new cases diagnosed every year [58, 143]. CF is a systemic disease, affecting multiple organs, with the most deleterious effects taking place in the airways [141].

The CFTR gene codes for an anion channel expressed primarily on the apical membrane of epithelial cells [151]. The absence or dysfunction of this channel and the associated loss of  $\text{Cl}^-$  and  $\text{HCO}_3^-$  transport result in an electroosmotic imbalance of the epithelial membrane. These disruptions can have broad consequences in the homeostatic function of multiple tissues. For example, liquid hyperabsorption in the proximal ducts of the pancreas leads to a drastic increase in the viscosity of exocrine secretions, decreasing the flow of multiple digestive enzymes [101]. This build up induces a chronic inflammatory response, pancreatitis, which leads to pancreatic insufficiency ( $\sim 90\%$  of the CF population) [61]. In more severe cases, this also causes the destruction of islet cells, triggering the development of CF-related diabetes mellitus [61]. Similarly, liquid hyperabsorption in the intestines tends to manifest as Meconium ileus, a blockage of the small intestine during the infancy of CF patients [112]. Meconium ileus in patients with Cystic Fibrosis is widely accepted as the leading cause of bowel obstruction among newborns [185]. Similarly, congenital bilateral absence of the vas deferens is responsible for approximately 2% of cases of male infertility [107], see Figure 1.3.



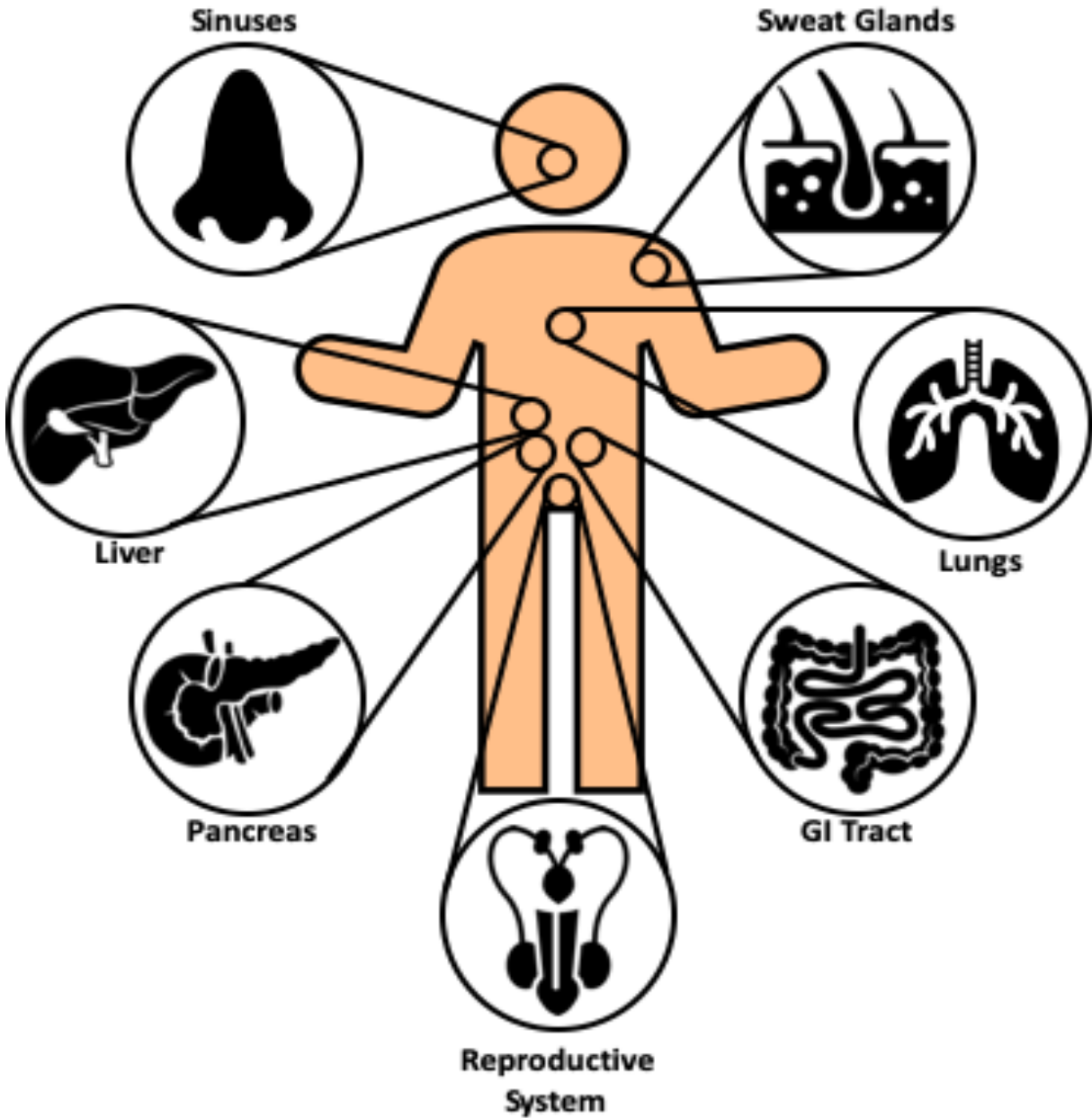


Figure 1.3: Description of primary organs affected by Cystic Fibrosis. The ubiquitous expression of CFTR across multiple epithelia leads to disruption in multiple organ systems.

However, the pathogenesis and the subsequent progression of CF airway disease, the primary cause of morbidity for patients with CF [179], is not entirely understood. General consensus suggests that lung disease starts to develop within the first few months of life for

human infants with Cystic Fibrosis. Much of this knowledge has been derived from physiological studies of neonatal CF animal models. These studies have highlighted the presence of multiple physiological hallmarks of CF airway disease, including airway malformation, dysfunctional MCC, increased airway surface liquid (ASL) acidity, dehydration of the periciliary liquid (PCL), and reduced pathogenic killing potential [23, 81, 88, 89, 148]. Furthermore, *in silico* predictions of lung-level mucociliary clearance (MCC) and absorption (ABS) dynamics informed with radiological studies in pediatric patients with CF suggest a possible absorptive defect prior to adulthood [120].

### 1.3.1 Cystic Fibrosis Airway Disease

CF lung pathophysiology is characterized by liquid hyperabsorption, ASL depletion, and mucus accumulation. This, in turn, creates the perfect environment for opportunistic pathogens to thrive in, further compromising the integrity of the membrane and, possibly, reducing the MCC rate [111]. The inability to clear pathogens will then lead to a vicious cycle of chronic infection and further airway damage that eventually leads to premature respiratory failure (see Figure 1.4). These elements of CF progression have been identified and characterized through a multitude of methods, including *in vivo* functional lung imaging studies [15, 30], *in vitro* characterization of fully differentiated primary airway cell lines [32], and the development of multiple CF animal models, including ferret, mouse, pig, and rat [93, 188, 194]. These combined approaches have provided a wealth of knowledge regarding CF disease; they have also led to the development of multiple therapeutics that aim to both ameliorate symptoms and correct the underlying anion channel defect, nearly quadrupling of the average life expectancy of a person with CF over the last fifty years [114].

Most commonly, the progression of CF airway disease is tracked through longitudinal spirometric measurements. Forced expiratory volume in 1 second (FEV1) and the rate of pulmonary exacerbations (rate at which the patient requires hospitalization or treatment with IV antibiotics) are some of the primary outcomes in clinical trials of pulmonary therapies for CF [1, 53]. A decrease in FEV1 is indicative of a loss of lung function and the possible worsening of bronchial obstruction [157, 162]. However, the rate of progression of

CF airway disease can vary significantly. For instance, one study of 4,923 CF patients aged 6 to 17 in the US and Canada from 1994 to 2005 tried to estimate mean rates of change of %FEV1. The study found several factors predictive of increased decline in FEV1 across age-stratified groups, including age, gender (female), presence of crackles, FEV1 baseline, as well as *pseudomonas aeruginosa* colonization, amount of sputum production, and use of antibiotic to treat pulmonary exacerbation among all the groups [97].

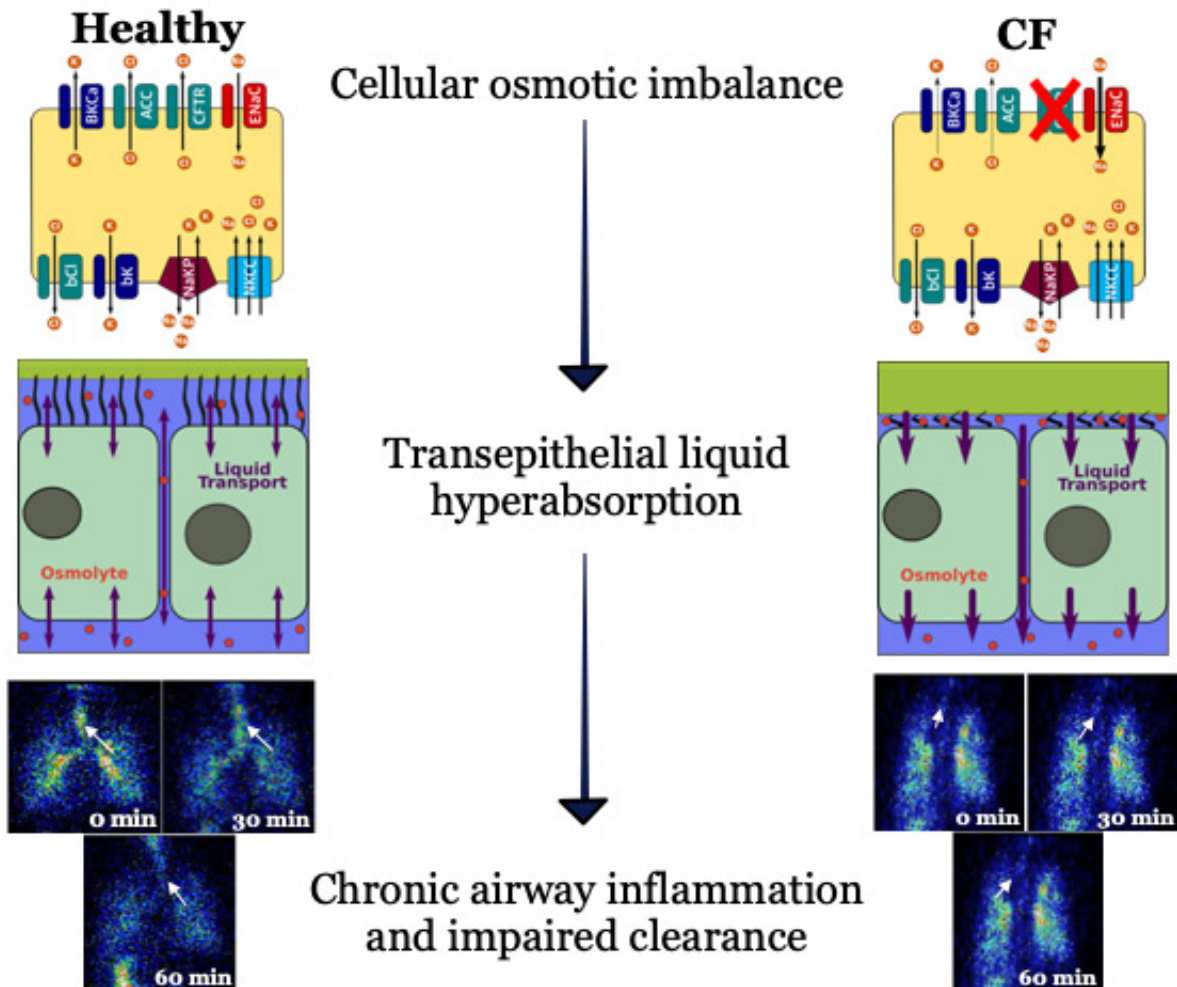


Figure 1.4: Flowchart depicting the multi-scale nature of disease progression in Cystic Fibrosis, starting from the underlying genetic mutation and leading to osmotic imbalances, airway obstruction, chronic inflammation, and eventual respiratory failure.

However, the multi-scale, complex, and highly heterogeneous nature of the disease makes it particularly difficult to study. As a genetic disease, CF disease phenotype is obviously related to the genotype of patients, but the relationship between a patient’s CFTR genotype and their phenotype is not clear. In fact, recent studies have shown that CF disease phenotype is related to more than just CFTR genotype. Genome-wide association studies have linked five non-CFTR gene loci that display significant correlation with variations in CF airway disease severity [5, 35], response to CFTR-targeted therapeutics [34, 182], and the incidence rates of other CF comorbidities [67]. Furthermore, these studies have helped identify meaningful variations of DNA methylation patterns present within previously identified modifier genes of CF lung disease severity [115]. There is significant work left to do in order to understand the full scope of CF airway disease pathophysiology across the different physiological scales.

### 1.3.2 Cell Level Pathophysiology

At its most basic level, Cystic Fibrosis is a disease that disrupts the normal ion transport functions of the cell. In healthy tissue, water, ions, and other solutes are transported in and out of cells through a complex network of active and passive pathways, with the goal of regulating and controlling several aspects of intracellular and pericellular function [190]. In epithelial cells, this network is particularly complex due to the polarized conformation that these cells adopt. Epithelial cells differentiate as membranes made of adjacent cells that develop distinct potential differences across each end of the cellular compartment. The intracellular compartment acts as a central reservoir that interacts with both the basolateral (serosal) and apical (luminal) compartments through the transport of ion, water, and other molecules across each respective membrane. Similarly, the apical and basolateral sides can also interact through the paracellular pathway. The highly dynamic nature of the airway, as well as the unique liquid/air interface at which these cells operate, makes them particularly difficult to characterize.

The cystic fibrosis transmembrane conductance regulator (CFTR) is a transmembrane anion channel that, under regular circumstances, is known to favor the secretion of  $\text{Cl}^-$

and  $\text{HCO}_3^-$  ions. CFTR is also involved, either directly or indirectly, in the regulation of other ion channels and transporters, including the epithelial sodium channel (ENaC) [76], the solute carrier family 26 member 9 (SLC26A9) [8], and aquaporin 3 (AQP3) [90, 171]. In CF, CFTR is absent or dysfunctional. In the airway, this eliminates a key pathway for apical  $\text{Cl}^-$  secretion, and creates an electroosmotic imbalance that favors the hyperabsorption of water from the luminal to the serosal compartment.

Airway epithelium includes apical chloride transport as facilitated by both CFTR and alternative channels, such as calcium-activated chloride channels (CaCCs) and SLC26A9 [8, 9, 84]. Apical  $\text{Na}^+$  and  $\text{K}^+$  transport occurs through ENaC and the large conductance  $\text{Ca}^{2+}$  activated  $\text{K}^+$  (BKCa) channels, respectively [84]. Basolateral  $\text{Na}^+$ ,  $\text{Cl}^-$ , and  $\text{K}^+$  transport occurs primarily through mechanisms including the Sodium-Potassium-2-Chloride (NaKCC) co-transporter and  $\text{Na}^+$ - $\text{K}^+$ -ATPase, as well as a series of basolateral  $\text{Cl}^-$  and  $\text{K}^+$  channel and co-transporters [84]. Water transport will also occur through multiple aquaporin channels expressed at the apical, AQP5, and the basolateral, AQP4 and AQP3, membranes [200]. Paracellular transport of all major ionic and nonionic solutes is also relevant [32, 84, 211].

The reduction in  $\text{Cl}^-$  secretion and overactive  $\text{Na}^+$  absorption generates osmotic conditions that cause dehydration of the ASL, including the periciliary liquid layer (PCL) and mucus layer [190]. The  $\text{Cl}^-$ ,  $\text{Na}^+$ , and  $\text{K}^+$  concentrations act as the primary regulators of luminal composition and volume [190, 198]. Water transport is also affected in CF. Passive water and solute transport also occurs in the paracellular space and is regulated by osmolarity and tight junction integrity [56, 128]. *In vitro* studies have shown that tight junctions chronically exposed to cytokine profiles common to the CF airway exhibit profound changes to their ion and liquid permeability [36]. Similarly, apical mucus accumulation has been shown to affect transepithelial solute permeabilities [110]. A comparison of ASL conditions between healthy and CF airway epithelium is depicted in Figure 1.5.

The absence, or dysfunction, of CFTR at the apical membrane also affects several components of the upstream protein maturation cascade. For example, cells expressing  $\Delta\text{F508}$  CFTR, the most common CF-causing genetic mutation, can't produce fully matured CFTR. The immature protein fails to clear one of several maturation checkpoints along this cascade and gets tagged for degradation. This accumulation of misfolded protein may

create a strain on the translational and protein maturation apparatus [156]. This strain can in turn affect the expression of other proteins. Studies have shown decreased levels of the soft palate, lung, and nasal epithelium clone 1 (SPLUNC1) in chronic CF disease [19, 71]. SPLUNC1 binds to ENaC, inhibiting its activation, thus, suggesting that the reduction of SPLUNC1 in chronic CF may contribute to the increased  $\text{Na}^+$  flux typical of CF [184]. A similar mechanism has been proposed to explain the role of defective CFTR protein in the dysregulation of SLC26A9 through the  $\text{Na}^+/\text{H}^+$  Exchange Regulatory Co-factor (NHERF1) [8].

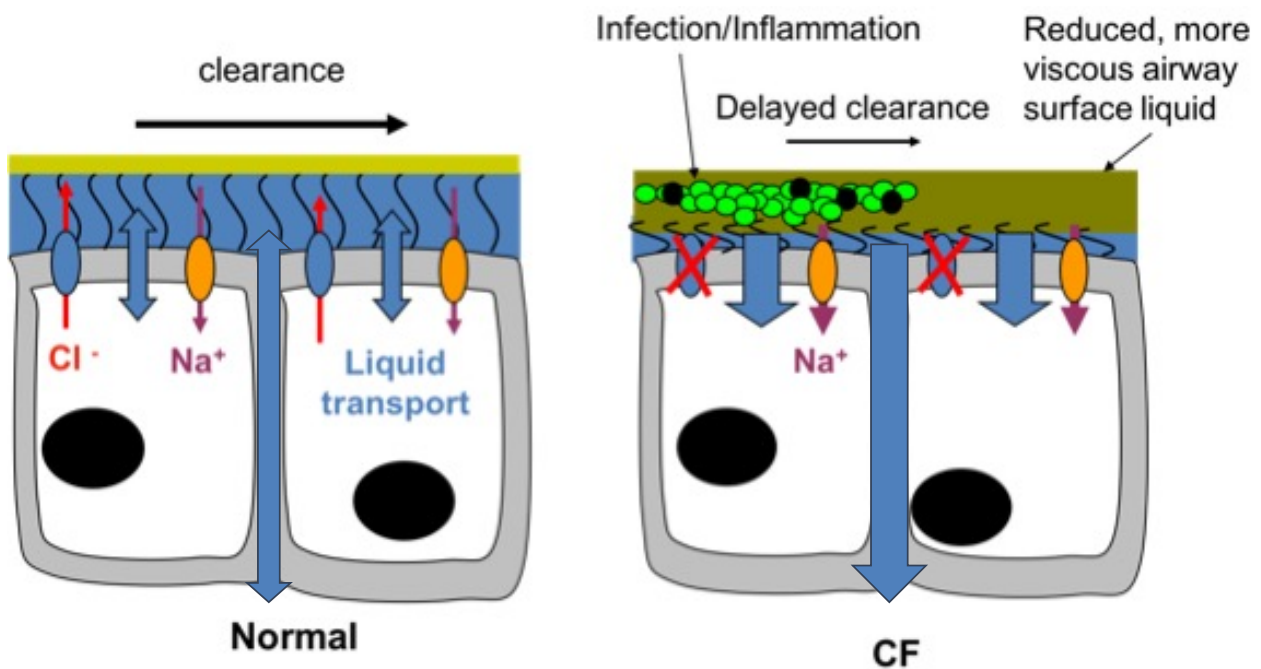


Figure 1.5: Normal PCL and MCC homeostatic behavior is maintained with normal function levels of CFTR at the apical membrane of the airway epithelium (left). In CF (right) ASL hyperabsorption due to the absence of CFTR and subsequent dysregulation of electrolyte balance causes mucus dehydration, and thickening, leading to chronic infections and subsequent inflammation and tissue damage [119].

### 1.3.3 System Level Pathophysiology

The liquid hyperabsorptive phenotype described in *in vitro* studies of CF cell cultures is reflected in several organ level phenotypes. For example, the increased concentration and/or differential production of different MUC proteins in the airway's lumen alters the rheological properties of mucus, making its clearance more challenging [79, 190]. Similarly, PCL dehydration leads to ciliary stasis, which further prevents the elimination of mucus, as well as the clearance of any inhaled pathogens or debris. These functional changes in the airway create the perfect conditions for pathogenic colonization, which over the lifetime of patients with CF leads to advanced airway damage and eventual respiratory failure.

CF airway disease is the primary cause of CF-related patient mortality [112]. The progression of airway disease is traditionally measured through spirometric tests [1, 53]. These techniques, however, lack the resolution necessary to better understand the pathophysiological changes occurring within the airway. CT studies have been used to provide insight into the structural changes that occur as a consequence of airway obstruction and disease progression [42]. Similarly, functional imaging through nuclear scans of the clearance of inhaled radio-labeled pharmaceutical probes has been used to assess temporal changes of MCC along the airway tree. This method commonly uses Tc<sup>99m</sup> Sulfur Colloid (Tc-SC) as the probe. Tc-SC is a large particle designed to be too large for it to be absorbed across the lung epithelium (see Figure 1.6 left panel), so a decrease in background- and decay-corrected signal across the particular region of interest is attributed to MCC of the inhaled Tc-SC.

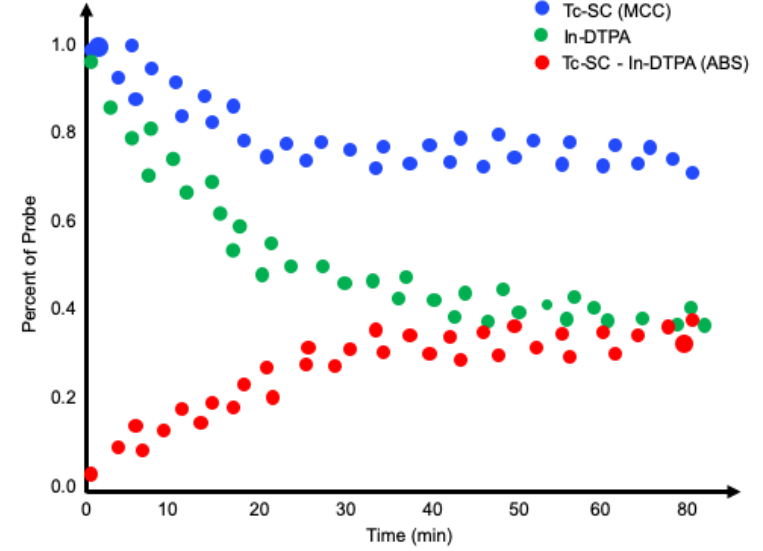
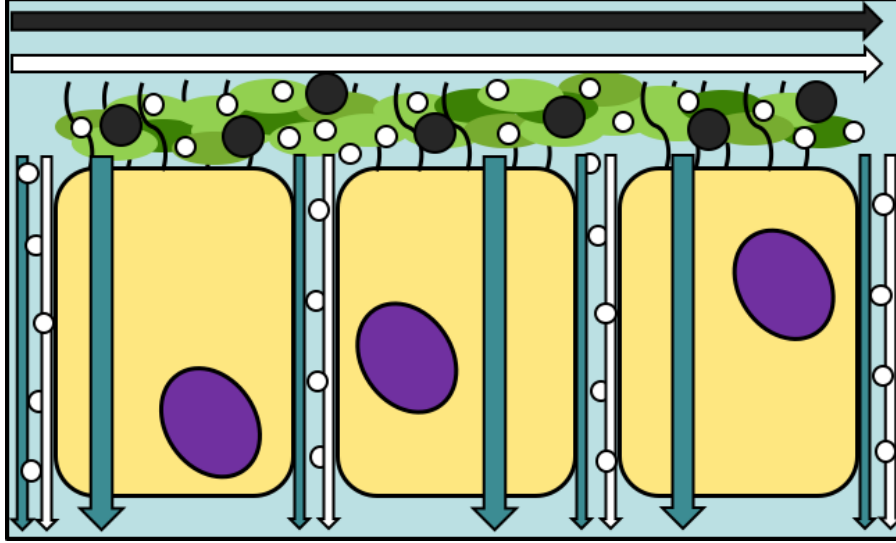


Figure 1.6: Left panel: Tc-SC, and DTPA are delivered to the airways via inhaled aerosol. Both probes are cleared through MCC at the same rate, however, only the smaller of the probes, DTPA, can also be absorbed through the epithelium. Right panel: DTPA retention (green) can be subtracted from the Tc-SC retention (blue) to calculate the amount of absorption (red). Modified from Markovetz, *et al* [120].



MCC measurements have been extended through the use of an additional radiopharmaceutical,  $\text{In}^{111}$ -DTPA (In-DTPA). DTPA is a small molecule, relative to Tc-SC, that can be absorbed through the epithelial membrane's paracellular pathways, as well as being cleared through MCC (see Figure 1.6 left panel) [33, 111]. The size difference between DTPA and Tc-SC is of great importance. It is assumed that the two molecules are cleared at identical MCC rates, meaning any difference in In-DTPA and Tc-SC retention curves is due to absorption of In-DTPA across the airway epithelium, see Figure 1.6. Furthermore, In-DTPA absorption has been shown to be directly proportional to *in vitro* liquid absorption measurements in primary human bronchial epithelial (HBE) cell cultures, as well as being increased in CF HBE cultures compared to non-CF HBE cultures [33, 111]. In-DTPA absorption measurements (ABS) have been used as a surrogate biomarker of system level liquid absorption as they are affected by both the paracellular component of liquid absorption and likely by tight junction integrity. Consequentially, ABS measurements can be used to show increased liquid absorption rates in patients with CF [33, 111, 120]. They also have the potential to be used as an experimental assessment of airway hydration and the effect that different therapeutic agents have on the absorption defect present in patients with CF.

At the system scale, MCC also acts as a host defense mechanisms against inhaled pathogens [14]. In CF patients, the possible reduced efficacy, or absence, of MCC function allows for opportunistic pathogens to colonize the airways and establish perennial biofilms [109, 118]. The immune system responds to this chronic invasion with a strong, unregulated inflammatory response characterized by a prolonged accumulation of neutrophils, high proteolytic activity and elevated levels of multiple cytokines and chemokines [36, 87]. The nature of this exaggerated inflammatory response is not entirely understood, and multiple mechanisms have been suggested in the literature. For example, TRPA1  $\text{Ca}^{+}$  channels, among other functions, facilitate the fine-tuning of expression levels of multiple transcription factors relevant within the inflammatory cascade, and have been shown to have highly different expression levels in primary cells harvested from CF patients [152]. Similarly, CFTR is thought to play a direct role in the immune function of the epithelium [87]. This might also explain why several inflammatory mechanisms such as the IL17/TH17 signalling cascade and neutrophilic chemotaxis appear to be dysregulated in CF patients [6, 127]. The

persistence of this type of inflammatory response results in tissue damage, epithelial fibrosis, and bronchiectasis [6, 36, 51].

#### **1.4 Mathematical Modeling of the Airway across Biological Scales: A Cystic Fibrosis Case study**

As described so far, CF affects a wide variety of functions with vastly different spatiotemporal scales. Similarly, current therapies for CF span all pathophysiological scales from inhaled antibiotics to combat chronic airway infections, inhaled osmotic agents that transiently rehydrate the ASL and promote MCC, as well as small molecules that target CFTR and attempt to restore and potentiate its functionality [53, 126, 162, 195, 196]. Many of these approaches show high degrees of variability across the patients. It is true that some of this variability is to be expected given a disease that can be caused by approximately 281 unique genetic mutations, affecting different aspects of CFTR structure and function [25]. However, this also highlights a clear need to better understand the consequent pathophysiological differences that are likely to also play a role. In other words, there is an importance of establishing coherent protocols of longitudinal personalized care that properly address the unique needs of all CF patients.

It is important to point out that the multi-scale nature of CF presents a series of important challenges when attempting to identify possible biomarkers that can reliably inform the relationship between cell or tissue level function and system-level clinical outcomes. Mathematical models have gained significant interest within this field and present a novel alternative to study the complex biophysics of CF airway physiology. Through the inclusion of available experimental data, as well as relevant biological and clinical knowledge, mathematical models are designed to abstract the mechanisms responsible for different aspects of airway function by deconstructing experimental outcomes into physiologically meaningful components. From there, they provide a simple, reusable platform for experimentation and to parametric descriptions and dynamic estimates of important system components. From an engineering perspective, these model predictions and analysis can be combined with tradi-

tional process control and design techniques in order to better address the unique challenges of clinical applications.

The primary goal of process control is to maintain desired process operation conditions in light of external perturbations. Translating this into medicine, the desired operating condition would be to maintain or achieve stable patient health in the presence of various disturbances such as trauma, disease or even clinical intervention. Traditionally, medicine has operated as an open loop system where the physician manually closes the loop by making treatment decisions informed by patient examination and charts. However, the inherent complexities of all biological systems can produce unexpected deviations that obfuscate their behavior and response to intervention. A fundamental assumption of this methodology is that physicians' decisions are accurate most of the time, but are usually based on linear disease-treatment expectations that could be improved if personalized, model-based predictions of patient response to treatment are available to support clinical actions. A proposed work flow for such a framework is shown in Figure 1.7.

In this framework, final treatment decisions are solely in the hands of the physician, but they are informed by rigorous, quantitative predictions of a course of therapy that is optimal within measurement error and timing. Thus, mathematical models are effectively used as a supplementary experimental tool, not unlike a mass spectrometer or CT-scan, to generate valuable insights derived from experimental conditions that are either too expensive, impractical, or unethical to carry out in the laboratory or clinic [134]. These ideas have been explored in a number of medical application, such as: cancer chemotherapy and neutropenia [57, 72, 80]; glucose control in diabetes and critical care [95, 96, 105, 146, 166, 202]; sepsis [45, 219]; and CF [120, 174, 176].

#### **1.4.1 Cellular Scale: Ion and Liquid Transport**

There have been multiple attempts to mathematically model different aspects of cell physiology relevant to CF disease, particularly liquid and ion transport. Among these, it is important to mention the seminal work of David E. Goldman who, in 1943, published the first comprehensive mathematical description of the relationship between the electrical and

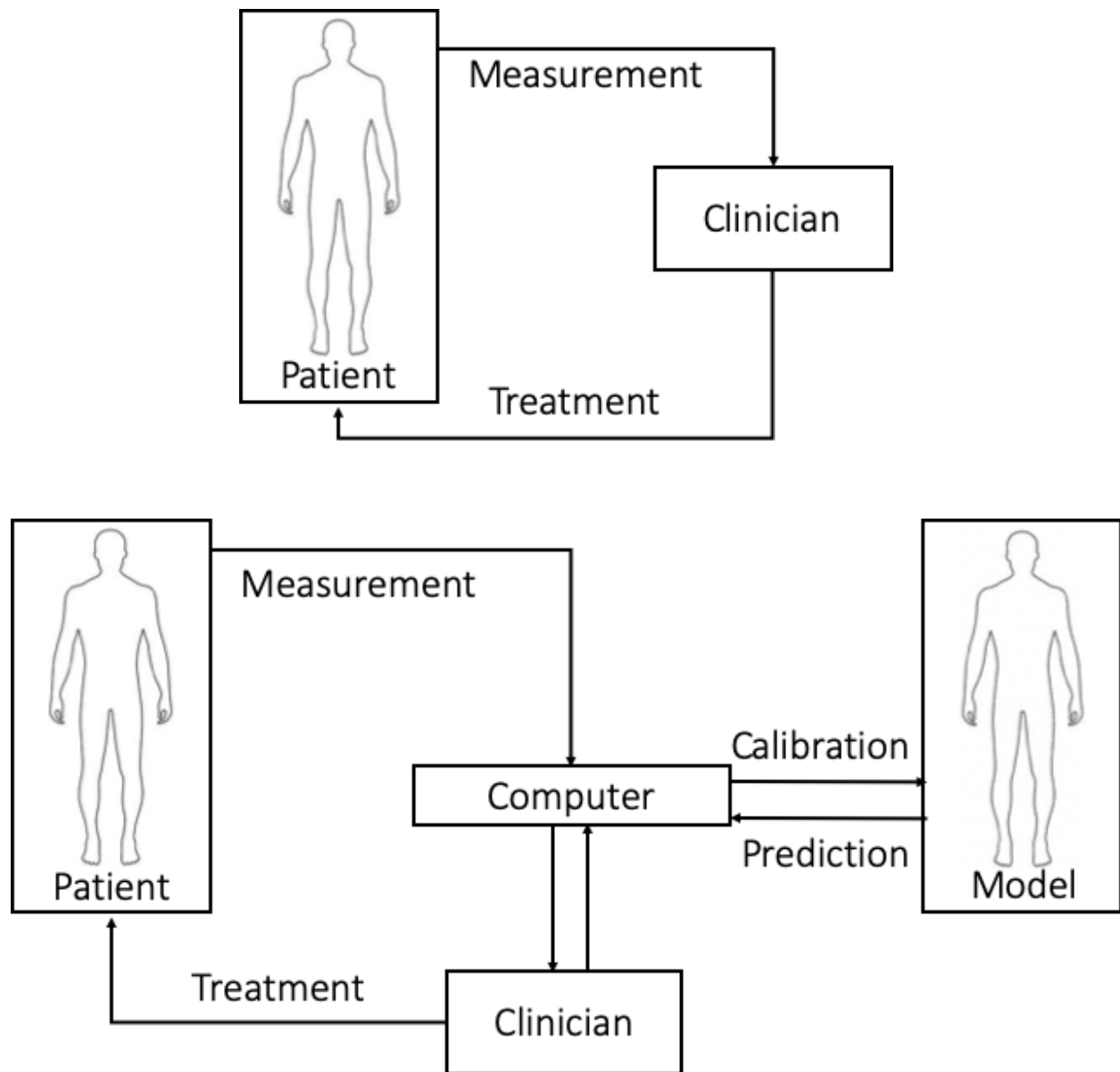


Figure 1.7: Top: Standard-of-care Physician-Patient treatment feedback loop. Bottom: A clinical decision support system for treatment using model-informed physician decisions for patient care.

chemical natures of transmembrane ion flux [66]. This mathematical description has become a cornerstone of mathematical modelling across multiple fields including neuroscience, cardiology, and more recently, epithelial electrophysiology. The first work attempting to model the dynamics of airway epithelium ion transport was published by Cook and Young [29], and quickly followed by Hartmann and Verkman [75]. Both models presented slight variations of the airway epithelium based on its electrochemical driving forces, but failed to described the impact that liquid transport would have on the system [29, 75]. Novotny and Jakobssen [136] published the first work to describe the interaction between ion and liquid transport. This model was expanded by Falkenberg and Jakobssen to include the possible effect that pH regulation would have on ion flux [54]. The expanded model included almost every major channel expressed in the airway, but suffered from numerical instability problems over timeframes relevant to system-level analysis [54]. Similarly, models developed by Warren *et al* [207], and Herschlag *et al* [78] attempted to describe the effect that  $\text{Ca}^{2+}$  and purinergic signals, respectively, have on ASL homeostasis, but suffered from similar numerical issues. More recently, Garcia *et al* [62], and O'Donoghue *et al* [138] developed similar models of HBE to identify electrochemical transport parameters in CF and non-CF cell cultures. These models have been used to assess the different electrophysiological trends present under flooded conditions. Lastly, Sandefur *et al* improved upon the model developed by Garcia and colleagues to include a simplified version of purinergic and  $\text{Ca}^{2+}$  signalling and its role within ion and fluid transport across the epithelium [168].

#### 1.4.2 Organ Scale: Clearance Dynamics

At the system level, the human airway has inspired a myriad of models that have attempted to described different aspects of its physiology. Some examples include models that attempt to mathematically describe the structure of the bronchial tree [21, 55], gas exchange [104, 108] and its role in multiple chemical interactions [86], as well as linking disease states with mathematically generated structural differences [49]. More generally, Burrowes and colleagues published a comprehensive multiscale description of lung physiology that attempts to provide insight into possible strategies to provide a mathematical bridge between cellular

and organ function [22]. In the realm of CF, mathematical models describing the pathophysiology behind the MCC and absorptive defects of the disease have taken precedence. Sturm modeled the MCC mechanism as a set of stochastic differential equations that describe the clearance of particles through a geometric abstraction of the airway. This model succeeds in capturing decreased MCC rates in CF, but fails to identify an exact mechanism [183]. Similarly, Kuratova *et. al* developed a model that successfully described the potential role that mucus rheology plays on the reduced MCC in CF patients [100]. Lastly, Markovetz and colleagues developed a compartment-based model that follows a pharmacokinetics-type approach to describe the MCC and liquid absorption of both CF and non-CF subjects. Their model suggests the presence of areas of diminished MCC rate in patients with CF, as well as the transient rescue of these areas with inhaled osmotic therapy [120].

## 1.5 Dissertation Overview

The work described in this manuscript outlines the first steps in the development of a platform for the use of biophysically-inspired mathematical models of cell and tissue airway physiology to improve our understanding of disease pathophysiology and treatment decisions, eventually leading to the establishment of a framework for personalized treatment of CF.

Airway epithelial electrophysiology represents a complex system to model biophysically. There exist several experimental techniques that allow for the characterization of the governing mechanisms. However, they cannot provide simultaneous information about the individual dynamics of multiple network elements without the need to significantly perturb the nature of the epithelium.

With this in mind, **Chapter 2** focuses on the development and validation of a generalized, compartment-based model describing the mechanisms involved in primary human airway epithelial cell cultures under common experimental conditions. The model allows for the exploration of key interactive elements of the epithelial network, the description of electrochemical ion transport, and the system’s response to common pharmacological stimuli. The chemical and electrical components of the system required the inclusion of both alge-

braic and differential states resulting in a largely non linear and heavily constrained model with a discontinuous parameter space that spans multiple orders of magnitude. This also leads to a high degree of parameter correlation due to tightly coupled transport dynamics and pathway complexity. The model development process was further complicated by the inherent data sparsity of the experimental results used to inform the model. **Chapter 2** also describes the development of a parameter space exploration algorithm that combines the robustness of a large scale, non linear optimizer, with the necessary reliability to satisfy the constraints of our system. This algorithm was used to establish a simple, iterable workflow where experimental data from primary cell cultures were used to inform the generation of parametric distributions describing the population level physiology of both CF and non-CF *in vitro* populations.

Lastly, **Chapter 2** outlines two applications of this model: (1) the generation of parametric descriptions of the pharmacodynamic profile of CF HBE cell cultures following the treatment with common CFTR corrector and potentiators; and (2) the evaluation and characterization of physiological differences between two different *in vitro* models of CF, HBEs and human nasal epithelial (HNE) cell cultures.

The luminal side of airway epithelium is commonly lubricated by a "thin film", a small volume of liquid called the airway surface liquid (ASL). The ASL is composed of a complex mixture of protein, electrolytes, water, and other solutes that profoundly affect the function of the epithelium. In CF, the ASL is severely depleted. **Chapter 3** develops a thin film variation of the electrophysiology model described in **Chapter 2** that includes mechanisms involved in the regulation of ASL homeostasis and paracellular solute and liquid transport, allowing us to explore airway epithelial dynamics more akin to tissue level function.

Modeling the phenomena that underlie the regulation of ASL volume would provide critical insights into the mechanisms that play a major role in the pathophysiology of CF. A three compartment model will represent the dynamic volumes of the cells, the ASL, and the basolateral bath. Both *in vivo* and *in vitro* ASL volumes were osmotically controlled via the directed transport of solutes and liquid fluxes, both transcellularly and paracellularly.

Our model provides a novel platform to evaluate data obtained from patient-matched *in vitro* experiments analogous to commonly used *in vivo* measurements of airway function in

CF; thus proving a first step towards a multi-scale, personalized medicine platform in CF, see figure 1.8. Furthermore, this allows us to longitudinally assess liquid transport dysregulation and therapeutic response to treatment strategies like inhaled osmotic therapies.

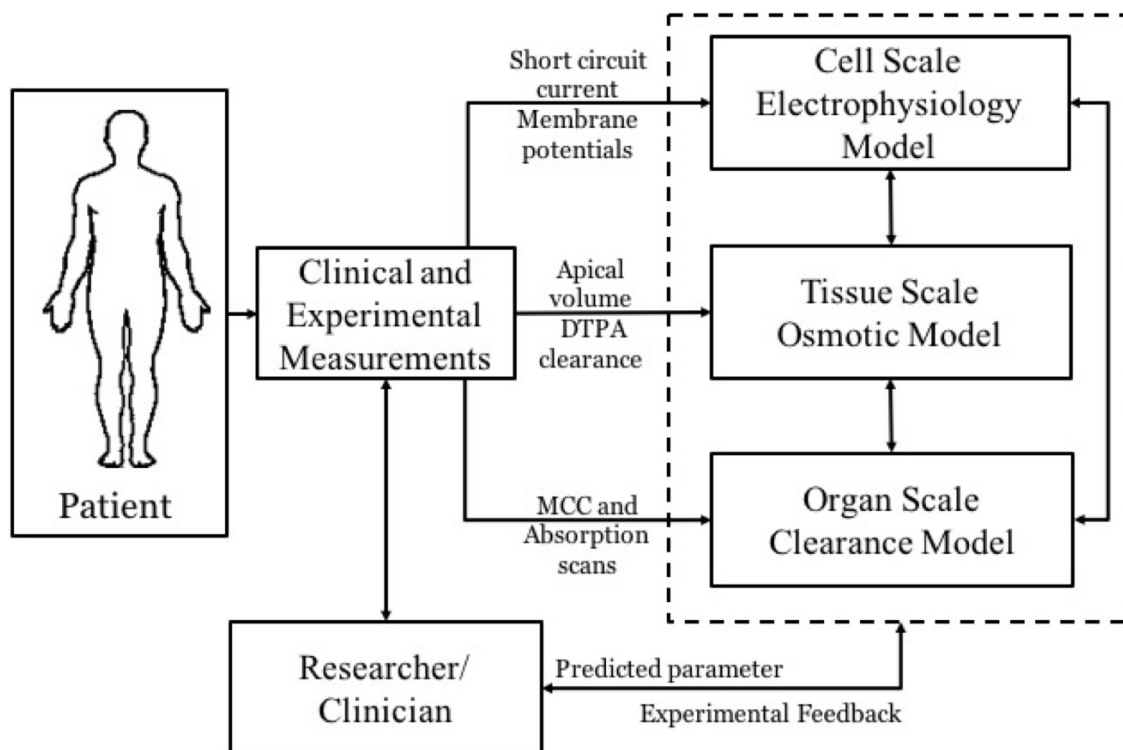


Figure 1.8: A clinical decision support system for treatment design using model-informed physician decisions for patient care.

**Chapter 4** incorporates the mechanistic and parametric descriptions generated from the models in **Chapter 2** and **Chapter 3** with *in vitro* and *in vivo* measurements. This work highlights the development and implementation of a database that identifies novel relationships between *in silico* predictions of airway physiology, common cell and tissue level experimental assessments, and organ-level clinical data obtained from individuals with CF lung disease, carriers of a single CFTR mutations, and healthy controls.

The three groups provided samples of HNE cells, performed a nuclear imaging study to measure MCC and DTPA/airway surface liquid absorption (ABS), an *in vivo* pulmonary function test, and inert gas washout studies. CF subjects also performed a second ABS/MCC



imaging day that included inhalation of osmotic agents in order to characterize their therapeutic response. This data set was used to develop patient-specific descriptions that helped us identify key physiological differences across all three cohorts. These differences provided valuable insight into the high degree of variability seen among patients with CF.

Similarly, we leveraged this information to identify parameters that correlate dynamics observed during *in vitro* experiments with organ-level behavior. Under the current paradigm of CF airway pathogenesis and disease progression, sustained restoration of airway hydration and MCC will prevent chronic infections and decrease the incidence of inflammation, potentially halting disease progression. Therefore, the establishment of clear, minimally-invasive strategies to assess individualized therapeutic response could represent a breakthrough in CF treatment.

## 2.0 Compartment-based model of human airway epithelial electrophysiology under Ussing chamber conditions

### 2.1 Introduction

Healthy epithelial tissue maintains a very tightly regulated electrochemical balance of  $\text{Na}^+$ ,  $\text{Cl}^-$ , and  $\text{K}^+$  ions across the polarized membrane. Due to the ionic nature of their cargo, chemical and electrical forces simultaneously drive these processes. These electrolytes, along with water and other molecules, are transported through a complex network of transcellular and paracellular pathways to maintain physiologically homeostatic concentration gradients between the apical, intracellular, and basolateral compartments for each electrolyte.

Herein we present a generalized, cell-scale, compartment-based model of ion and liquid transport across the human airway epithelium that uses volume regulation and electrophysiological data from primary airway epithelial cells to quantitate parameter values. Our goal in developing this model is to better resolve the specific physiological mechanisms that contribute to CF disease pathophysiology. We believe that the mechanism-specific predictions that our model generates can provide valuable insights that streamline the design of more specific experimental protocols, as well as potentially help in the evaluation of the therapeutic efficacy. The challenge is to construct a mathematical representation of the physiology that can resolve the underlying mechanisms and their interactions.

In CF epithelial tissue, the absence or dysfunction of CFTR affects multiple interactive elements of the airway epithelium electrophysiological network. The loss of  $\text{Cl}^-$  secretion across the apical membrane leads to cell and tissue-level dysregulation and ASL hyperabsorption. Characterization of the possible mechanisms responsible for this type of multi-scale pathophysiological linkage is inherently difficult. These difficulties are further compounded in epithelial cell lines when we attempt to emulate realistic airway conditions.

Several experimental techniques allow for the characterization of the interactions governing such mechanisms. However, they generally cannot provide simultaneous information about the individual dynamics of multiple network elements without perturbing the condi-

tions of the epithelial membrane. A relevant mathematical description of cell-scale epithelial physiology requires the inclusion of key mechanisms that regulate ion and liquid transport

## 2.2 Methods and Protocols

### 2.2.1 Cell Culture

This study used primary HBE cell cultures harvested from non-CF (13 donors, n=70 filters), and CF (9 donors, n=37 filters) subjects, and HNE cell cultures from non-CF (11 donors, n=44 filters), CF (11 donors, n=34 filters), and carriers (3 donors, n=8 filters). The HBE cells were cultured from excess pathological tissue removed after lung transplantation and organ donation under a protocol approved by the University of Pittsburgh Investigational Review Board (UPIRB), as described previously [43]. The non-CF donors presented with different airway pathologies but were deemed homozygous for wild-type CFTR. All HNE samples were obtained from either healthy individuals over 18 years of age with no known history of airway pathology or smoking and a %FEV1 greater than 70%, patients with a genetically verified CF diagnosis, or the biological parents of these CF patients, accordingly. All donors of HNE samples were screened for CF-causing mutations and their respective group assignment verified. The HNE samples were collected under a protocol approved by the UPIRB. For more detailed information about the cell culture protocol see Appendix A.

### 2.2.2 Ussing Chamber

Primary airway epithelial monolayers cultured on filter supports (0.33-cm<sup>2</sup> Costar Transwell filters) were mounted in Ussing chambers, and the cultures were continuously short circuited with a VCC MC6 automatic voltage clamp (Physiologic Instruments, San Diego, CA) in order to measure their transepithelial short-circuit current ( $I_{SC}$ ). Transepithelial resistance ( $TER$ ), an indicator of monolayer integrity, was measured by applying a 2mV bipolar pulse every 90s at the start and end of the experiments and calculated using Ohms law. A  $TER$  measurement of 200 $\Omega$ cm<sup>2</sup> was used as a minimum threshold for inclusion of

a  $I_{SC}$  trace into the training data set, and  $150\Omega cm^2$  for validation experiments. Ringers solution composed of 115 mM NaCl, 25 mM  $NaHCO_3$ , 5 mM KCl, 10 mM HEPES, 1 mM  $MgCl_2$ , 1.5 mM  $CaCl_2$ , and 5 mM glucose was used to fill both the apical and basolateral chambers for experiments containing bicarbonate. Chambers were constantly gassed with a mixture of 95%  $O_2$  and 5%  $CO_2$ , which guarantees proper mixing and maintains extracellular pH at 7.4. For bicarbonate-free experiments,  $NaHCO_3$  was replaced with 25 mM of Na-Gluconate, pH was adjusted with NaOH to 7.4, and chambers were gassed with air. All experiments were performed at 37 C. Following voltage clamping and chamber flooding, the  $I_{SC}$  was allowed to stabilize until a steady state was reached ( $\sim 10 - 30min$ ). Depending on the experiment, different stimulants or blockers of ion transporters/channels were added to either the apical or basolateral chambers: Amiloride ( $10\mu M$ , added apically) to block the flow of  $Na^+$  through ENaC; Forskolin ( $10\mu M$ , added basolaterally) to stimulate the flow of  $Cl^-$  through CFTR; Bumetanide ( $10\mu M$ , added basolaterally) to inhibit the flux through the basolateral  $Na^+-K^+-2Cl^-$  co-transporter (NKCC); and CF Inhibitor-172 ( $10\mu M$ , added apically) to block the CFTR-related current. Sufficient time was given to allow for a new steady state to be reached after each addition.

### 2.2.3 Model Development

In the human airway apical  $Cl^-$  transport is facilitated by both CFTR and alternative  $Cl^-$  channels (ACC), such as calcium-activated chloride channels (CaCCs) and SLC26A9 [84, 94, 138]. Apical  $Na^+$  and  $K^+$  transport occurs through ENaC and the large conductance  $Ca^{2+}$  activated  $K^+$  channel (BKCa), respectively [84, 130, 142, 209]. Basolateral  $Na^+$ ,  $Cl^-$ , and  $K^+$  transport occurs primarily through mechanisms including the  $Na^+-K^+-2Cl^-$  co-transporter (NKCC) and  $Na^+-K^+-ATPase$  (NaKP), as well as a series of basolateral  $Cl^-$ , and  $K^+$  channel [84]. Water transport will also occur through multiple aquaporin channels expressed at the apical (AQP5) and the basolateral (AQP4 and AQP3) membrane [84, 200].

In an Ussing Chamber (UC) experiment, the apical and basolateral compartments are filled with predetermined solutions designed to mimic physiological electrolyte concentrations. Due to their relatively high volume, when compared to the intracellular space, these

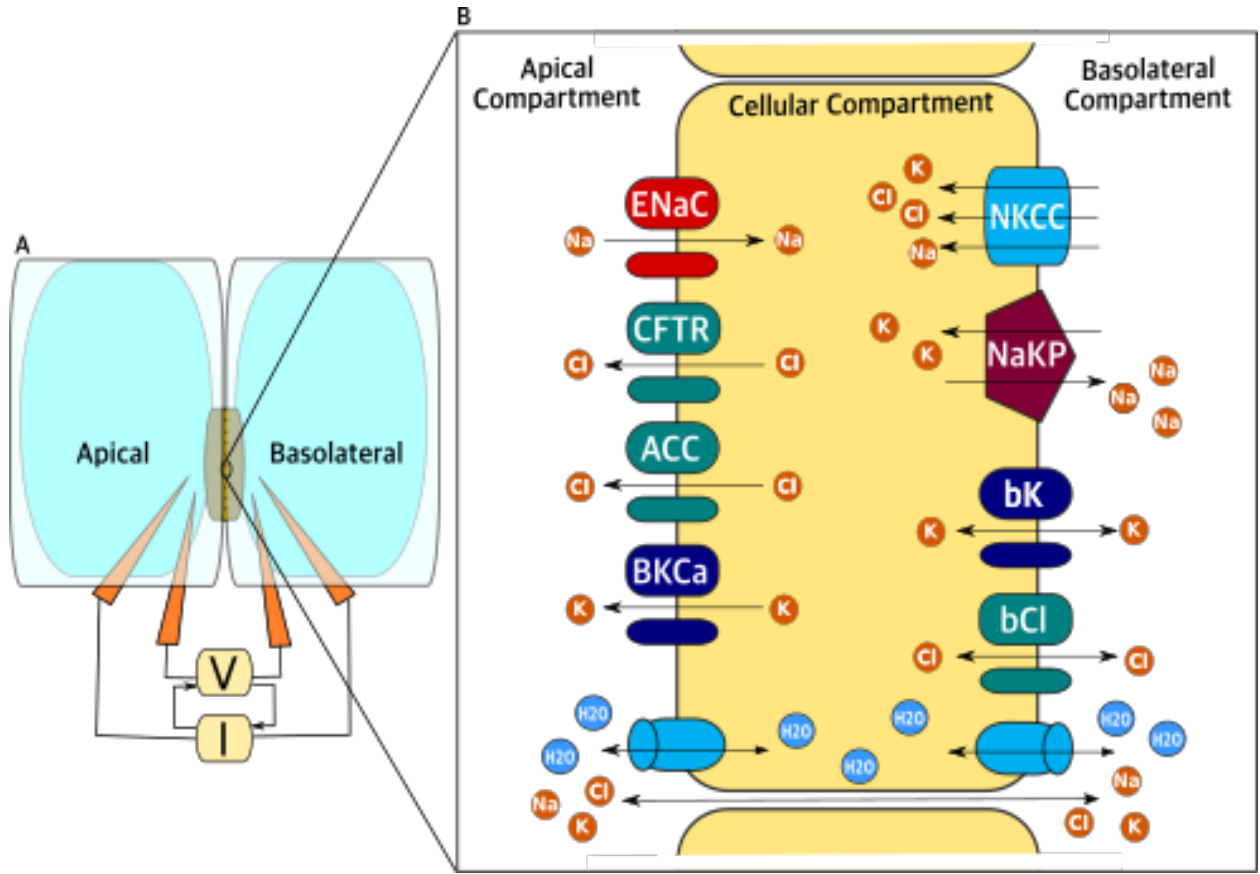


Figure 2.1: Schematic of a standard Ussing chamber (UC) set up, and the corresponding model abstraction of the airway epithelial cell culture insert used to measure short-circuit currents. In the UC, the cell monolayers are located in between two large volume reservoirs representing the apical and basolateral compartments. These compartments each hold approximately 4,000 times the volume capacity of the entire monolayer volume. Cells in the monolayer have been differentiated under air/liquid interface conditions and maintained consistent transepithelial resistance. The zoomed area describes the major contributors to solute and liquid transport across the airway epithelium represented in the model equations. Cellular volume and electrolyte concentrations dynamically respond to changes in electroosmotic driving forces.

compartments can be mathematically modelled as infinite reservoirs, and thus need not be included in the mass balances (Figure 2.1A). Therefore, transport of water between the cellular and apical (*Ap*) or basolateral (*Bl*) compartments is driven solely by the osmotic pressure difference,  $\Delta\pi_{C,M}$ , as described in Equation 2.1.

$$\Delta\pi_{C,M} = \sum_k \gamma_k \frac{n_{k,C}}{V_C} - Osm_M \quad (2.1)$$

Here,  $M$  is a set variable the includes both the *Ap* and *Bl* membranes,  $n_{k,c}$  and  $V_C$  are the number of moles of solute  $k$  present in the cellular compartment,  $C$ , and the volume of the same compartment, respectively.  $\gamma_k$  is the osmotic coefficient associated with solute  $k$ .  $Osm_M$  is the osmolality of either the apical or basolateral compartment as defined by the solutions simulated in the model. From this relation, we can then describe the intracellular volume ( $V_C$ ) dynamics as:

$$\frac{dV_C}{dt} = V_m \sum_j (L_{PM} \Delta\pi_{i,j}) \quad (2.2)$$

Here,  $L_{PM}$  is the hydraulic permeability of the membrane separating compartments  $i$  and  $j$ , and  $V_w$  is the molar volume of water. In our cell cultures, the basolateral membrane is at least 10-fold larger than the apical membrane because basolateral transport begins immediately adjacent to tight junctions in the lateral intercellular space. As such, we allow hydraulic permeabilities of the basolateral membrane to be an order of magnitude greater than the apical membrane ( $L_{PB} = 10L_{PA}$ ), similar to values previously reported in literature [123].

Our model abstracts the transepithelial ion transport network as an electrical circuit. This assumption allows us to describe ion channels as resistors that modulate flux and membranes as slow charging capacitors that inhibit the transit of ions, unless through a channel or transporter. Changes to the apical and basolateral membrane potentials due

to ion movement are considered instantaneous and are thus modeled algebraically. For ion channels, each flux is defined by Goldman's rectification equation [66].

$$J_{i \rightarrow j} = \frac{z_X F P_Y E_{i/j}}{RT \left( e^{\frac{z_X F P_Y E_{i/j}}{RT}} - 1 \right)} \left( [X]_i - [X]_j e^{\frac{z_X F P_Y E_{i/j}}{RT}} \right) \quad (2.3)$$

Here,  $i$  and  $j$  represent the two compartments involved in the flux,  $T$  is the system's temperature,  $F$  is the Faraday constant,  $R$  is the ideal gas constant,  $P_Y$  is the estimated permeability of channel  $Y$ ,  $E_{i/j}$  is the membrane potential between compartments  $i$  and  $j$ ,  $z_X$  is the valence of electrolyte  $X$ , and  $[X]_i$  and  $[X]_j$  are the electrolyte concentrations in compartments  $i$  and  $j$ , respectively.

In the case of transporters and ionic pumps, the molar flux is calculated as a series of Hill terms that modify the estimated maximum flux with predetermined stoichiometry and directionality, based on known physiological data [54, 136].

$$J_{i \rightarrow j} = \frac{n J_Y^{max} A}{\prod \left( \frac{[X]_{50}^i}{[X]_j} + 1 \right)^h} \quad (2.4)$$

Here,  $n$  refers to the stoichiometric coefficient associated with each ion  $X$  for the respective transporter,  $J_Y^{max}$  is the estimated maximum flux per ion for transporter  $Y$ ,  $[X]_{50}^i$  is the required concentration of electrolyte  $X$  present in compartment  $i$  to achieve half of the maximum flux,  $[X]_i$  is the current concentration of electrolyte  $X$  in compartment  $i$ ,  $h$  is the Hill coefficient associated with ion  $X$ , and  $A$  is the area of the relevant membrane.

From the individual channel and transporter ionic fluxes,  $J_Y$ , we can calculate the electrical current,  $I_Y$ , generated by the displacement of ion  $X$  through transporter  $Y$ , such that:

$$I_Y = z_x F J_Y \quad (2.5)$$

Where  $F$  represents Faradays constant. It should be noted that currents are only calculated for ion channels and electrogenic transporters (transporters with imbalanced charge

displacement). Based on the model schematic given in Figure 2.1 B, we established the equations governing liquid and solute transport in airway epithelia by defining an open mass balance around the cellular compartment. Solute transport occurs via passive transport down an electroosmotic gradient and by active, or facilitated, transport into and out of the cell. Thus, changes in intracellular electrolyte concentrations for a given ion is described:

$$\frac{dX_c}{dt} = \sum_Y J_Y + \sum_G n_x J_{act,G} \quad (2.6)$$

Here,  $X_C$  is the intracellular concentration for ion  $X$ ,  $J_Y$  is the ionic flux through ion channel  $Y$  at time  $t$ ,  $J_{act,M}$  is the flux due to an ionic pump or co-transporter,  $G$ , that does not follow necessarily follow the electroosmotic gradient, and  $n_x$  is any ion-specific stoichiometry associated with  $G$ .

From the the relation between ion flux and current described in Equation 2.5, we can describe the total apical,  $I_{Ap}$ , and basolateral,  $I_{Bl}$ , currents based on the ion channels and transporters included at each membrane (Figure 2.1B), as follows:

$$I_{Ap} = I_{ENaC} + I_{CFTR} + I_{ACC} + I_{BKCa} \quad (2.7)$$

$$I_{Bl} = I_{bCl} + I_{bK} - I_{NaKP} \quad (2.8)$$

Lastly, these expressions are used to establish algebraic constraints that are used to simulate the zero millivolts clamped transepithelial voltage,  $E_t$ , conditions of the UC and estimate the apical,  $E_{Ap}$ , and basolateral,  $E_{Bl}$ , voltages, see equations 2.9 and 2.10.

$$I_{Ap} - I_{Bl} \approx 0 \quad (2.9)$$

$$E_{Ap} + E_{Bl} = E_t \approx 0 \quad (2.10)$$



The model is able to predict intracellular electrolyte concentration changes in response to treated with channel blockers and stimulants (see Figure 2.2, left panel), as well as predict apical and basolateral potentials of equal magnitude but opposite value (see Figure 2.2, right panel), thus simulating the voltage clamp conditions of the UC.

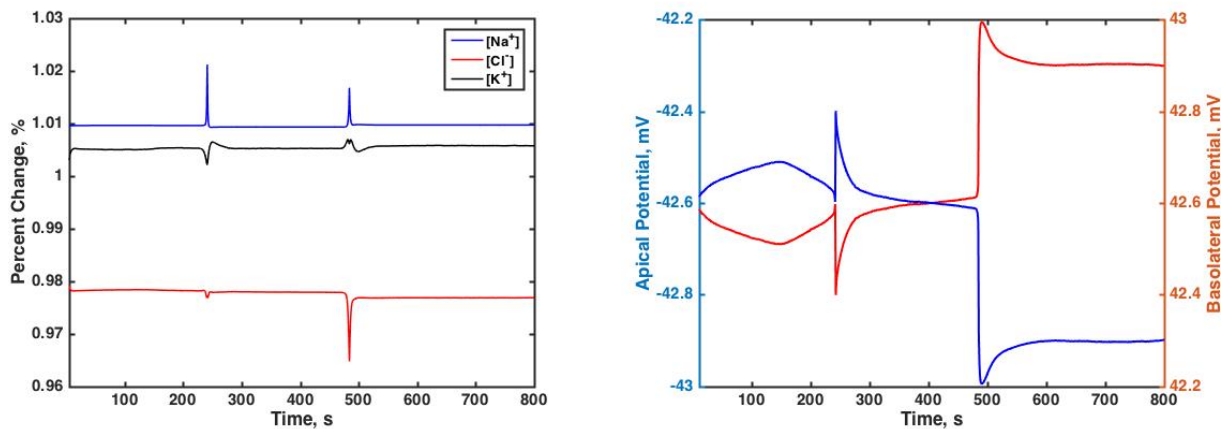


Figure 2.2: Percent change in electrolyte concentration (Left), and predicted apical and basolateral potentials (Right) for a representative model simulation.

## 2.2.4 Parameter Estimation

The model was implemented in Pyomo, an open source, Python-based, object-oriented modeling framework designed for the formulation and analysis of mathematical models for complex optimization problems [73, 74]. Pyomo combines the advantages of a full-featured programming language like Python and extends them to include the optimization capabilities of traditional algebraic modeling languages. In particular, our model takes advantage of methodology designed for the handling of generalized disjunctive programming and integration of dynamic differential/algebraic systems [73, 133]. Pyomo also includes a built-in interface with multiple numerical optimizers. This work was carried out using Interior Point Optimizer (IPOpt). IPOpt is an open source, large-scale optimization package designed to find the local solution of optimization problems with nonlinear and/or non-convex objective

and pathway-constraint functions [203]. Both Pyomo and IPOpt are freely available online (<http://www.pyomo.org/installation/>, <https://projects.coin-or.org/Ipopt>, respectively).

The complex nature of the epithelial membrane’s ion and liquid transport network, and its response within the UC poses a fairly difficult numerical problem to model. The need to include both differential equations to model ion and water flux dynamics, and algebraic pathway constraints to properly describe the electrical component of transport, results in a nonlinear, highly stiff system with a discontinuous parameter hyperspace spanning multiple orders of magnitude. These characteristics make it prohibitive to implement our model using standard gradient-based optimization algorithms. Figure 2.3 summarizes the key differences faced when estimating parameters for pathway-constrained models.

In order to address this, we developed an algorithm that uses a combination of a Latin Hypercube (LHC) sampling scheme, and an *a priori* feasibility check to pre-screen potential initial parametric guesses to determine if they are located within a physiologically plausible region of the parametric hyperspace. LHC sampling is preferable for these types of applications because it guarantees a broader coverage of the parameter hyperspace by imposing thresholds for how close two sampled points can be [145, 159, 160]. The algorithm verifies the numerical feasibility of these guesses through the estimation and evaluation of model constraints at time zero, and excludes parameter sets that either fail to converge (based on a goodness of fit, Equation 2.11) or produce biophysically invalid results (based on a feasibility criterion, Equation 2.12 ). The approved guesses are then used to initialize a full implementation of the model previously described. 2.4 shows the summary work flow of this algorithm.

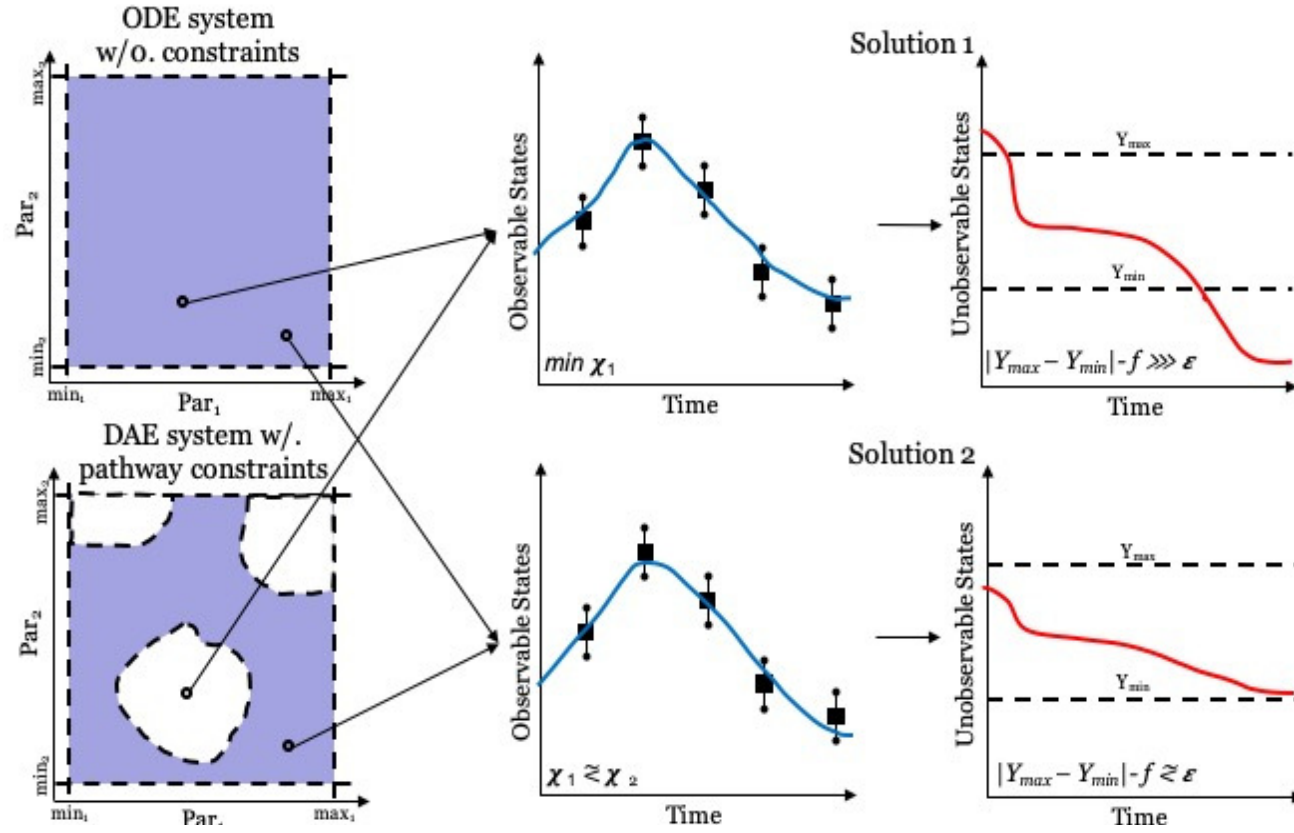


Figure 2.3: Summary of parameter estimation differences for differential algebraic equation (DAE) systems relative to traditional ordinary differential equations (ODE) systems. An ODE system (Top row) parameter estimation schemes converge when the simulated observable states approached the available data set, essentially minimizing an objective function  $\chi$ . This, however, does not guarantee that unobservable states will remain within physiologically meaningful ranges, since the model is dynamically unconstrained. DAE pathway constraint models (Bottom row) include both  $\chi$  and a feasibility criterion,  $\epsilon$ , that guarantee the model minimizes the error but also satisfies pathway constraints imposed on it. This can lead to a trade-off in goodness of fit for overall system feasibility.

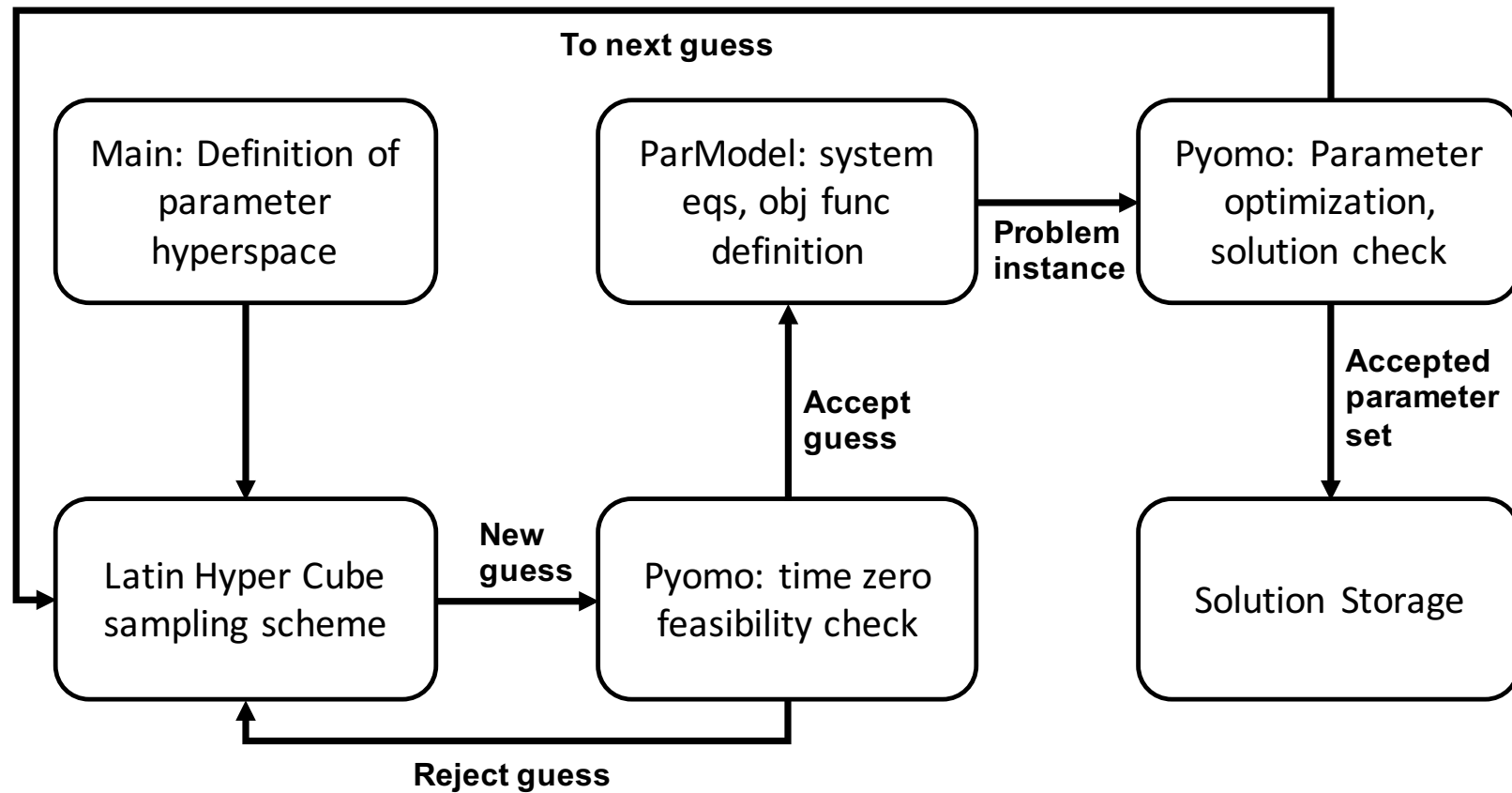


Figure 2.4: Workflow diagram describing the pseudocode for the parametric search algorithm we developed. The diagram highlights the importance of incorporating a feasibility check prior to the optimization run in order to guarantee that physical constraints are met at time zero, while still sampling large portions of the physiological parameter space.

The objective function ( $\chi^2$ ) is a mathematical representation of the agreement of experimental data with observable predicted by the model. This model uses the weighted sum of squared residuals as our objective function, defined as:

$$\chi^2(\theta) = \sum_{k=1}^m \sum_{i=1}^d \left( \frac{y_{k,i}^* - y_k(\theta, t_i)}{\sigma_{k,i}^*} \right)^2 \quad (2.11)$$

Here,  $y_{k,i}^*$  refers to the  $I_{SC}$  data point recorded for each individual filter for donor  $k$ , measured at time point  $t_i$ ,  $\sigma_{k,i}^*$  denotes the standard error of the mean for all filters from donor  $k$  recorded at the same time point, and  $y_k(\theta, t_i)$  is the corresponding model-simulated value of  $y_{k,i}$  as predicted by a given parameters set,  $\theta$ . The objective function will be minimized according to:

$$\begin{aligned} \hat{\theta} &= \operatorname{argmin} \{ \chi^2(\theta) \} \\ \text{s. t. } f_{min} &\leq f(X, \theta, t, X_0) \leq f_{max} \\ \text{and } \theta_{min} &\leq \theta \leq \theta_{max} \end{aligned} \quad (2.12)$$

Here  $\hat{\theta}$  represent the parameter values that corresponds to the identified local solution to the optimization problem,  $f$  the constraints functions as defined in the Model Development section,  $\theta$  are free model parameters, and  $f_{min/max}$  and  $\theta_{min/max}$  are the lower and upper bounds defined for all constraint function and free parameters.

This algorithm was designed to establish a simple, iterable work flow, where experimental data from primary HBE and HNE cell cultures are used to inform the generation of parametric distributions describing the population level physiology of both CF and non-CF cell cultures. Constant values for cellular volume regulation, electrolyte-transporter affinities, and other airway epithelium physiology descriptors were obtained from literature and previously models of human airway electrophysiology [54, 62, 123, 138, 168, 174, 209, 211], for more details please see Table S3.

## 2.3 Results

### 2.3.1 Model Validation against known experimental outcomes

The algebraic nature of many of the system components, and the lack of direct experimental data for any of the states describing intracellular dynamics, restricts our ability to validate some of the model predictions. To address this issue, we chose to validate the model through the simulation of known experimental protocols, and compare the results with known trends found in literature. This can be done through the assessment of changes in the model-predicted permeabilities of different transporters that should correlate to changes in either the expression levels or functionality of the same channels.

#### 2.3.1.1 Evaluation of *in vitro* pharmacodynamic response to CFTR modulators

CF HBE cultures (p=1, n=3) were treated with VX809 or a vehicle control for 24, 72, 120, 168, 240, or 336 hours. Short circuit current ( $I_{SC}$ ) measurements were collected to assess CFTR channel activity. This was done in order to establish the optimal treatment protocol to maximize cell culture response to modulator therapy. Results showed a steady dose-dependent response profile to the magnitude of  $\Delta I_{Forskolin}$  stimulated response up to 120 hrs of chronic VX809 treatment. Treatment beyond this threshold, resulted in a complete loss of the modulator’s corrective action. The lack of response to CFTR stimulation after 120 hours + of VX809 treatment is likely due to DMSO poisoning. DMSO is used to dissolve the correctors, and its prolonged exposure can be cytotoxic. See Figure 2.5.

We verified the model’s ability to describe the rescue of mutated CFTR  $I_{SC}$  through treatment with small molecule correctors and potentiators. The model was informed with UC data from CF HBE cell cultures (n = 5, filters = 20) treated with a vehicle control (DMSO), or with the combination of corrector (VX809) for 24 hours and potentiator (VX770) during the UC recording. CFTR rescue was simulated by opening the permitted parameter range for predicted CFTR permeability in the model. Figure 2.6 shows the changes in predicted parameters between the two groups. A slight, but significant, increase in CFTR permeability was predicted, as well as a nominal increase in ACC permeability. This is

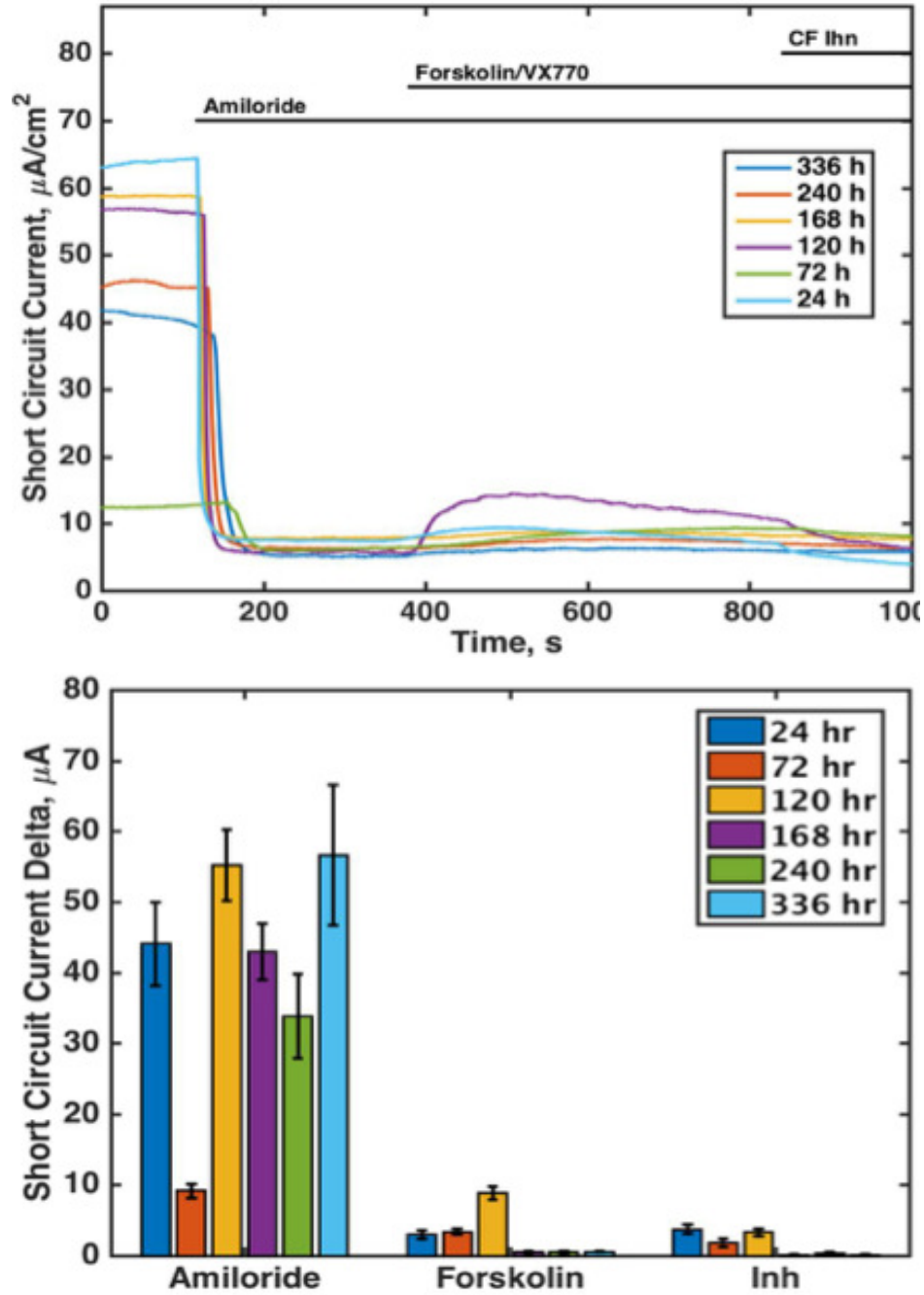


Figure 2.5: (Top) Ussing Chamber  $I_{SC}$  traces for  $\Delta F508$  homozygous CF HBE cell cultures treated for 24, 72, 120, 168, 240, and 336 hours with a CFTR corrector (VX809), and acutely with a CFTR potentiator (VX770). (Bottom) Bar plots showing the summary of the change in  $I_{SC}$  measured following the addition of Amiloride, Forskolin+VX770 and CFInh172.

of particular interest due to recent findings from our collaborators that suggest mutations affecting the trafficking of CFTR to the plasma membrane may also affect the trafficking of SLC26A9 [8]. SLC26A9 is an anion channel expressed in the apical membrane of HBEs believed to be constitutively active in non-CF HBEs, whose function is found to be absent in CF HBE cell cultures [8, 9]. ENaC and BKCa showed no meaningful parametric difference between the two groups.

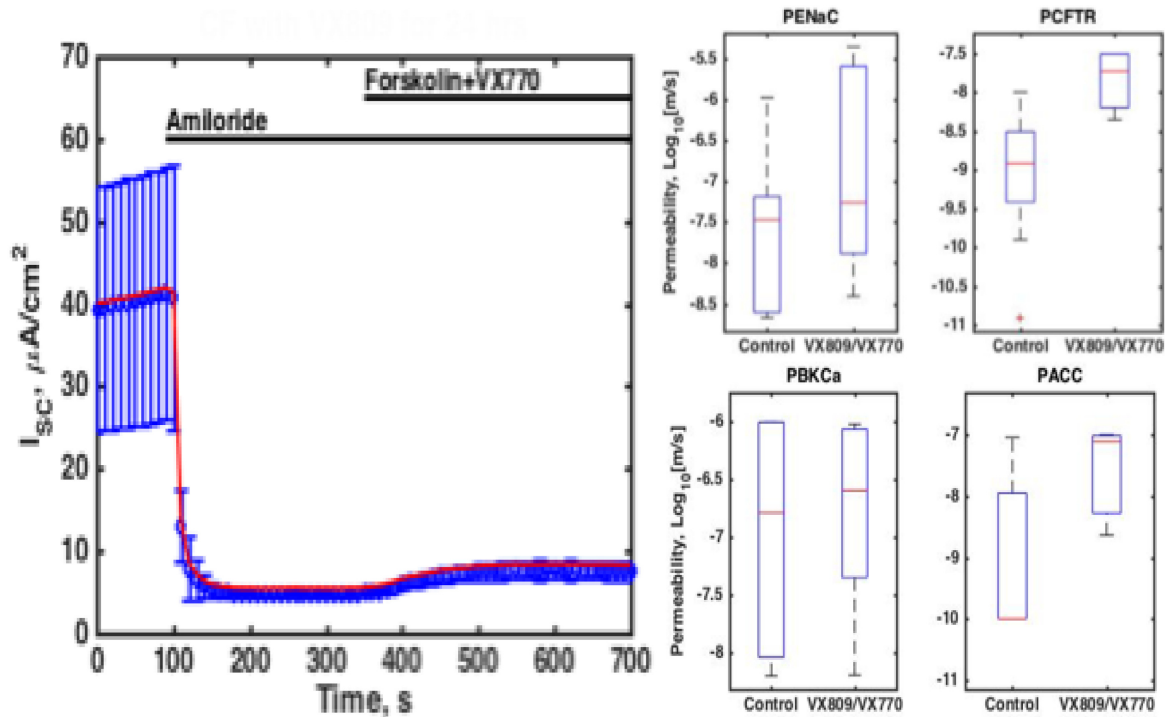


Figure 2.6: Model-predicted parameter changes for (Left) Short-circuit trace model predictions for CF HBE cell cultures ( $n = 5$ , filters = 20) treated with corrector and potentiator (VX809 72 hrs, and acute VX770), or vehicle control (DMSO). (Right) ENaC, CFTR, BKCa, and ACC permeabilities generated from Ussing Chamber data. Predicted CFTR and ACC permeabilities showed statistically significant changes between control and corrector treatments ( $p < 0.01$ ).

**2.3.1.2 Parameter predictions in response to  $TGF - \beta 1$**  We also wanted to test the ability of the model to predict changes in the molar flux of different channels as a result



of treatment with compounds believed to affect multiple members of the electrophysiology network. Over the last few years, there has been increased evidence that the cytokine  $TGF - \beta 1$  plays a significant role in the regulation of ion channels expressed in the airway epithelial.  $TGF - \beta 1$  is known to be elevated in CF patients, and single nucleotide polymorphisms in  $TGF - \beta 1$  gene have been linked to increased severity of lung disease [50]. More recently,  $TGF - \beta 1$  has been shown to limit BKCa function through the downregulation of LRRC26y, and auxiliary protein believed to be responsible for facilitating BKCa's function in non-excitabile cells by lowering its  $Ca^{+2}$  activation thresholds [94, 213]. Similar results have been shown for TMEM16A, a  $Ca^{+2}$ -activated  $Cl^-$  channel expressed in the apical membrane of airway epithelia [187], and perhaps more relevant, for CFTR [180].

In order to explore this problem, we informed our model with data obtained from UC traces of non-CF HBE cell cultures ( $n = 5$ , filters = 18) treated with  $TGF - \beta 1$ , or vehicle control for 24 hrs. This data was graciously provided by Dr. Agnes Swiatecka-Urban. Through our analysis, we assume that any regulatory changes induced by  $TGF - \beta 1$  have reached steady state, and that any changes to the new state through the length of the UC recording are negligible. Figure 2.7 shows significant decrease to the predicted molar flow across CFTR, ACC, BKCa, and ENaC. These changes are in agreement with literature trends for CFTR, and BKCa.  $TGF - \beta 1$  also appears to have decreased the overall  $I_{sc}$  baseline of the cell, which would explain the drastic decreased in  $Na^+$  flow through ENaC. TER measurements were not significantly different between the control and  $TGF - \beta 1$  treated groups.

### 2.3.2 Characterizing the Electrophysiology of Primary Human Bronchial and Nasal Epithelia, an *In Vitro* and *In Silico* Approach

Primary human bronchial epithelial (HBE) cell cultures serve as a well-established experimental *in vitro* model of airway physiology. Most Cystic Fibrosis (CF) related studies with primary cell cultures have been performed in differentiated HBE cultures, which show the same ion and liquid transport defects as the CF airway [32, 138, 195]. However, HBE cultures are typically sourced from donated lungs not suited for transplantation, or from

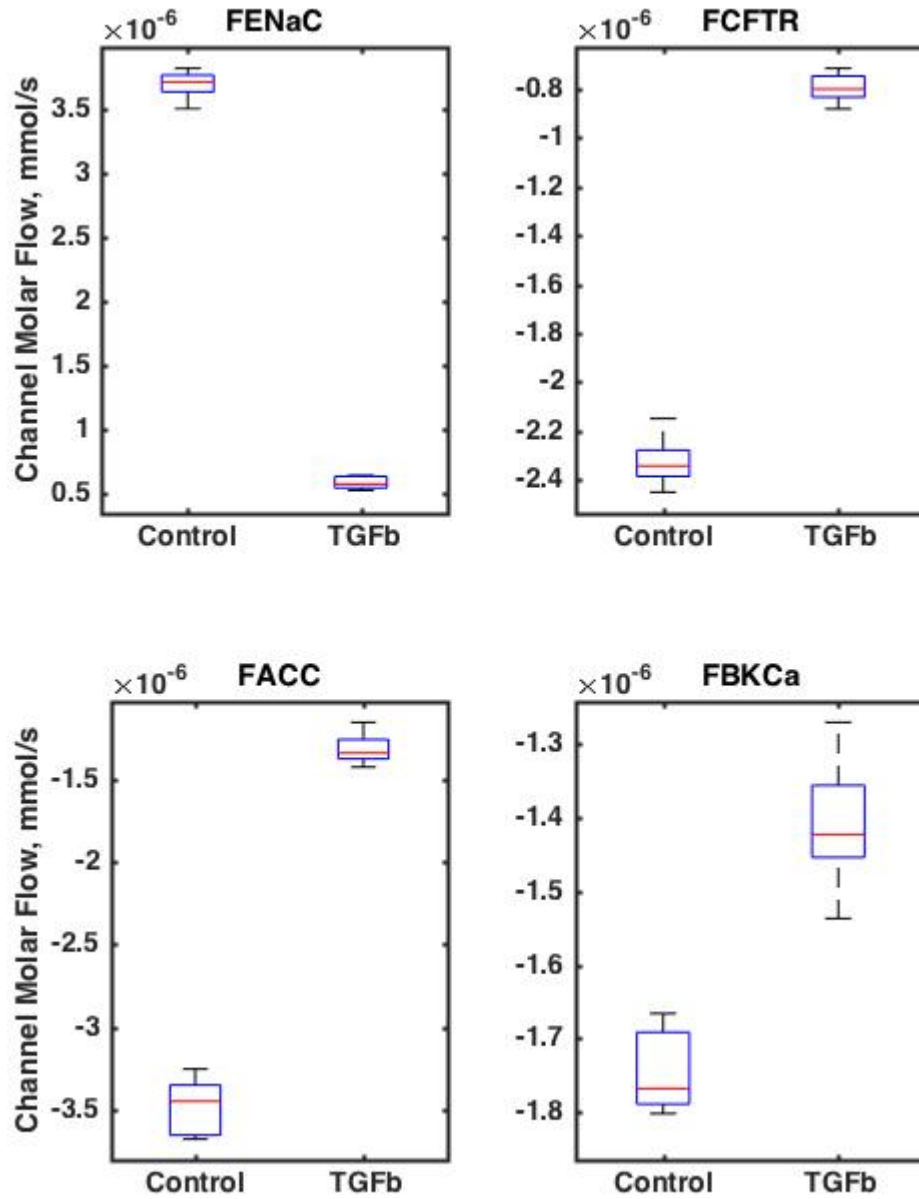


Figure 2.7: Model-predicted molar flux changes for ENaC, CFTR, ACC, and BKCa generated from Ussing Chamber data from HBE cell cultures ( $n = 5$ , filters = 18) treated  $TGF\beta 1$ , or vehicle control for 24 hrs. A flux's sign represents directionality, such that a positive flux means influx, and a negative flux means secretion.

explanted lungs of patients diagnosed with advance airway disease. This reality presents two main challenges: (1) it is not well understood whether HBE cells retain any physiological abnormality due to the underlying disease state; (2) access to explanted lung tissue is limited and can represent an insurmountable limitation to laboratories. These concerns are particularly relevant in CF, where samples are harvested from CF patients with advance airway disease, who are in need of a lung transplant, and may not provide a good representation of the physiology of patients with less progressed disease states.

Primary human nasal epithelial (HNE) cell cultures are a novel, and exciting, *in vitro* model of airway physiology. HNEs can be differentiated and cultured in a similar manner to HBEs and display anatomical markers similar to those of HBE cells like ciliation, mucus secretion, and ion and liquid transport [41, 113, 125, 129, 208, 211]. HNEs can be harvested through minimally-invasive nasal brushings from donors with no history of airway pathologies, making them a better control for the study of disease-specific physiological changes [129]. In CF specifically, HNEs can be harvested from individual patients at all stages of disease progression and allow for analogous *in vitro* measurements to those obtained from *in vivo* level measurements such as functional imaging scans and nasal potential difference (NPD).

Airway disease in CF is characterized by lung infection, inflammation, and impaired mucociliary clearance arising from depletion of the airway surface liquid (ASL). This occurs due to improper liquid and solute transport caused by absence or dysfunction of the Cystic Fibrosis Transmembrane Conductance Regulator (CFTR) [151]. CFTR is a transmembrane anion channel that under normal circumstances is known to secrete  $\text{Cl}^-$  and  $\text{HCO}_3^-$  ions in epithelial tissue [189]. Its disruption eliminates a key pathway for apical  $\text{Cl}^-$  secretion and creates an electroosmotic imbalance that favors the hyper-absorption of water from the luminal to the serosal compartment. CFTR is also involved, either directly or indirectly, in the regulation of other ion channels and transporters, including the epithelial sodium channel (ENaC) [76], the solute carrier family 26 member 9 (SLC26A9) [8, 9], and aquaporin 3 (AQP3) [90, 171]. However, the exhaustive analysis of these cell-system interactions is experimentally complex and necessitates the use of reliable models of airway physiology, in particular transepithelial ion transport dynamics.

In this study, we have performed electrophysiological measurements of ion transport dynamics on fully differentiated epithelium monolayers harvested and cultured from primary bronchial and nasal epithelial samples. The study included three genotypic groups: (1) patients with a genetically confirmed CF diagnosis, (2) carriers of a single CF-causing mutation, and (3) subjects homozygous for wild-type CFTR with no history of airway disease. These cultures were used to assess ion transport dynamics under Ussing Chamber (UC) conditions and for the subsequent development of a generalized, biophysically-inspired, mathematical model of ion transport dynamics across the human airway epithelium. The model is constructed to be a representation of cell electrophysiology that can resolve the underlying individual ionic fluxes, while guaranteeing the adherence to biological and physical constraints relevant to the system. Values obtained from model simulation recapitulate experimental findings of transepithelial ionic transport. This combination of experimental and computational characterization methodologies provides an avenue to increase data resolution through the estimation of physiologically-meaningful parameters that describe processes not measurable experimentally, thereby allowing us to identify and characterize possible underlying electrophysiological differences between distinct culture groups due to either cell type or CFTR genotype.

**2.3.2.1 HNE and HBE show different electrophysiological dynamics** Analysis of the UC traces revealed that non-CF and CF HNE cell cultures followed similar electrophysiological trends with respect to each other and to their HBE counterparts, but overall current magnitudes appeared to be distinct to each cell type. A full breakdown of these electrophysiological studies can be found in Figure 2.8 and Table 2.1. In HNEs,  $I_{SC}$  prior to any drug addition ( $I_{Baseline}$ ,  $\mu A/cm^2$ ) is statistically equivalent between CF (Figure 2.8B) and non-CF (Figure 2.8A) cultures, but did show a nominal decrease in CF ( $p = 0.285$ ).

$I_{SC}$  was increased in CF HNE (Figure 2.8B) vs. CF HBE (Figure 2.8E) ( $p = 0.005$ ). In Non-CF, there was a trend towards a decrease in HNE vs HBE ( $p = 0.061$ ). The same trends are observed when comparing Amiloride-sensitive current ( $\Delta I_{Amiloride}$ ,  $\mu A/cm^2$ ), a typical marker of ENaC-associated  $Na^+$  transport, between non-CF and CF cell cultures in HNEs ( $p = 0.578$ ) and HBEs ( $p = 5.9 \times 10^{-10}$ ).  $I_{SC}$  current response to Foscrolin ( $\Delta I_{Foscrolin}$ ,

$\mu A/cm^2$ ), a common *in vitro* measurement of CFTR transport activity, was different between non-CF and CF in HNE ( $p = 9.6 \times 10^{-13}$ ) and HBE ( $p = 1.9 \times 10^{-20}$ ) cell cultures, as would be expected. As well as between non-CF ( $p = 3.9 \times 10^{-12}$ ), but not CF ( $p = 0.304$ ) across the two cell types. The exact same trends as  $\Delta I_{Forskolin}$  apply to post-Forskolin, Bumetanide-sensitive current drop ( $\Delta I_{Bumetanide}$ ,  $\mu A/cm^2$ ) (Non-CF vs. CF, HNE:  $p = 0.032$ , HBE:  $p = 6.3 \times 10^{-20}$ ; HBE vs. HNE, Non-CF:  $p = 2.6 \times 10^{-17}$ , CF:  $p = 0.331$ ). It is interesting to point out that the percent of  $\Delta I_{Forskolin}$  inhibited by Bumetanide is more than 3 times greater in Non-CF HBEs than Non-CF HNEs ( $\sim 75\%$  vs.  $\sim 21\%$ ). Together, these results suggest possible differences in the electrophysiology profiles of Non-CF HBE and HNE cellular cultures, particularly with regards to the transcellular transport of  $Cl^-$ .

Our study also included UC traces from HNE cultures harvested from 5 parent donors carrying a single CF-causing mutation ( $wt/\Delta F508 = 4$ ,  $wt/2481insA = 1$ , Table 2.1, Figure 2.8C). These revealed possible differences between the electrophysiological profiles of carriers relative to both non-CF and CF HNE cell cultures. In particular, carriers exhibited decreased  $I_{baseline}$  and  $\Delta I_{Amiloride}$  currents (Table 2.1,  $p \ll 0.01$ , compared to both CF and non-CF), approximately a 50% decrease in  $\Delta I_{Forskolin}$  relative to non-CF cell cultures ( $p = 1.43 \times 10^{-3}$ ), and an increased in the percent of  $\Delta I_{Forskolin}$  inhibited by Bumetanide relative to non-CF ( $\sim 59\%$  vs.  $\sim 21\%$ ,  $p = 0.003$ ). No meaningful TER difference was observed between any of the groups (Table 2.1,  $p \geq 0.25$ ).

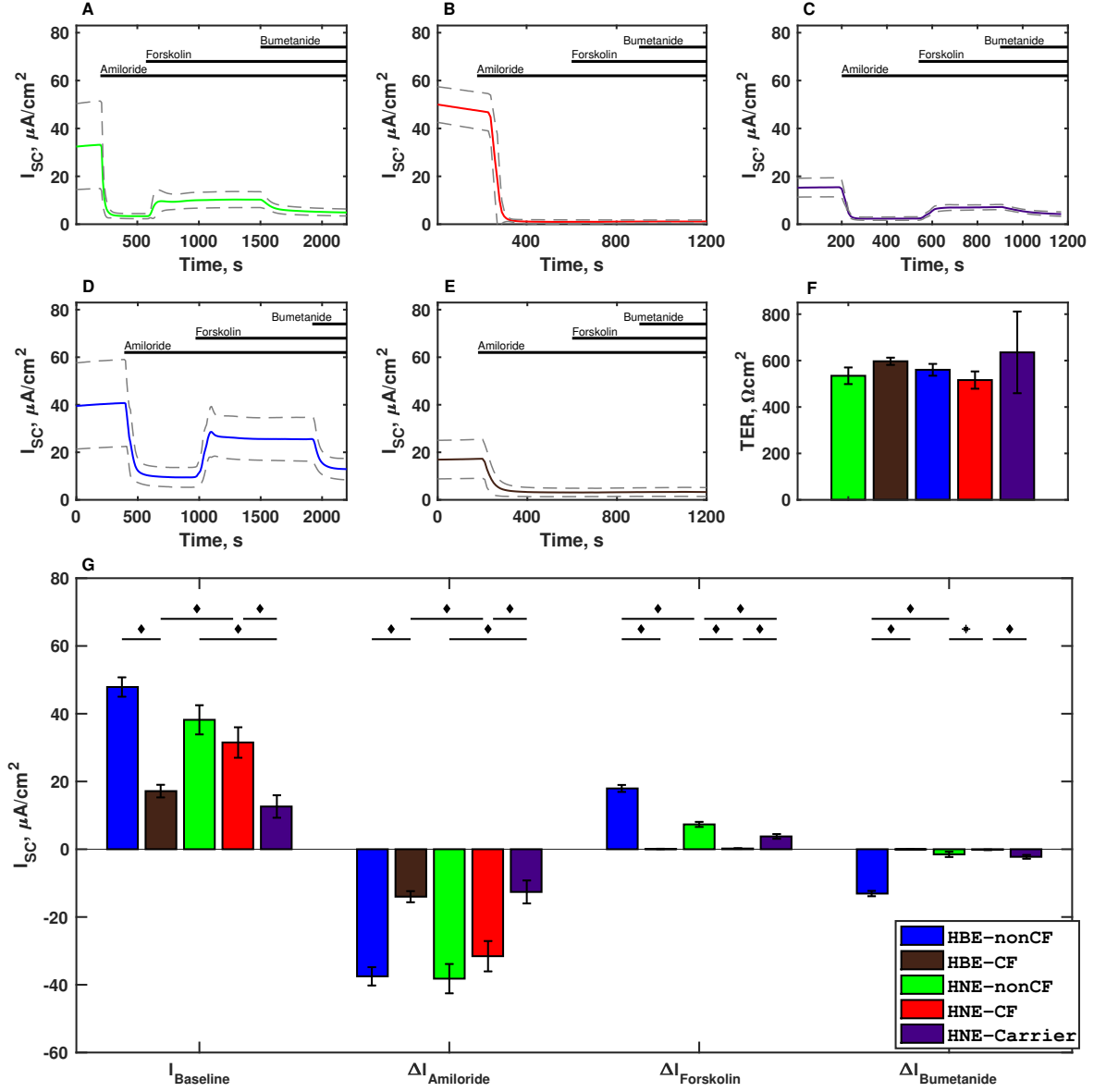


Figure 2.8: Cultures stratified by CFTR genotype and cell type. In sections A-E, the thick lines near the top denote drug additions, used to calculate their respective  $\Delta I_{SC}$ . Solid lines represent mean population trace after correcting for addition times, and dotted lines represent the  $\pm$  SEM for the population: A) non-CF HNE (green), B) CF HNE (red), C) Carrier HNE (purple), D) non-CF HBE (blue), and E) CF HBE (brown). F) Bar graph showing the population mean ( $\pm$  SEM) for transepithelial resistances ( $TER$ ). G) Bar graphs showing  $I_{SC}$  measurement means ( $\pm$  SEM). Unpaired t-test to establish statistical significance, \*  $< 0.05$  and •  $< 0.01$ .

Table 2.1: Summary of statistical analysis for the comparison of electrophysiology for HNEs and HBE cell cultures, stratified by their CFTR genotypes: healthy donors (non-CF, wt/wt, n = 13), carriers of a single CF-causing mutation (Carrier, wt/non-wt, n = 5) and patients with CF (CF, non-wt/non-wt, n = 14). Mean ( $\pm$  SEM) were calculated from all filters in a given group. At least 3 filters were used for each line included in the data set. Unpaired t-tests were used to compare and establish statistical significance across all different possible pairings.  $I_{SC}$  are shown in  $\mu A/cm^2$  and TER in  $\Omega cm^2$ .

	HBE			HNE						HBE vs. HNE	
	non-CF	CF	non-CF	non-CF	CF	Carrier	non-CF	non-CF	CF	non-CF	CF
			vs. CF				vs. CF	vs. Carrier	vs. Carrier		
$I_{Baseline}$ ( $\pm$ SEM)	47.88 ( $\pm$ 2.86)	17.16 ( $\pm$ 1.87)	$3.1 \times 10^{-13}$	38.20 ( $\pm$ 4.31)	31.50 ( $\pm$ 4.50)	12.61 ( $\pm$ 3.32)	0.285	$2.12 \times 10^{-5}$	$1.60 \times 10^{-3}$	0.061	0.005
$\Delta I_{Amiloride}$ ( $\pm$ SEM)	-37.51 ( $\pm$ 2.70)	-14.00 ( $\pm$ 1.64)	$5.9 \times 10^{-10}$	-34.40 ( $\pm$ 4.33)	-29.74 ( $\pm$ 4.48)	-9.80 ( $\pm$ 3.39)	0.578	$4.68 \times 10^{-5}$	$9.93 \times 10^{-4}$	0.546	0.002
$\Delta I_{Forskolin}$ ( $\pm$ SEM)	17.95 ( $\pm$ 1.03)	0.088 ( $\pm$ 0.06)	$1.9 \times 10^{-20}$	7.33 ( $\pm$ 0.75)	0.21 ( $\pm$ 0.10)	3.78 ( $\pm$ 0.70)	$9.6 \times 10^{-13}$	$1.43 \times 10^{-3}$	$3.44 \times 10^{-4}$	$3.9 \times 10^{-12}$	0.304
$\Delta I_{Bumetanide}$ ( $\pm$ SEM)	-13.09 ( $\pm$ 0.79)	0.051 ( $\pm$ 0.03)	$6.3 \times 10^{-20}$	-1.52 ( $\pm$ 0.76)	0.16 ( $\pm$ 0.10)	-2.24 ( $\pm$ 0.56)	0.032	0.455	$1.42 \times 10^{-3}$	$2.6 \times 10^{-17}$	0.331
TER ( $\pm$ SEM)	534.46 ( $\pm$ 35.8)	597.02 ( $\pm$ 15.6)	0.372	560.24 ( $\pm$ 25.5)	516.02 ( $\pm$ 37.3)	735.36 ( $\pm$ 176)	0.413	0.345	0.246	0.384	0.541

**2.3.2.2 The mathematical model captures population ion transport dynamics in HBE and HNE samples** In order to describe differences between the electrophysiological dynamics among these groups, the data obtained from the UC studies were used to inform a mathematical model of ion and liquid transport under UC conditions, as described in Methods and Materials. We first examined whether model simulations were able to predict the transepithelial transport dynamics of all cell cultures, regardless of cell type or CFTR genotypic makeup.

Donor specific model predictions were obtained by fitting the model using the optimization scheme described in Equation 2.8 and 2.9, for all individual cell cultures measured from a single primary cell line. Parity plots comparing the model-predicted and experimental measurements for all cell types were generated (Figure 2.9). Individual dots represent the experimental data from one filter at one time point plotted against the model prediction for that cell line at the same simulated time. Root mean square error ( $RMSE$ ) and  $R^2$  values were calculated and reported in order to assess the ability of the model to describe ion transport dynamics of all cell groups within the constraints defined. The plots demonstrated good agreement between the model and experimental data for both cell types, regardless of CFTR genotype.

Next, parameter fits obtained from the simulations described above were analyzed and summarized in Table 2.2. Calculated means from all parameter distributions suggest significant differences between HBE and HNE model-predicted permeabilities for several transport pathways. Since the predicted parameter sets are not constructed from a population level fit, but rather from donor-specific parametric fits, the resulting distributions are non-normal and can present apparent multimodalities. In order to address this, 2-sample Kolmogorov-Smirnov test, a non-parametric test of the likelihood of two sample sets belonging to the same distribution, was used to compare the corresponding distributions between non-CF HBE and HNE cell cultures (Figure 2.10 A). The distributions showed meaningful changes in mean model-predicted permeabilities ( $m/s$ ) for ENaC ( $p = 2.67 \times 10^{-4}$ ), CFTR ( $p = 1.32 \times 10^{-4}$ ), BKCa ( $p = 7.24 \times 10^{-3}$ ), bCl ( $p = 9.56 \times 10^{-4}$ ), and the maximal flux ( $mol/m^2s$ ) for NaKP ( $p = 0.0412$ ). ACC, PbK and NKCC display similar mean predicted values between the



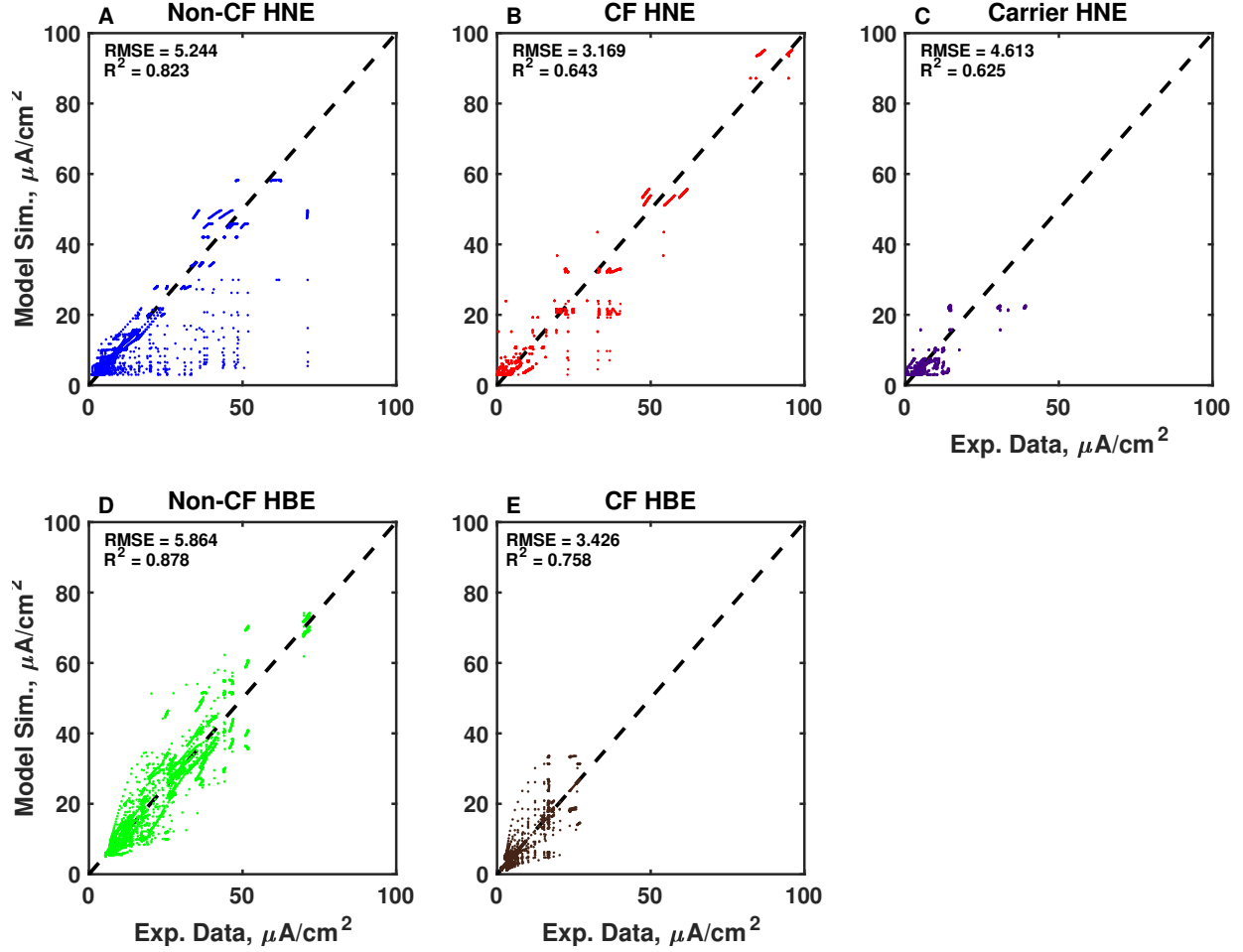


Figure 2.9: Parity plots comparing measured Ussing chamber data to cell-line specific model fits against all 5 groups of cells: (A) non-CF HNE (green); (B) CF HNE (red); (C) Carrier HNE (purple); (D) non-CF HBE (blue); (E) CF HBE (brown). Black dashed line represents a perfect model fit. Cell-line specific model predictions were obtained by fitting the model using a sum of square errors objective function with an variance-weighted simultaneous fit of all individual cell cultures in any given cell line. Individual dots represent the experimental data from one filter at one time point plotted against model prediction for that cell line . Root mean square error (RMSE) and  $R^2$  values are reported for the parity between observed and simulated values for all cell groups.

Table 2.2: Summary of averaged population-level best fit parameters for CF, non-CF and carrier cell cultures in HBE and HNE groups. Model includes pathway constraints that satisfy the biophysical conditions of the Ussing Chamber system (*e.g.* electroneutrality, voltage clamping). Logarithmic, variance-weighted, nonlinear least-squares was used as the objective function. Model parameters were estimated via LHS/IPOpt optimization for model training. Abbreviations include ENaC ( $P_{ENaC}$ ), CFTR ( $P_{CFTR}$ ) BKCa ( $P_{BKCa}$ ), alternative  $Cl^-$  channels ( $P_{ACC}$ ), basolateral  $Cl^-$  ( $P_{bCl}$ ) and basolateral  $K^+$  ( $P_K$ ) permeabilities in  $m/s$ , as well as the maximum fluxes through the basolateral  $Na^+-K^+-2Cl^-$  co-transporter ( $J_{NKCC}$ ) and  $Na^+-K^+-ATPase$  ( $J_{NaKP}$ ) in  $mol/m^2s$ .

Cell Type/ CFTR genotype		$P_{ENaC}$ $\times 10^{-7}$	$P_{CFTR}$ $\times 10^{-7}$	$P_{ACC}$ $\times 10^{-8}$	$P_{BKCa}$ $\times 10^{-8}$	$P_{bCl}$ $\times 10^{-8}$	$P_{bK}$ $\times 10^{-8}$	$J_{NKCC}$ $\times 10^{-7}$	$J_{NaKP}$ $\times 10^{-7}$
HBE	non-CF	26.92	19.54	5.31	26.7	4.59	4.39	1.02	9.97
	CF	33.4	-	1.96	9.73	0.95	4.30	0.20	6.37
HNE	non-CF	1.29	0.78	6.30	19.9	9.10	5.01	0.79	5.01
	CF	9.46	-	0.43	21.1	0.68	2.52	0.10	0.31
	Carrier	9.82	0.054	0.38	39.1	4.51	2.44	0.10	5.81

two groups. In order to further explore these changes, joint parametric plots were generated between  $P_{bCl}$  and  $P_{ENaC}$ ,  $P_{CFTR}$ ,  $P_{BKCa}$ , or  $J_{NaKP}$  (Figure 2.10 B-E, respectively). These plots revealed an increased in the predicted basolateral  $Cl^-$  permeability in non-CF HNEs relative to their HBE counterparts. The observed change in  $P_{bCl}$  correlates with changes observed in ENaC, CFTR and BKCa as well. When these trends are taken into consideration alongside the differences in  $\Delta I_{Forskolin}$  and  $\Delta I_{Bumetanide}$  observed between non-CF HBEs and HNEs in Figure 2.8 A, these predictions suggest HBEs and HNEs transport  $Cl^-$  at distinct homeostatic rates in the absence of a  $Cl^-$  gradient.

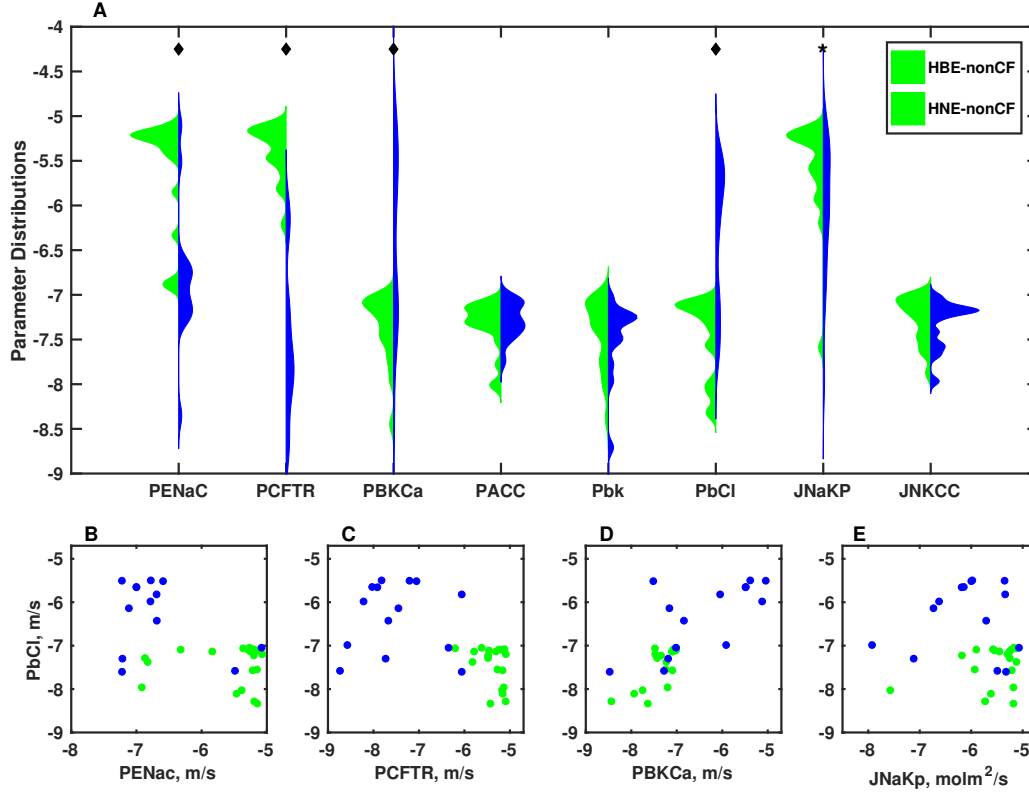


Figure 2.10: Plots summarizing the model-predicted parameter distributions for non-CF HBE (blue) and HNE (green) cell cultures. (A) Split violin plots for predicted distributions for all free parameter in the model. A 2-sample Kolmogorov-Smirnov test was used to compare the predicted distributions for each parameter between the two groups. \*:  $p < 0.05$ ,  $\bullet$  :  $p < 0.01$ . (B-E) Joint parametric scatter plots of the subject-specific, model-predicted parameters that showed statistically significant distributions between non-CF HBEs (blue) and HNEs (green).  $P_{bCl}$  vs. (B)  $P_{ENaC}$ , (C)  $P_{CFTR}$ , (D)  $P_{BKCa}$ , or (E)  $J_{NaKP}$ . All plots are on a base 10 logarithmic scale to better demonstrate the parametric shift between the two populations.

**2.3.2.3 Basolateral  $\text{Cl}^-$  transport is different between HBEs and HNEs** As a way to explore any potential differences in the basolateral  $\text{Cl}^-$  transport between HBEs and HNEs and attempt to validate model predictions, a series of experiments were designed to explore the role of NKCC in  $\text{Cl}^-$  intake. UC traces for non-CF HBE and HNE filters ( $N = 3$ ,  $n \geq 7$ ) were measured with two different drug addition sequences. For the first set of runs, the addition sequence was as follows: (1) Amiloride (blocks ENaC), (2) Forskolin (stimulates CFTR), (3) CFIn172 (blocks CFTR), and (4) bumetanide (blocks NKCC). In the second set, Amiloride is still added first, followed by Bumetanide, Forskolin and CFIn172, in that order. The first sequence represents a fairly standard UC experiment run designed to provides information about the baseline function levels for both cell types. The second addition sequence was designed to explore the ability of the cells to move  $\text{Cl}^-$  following the inhibition of NKCC  $\text{Cl}^-$  intake. A graphical summary of this protocol can be found Figure 2.11.

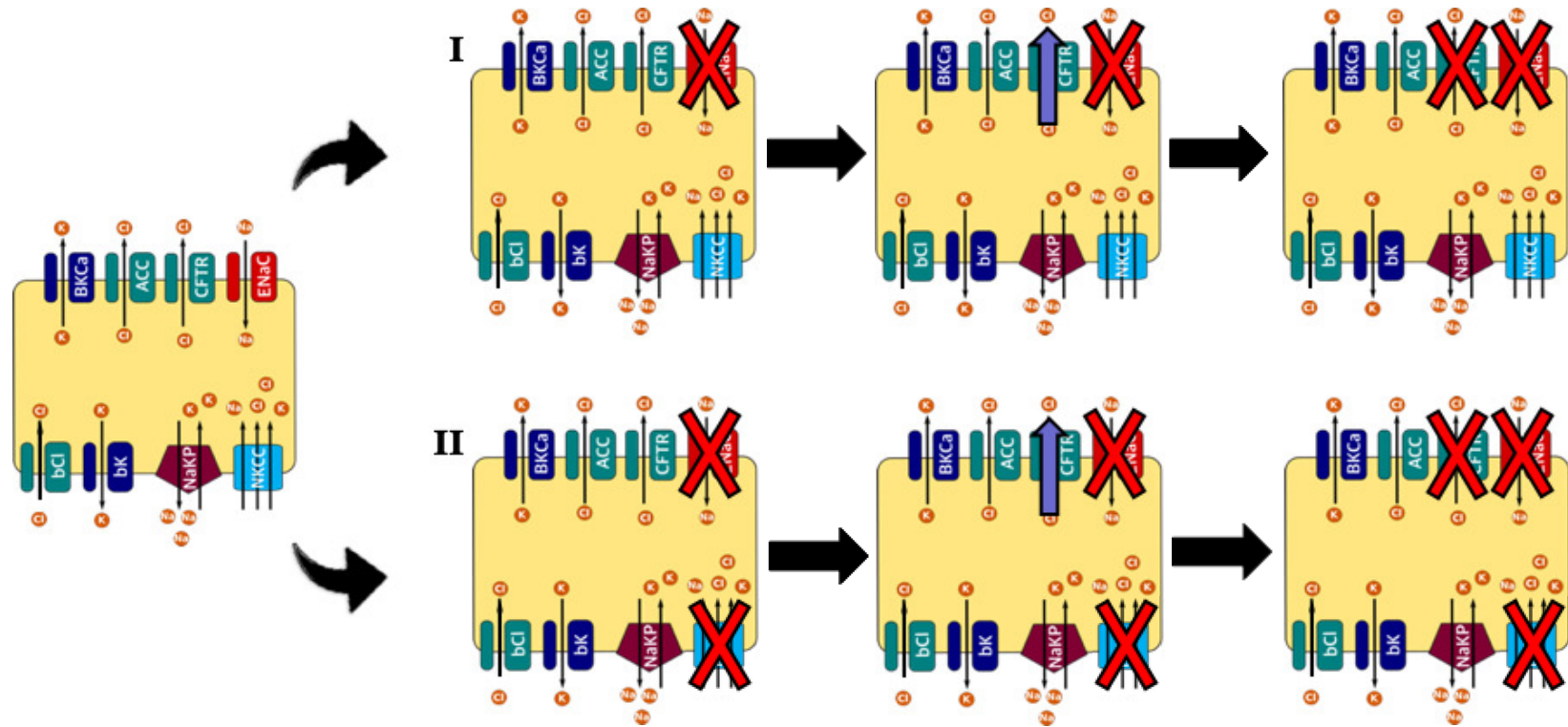


Figure 2.11: Protocol of Experiments to explore the role of the  $\text{Na}^+\text{-K}^+\text{-2Cl}^-$  co-transporter in HNE and HBE cell cultures. I. the addition sequence was as follows: (1) Amiloride (blocks  $\text{ENaC}$ ), (2) Forskolin (stimulates  $\text{CFTR}$ ), (3)  $\text{CFIhn172}$  (blocks  $\text{CFTR}$ ), and (4) bumetanide (blocks  $\text{NKCC}$ ). II. Amiloride is still added first, followed by Bumetanide, Forskolin and  $\text{CFIhn172}$ , in that order. The first sequence represents a fairly standard UC experiment run designed to provides information about the baseline function levels for both cell types. The second addition sequence was designed to explore the cells ability to move  $\text{Cl}^-$  following the inhibition of  $\text{NKCC}$   $\text{Cl}^-$  intake.

Figure 2.12 shows representative  $I_{SC}$  traces of non-CF HBE (A, B) and HNE (C, D) for the two conditions listed above, respectively. Comparison between these conditions for the same cell type showed that treatment with Bumetanide prior to the stimulation of CFTR results in significant inhibition of  $\Delta I_{Forskolin}$  for both non-CF HBE (-Bumetanide:  $18.99 \pm 5.30$ , +Bumetanide:  $8.03 \pm 1.50$ ;  $p = 1.93 \times 10^{-3}$ ) and HNE cell cultures (-Bumetanide:  $9.62 \pm 2.06$ , +Bumetanide:  $5.27 \pm 1.66$ ,  $p = 0.043$ ). Furthermore, when Bumetanide is added prior to Forskolin, HBE cultures showed a statistically higher mean percent inhibition of  $\Delta I_{Forskolin}$  than HNEs relative to baseline  $\Delta I_{Forskolin}$  measurements (HBE:  $\sim 57.7\%$  vs. HNE:  $\sim 45.2\%$ ,  $p = 1.34 \times 10^{-2}$ ). Figure 2.12 E shows bar plots summarizing the mean ( $\pm$  SEM) from  $\Delta I_{Forskolin}$  measurements from non-CF HNEs and HBEs under both experimental conditions.

**2.3.2.4 Bicarbonate is essential for HNE  $\text{Cl}^-$  transport** In order to further explore the mechanism behind the  $\text{Cl}^-$  transport differences we have described, non-CF HBE and HNE ( $N = 3$ ,  $n = 8$ ) UC experiments were run to assess the role that bicarbonate,  $\text{HCO}_3^-$ , transport has on electrophysiology dynamics. These experiments either included or removed  $\text{HCO}_3^-$  from the extracellular solutions and used the following drug addition sequence: (1) Amiloride, (2) Bumetanide, (3) Forskolin, (4) CF Ihn172. Figure 2.13 shows representative  $I_{SC}$  traces of non-CF HBE (A) and HNE (B) for the two conditions listed above. Comparison between these conditions for the same cell type showed that removing  $\text{HCO}_3^-$  and blocking NKCC significantly reduces the  $\Delta I_{Forskolin}$  and  $\Delta I_{CFIhn172}$  relative to baseline measurements in non-CF HNEs ( $\Delta I_{Forskolin}$ ; + $\text{HCO}_3^-$ :  $1.69 \pm 0.65$ , - $\text{HCO}_3^-$ :  $0.23 \pm 0.05$ ;  $p = 2.64 \times 10^{-4}$ ), but not for their HBE counterparts ( $\Delta I_{Forskolin}$ ; + $\text{HCO}_3^-$ :  $6.79 \pm 2.63$ , - $\text{HCO}_3^-$ :  $5.63 \pm 0.92$ ;  $p = 0.134$ ) (Figure 2.13 A-D). Similarly, we explored the effect that the addition of Bumetanide and the removal of  $\text{HCO}_3^-$  has on CF HNE cell cultures treated with a CFTR corrector and potentiator combo. The results showed no meaningful change in  $\Delta I_{Forskolin}$  between three conditions tested (-Bumetanide/+  $\text{HCO}_3^-$ :  $4.01 \pm 0.02$ ; +Bumetanide/+  $\text{HCO}_3^-$ :  $4.61 \pm 0.01$ ; +Bumetanide/-  $\text{HCO}_3^-$ :  $5.62 \pm 1.42$ ). The addition of Bumetanide or removal of  $\text{HCO}_3^-$  both effectively abolished  $\Delta I_{CFIhn172}$  measurements (-Bumetanide/+  $\text{HCO}_3^-$ :  $-7.94 \pm 0.79$ ; +Bumetanide/+  $\text{HCO}_3^-$ :  $-1.28 \pm 0.01$ ; +Bumetanide/-  $\text{HCO}_3^-$ :  $-1.16 \pm 0.03$ ) (Figure 2.14).

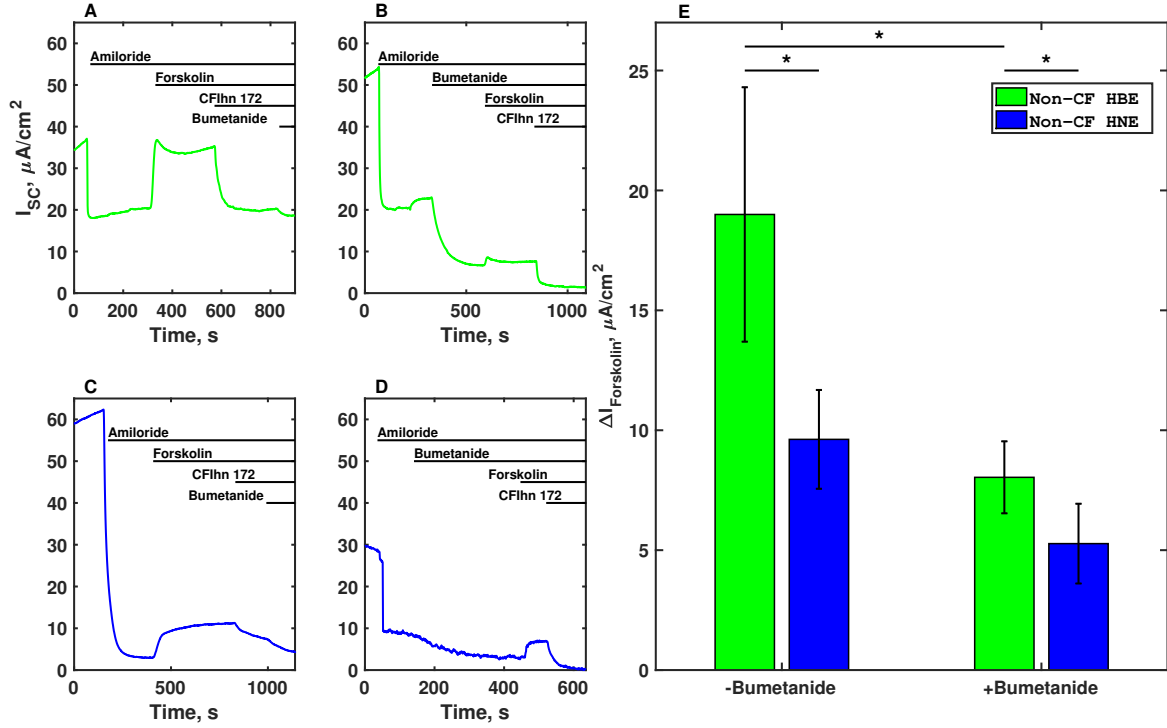


Figure 2.12: Summary of Ussing Chamber experiments designed for validation of model predictions. (A-D): representative short circuit current traces for HBE (A-B) and HNE (C-D) non-CF cell cultures ( $N = 3$ ,  $n = 7$ , per cell type per experiment type). Plots on the left (A, C) were treated acutely with the following pharmacological addition: (1) Amiloride (blocks ENaC), (2) Forskolin (stimulates CFTR), (3) CFIn172 (blocks CFTR), and (4) Bumetanide (blocks NKCC). Plots on the right (B, D) were treated acutely with the following pharmacological addition: (1) Amiloride (blocks ENaC), (2) bumetanide (blocks NKCC), (3) Forskolin (stimulates CFTR), and (4) CFIn172 (blocks CFTR). (E) Bar graphs showing measured  $\Delta I_{Forskolin}$ ,  $\mu A/cm^2$  ( $\pm SEM$ ) per cell type per addition sequence. Student t-test was used to established statistical significance between the different groups. \*:  $p < 0.05$ .

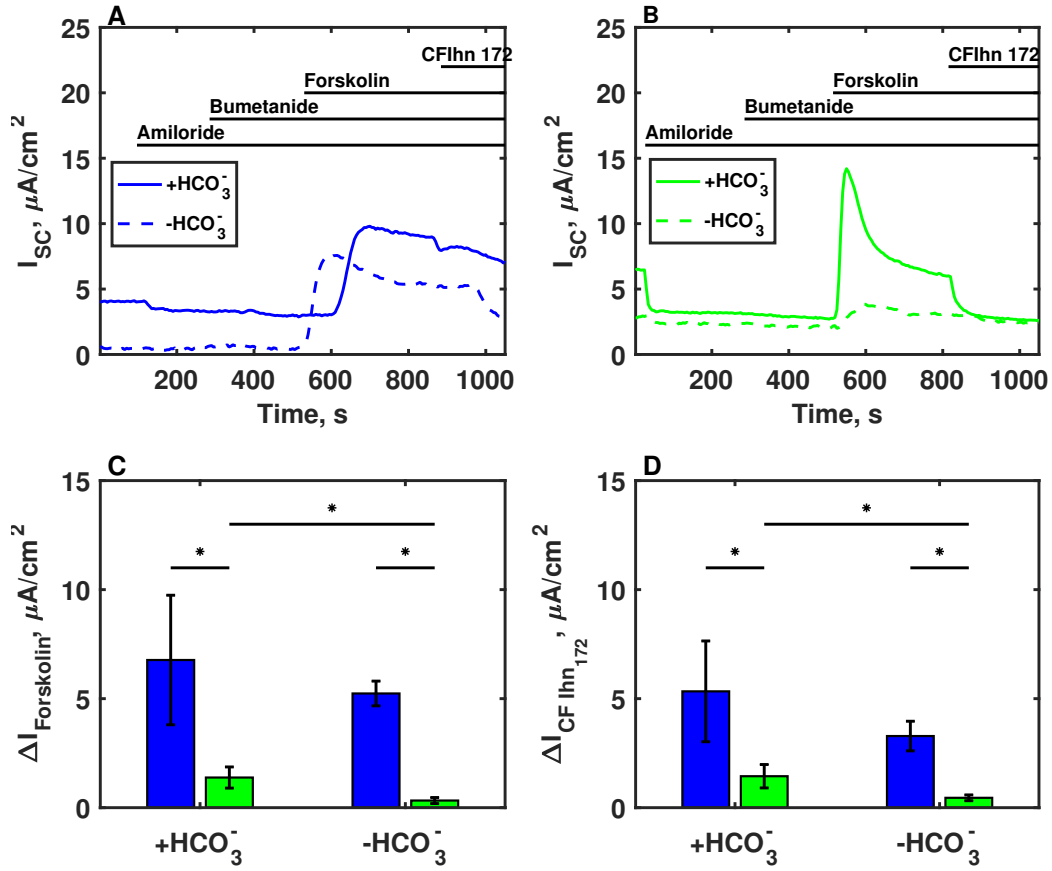


Figure 2.13: Summary of Ussing Chamber experiments to asses effect of bicarbonate ion,  $\text{HCO}_3^-$ , on airway epithelial  $\text{Cl}^-$  transport. (A) Representative short circuit current traces for non-CF HBE cell cultures. (B) Representative short circuit current traces for non-CF HNE cell cultures. Solid lines represent filters treated with Bumetanide and with  $\text{HCO}_3^-$  in the extracellular solution. Dashed lines represent filters treated with Bumetanide in the absence of  $\text{HCO}_3^-$  in the extracellular solution. (C) Bar graphs showing  $\Delta I_{\text{Forskolin}} (\mu\text{A}/\text{cm}^2 \pm \text{SEM})$  for non-CF HBEs and HNEs measured under the two conditions described above. (E) Bar graphs showing  $\Delta I_{\text{CFln172}} (\mu\text{A}/\text{cm}^2 \pm \text{SEM})$  for non-CF HBEs and HNEs measured under the two conditions described above. Student t-test was used to established statistical significance between the different groups. \* :  $p < 0.05$ . Three different primary lines were used for each experimental condition (N = 3, n = 8).



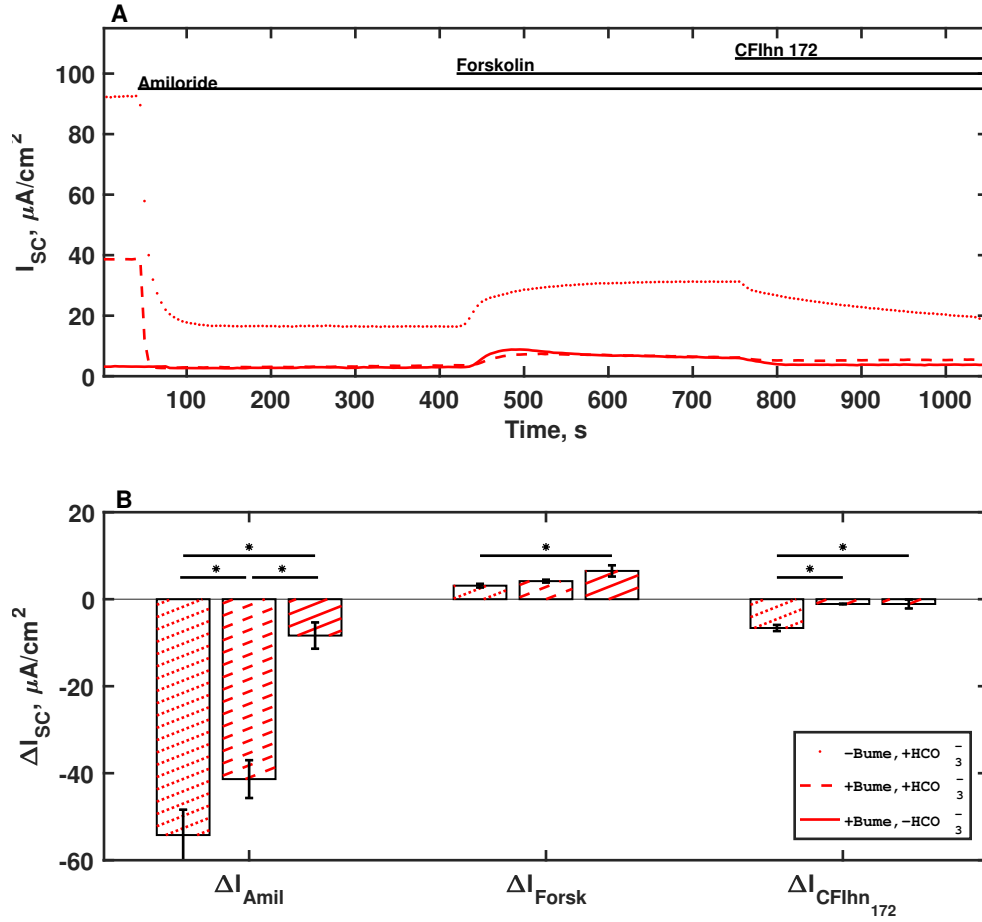


Figure 2.14: Summary of UC experiments to assess effect of Bumetanide treatment and bicarbonate ion,  $HCO_3^-$ , on airway epithelial  $Cl^-$  transport of primary CF HNE cell cultures. Cell cultures were treated with VX809, a CFTR corrector ( $10 \mu M$ ) for 48 hours prior to experiment. Following addition sequence was used: (1) Amiloride, (2) Bumetanide or nothing, (3) Forskolin and VX770 ( $5 \mu M$ , CFTR potentiator), and (4) CFIhn172. (A) Representative  $I_{SC}$  traces. Dotted line represents filters with no Bumetanide added and with  $HCO_3^-$  present in the extracellular solution. Dashed lines represent filters treated with Bumetanide with  $HCO_3^-$ . Solid lines represent filters treated with Bumetanide in the absence of  $HCO_3^-$ . (B) Bar graphs showing  $\Delta I_{Amiloride}$ ,  $\Delta I_{Forskolin}$ , and  $\Delta I_{CFIhn172}$  ( $\mu A/cm^2 \pm SEM$ ) measured under the conditions described above. Student t-test was used to compare the different groups. \*:  $p < 0.05$ . Three different primary lines were used per experimental condition ( $N = 3$ ,  $n = 7$ ).

## 2.4 Discussion

HNEs represent a novel and exciting new model for the study of airway physiology and pathologies. This is particularly underscored in a study by Tosoni and colleagues that measured TER, transepithelial voltage, and calculated equivalent currents from 137 pseudostratified HNE cultures from 18 healthy donors [193]. Their study validated the feasibility of HNEs as a platform for common *in vitro* studies performed in other primary epithelial models like HBEs. However, it also found significant inter-patient variability with positively-skewed log normal distributions for all three measurements, suggesting the importance of initial cell line specific baseline measurements as a set point for framing experimental results [193]. In spite of their potential, the extent to which HNE electrophysiology may differ from that of HBE cultures has yet to be fully characterized. Studies on ENaC expression and functional levels in the nasal tissue, as well as the potential clinical relevance of these measurements, serve as a great example. A study that sampled tissue from the airways of patients undergoing a lung lobectomy showed that nasal tissue expressed markedly different mRNA levels of the  $\alpha$ - and  $\gamma$ -subunits of ENaC when compared to bronchial and peripheral lung biopsies [140]. Similar studies have also found that these expression levels are correlated with Benzamil-sensitive NPD measurements and  $\Delta I_{Amiloride}$  measured from nasal mucosa tissue samples, *in vivo* and *in vitro* measurements of ENaC function, respectively. This suggests a change in the homeostatic functional levels of ENaC as a function of depth along the airway tree (*i.e.* nasal cavity vs. bronchi) [144, 216].

In an effort to develop a consistent electrophysiological dataset to assess these type of differences, fully differentiated HBE and HNE cultures were used to perform transepithelial short-circuit electrophysiology studies under UC conditions as described previously. Data demonstrated that HNE filters present statistically significant decreases in  $\Delta I_{Forskolin}$  and  $\Delta I_{Bumetanide}$  with respect to HBE filters under the same conditions.  $\Delta I_{Forskolin}$  is a commonly used marker of *in vitro* CFTR function, as well as the common *in vitro* endpoint for CFTR corrector and potentiator efficacy in CF. The concurrent change in both the magnitude of  $\Delta I_{Bumetanide}$  and the percent of  $\Delta I_{Forskolin}$  inhibited by Bumetanide suggest significant differences in the overall  $\text{Cl}^-$  transport dynamics exercised by each cell type. In fact, the

observed differences in  $I_{Baseline}$  ( $p = 0.06$ ) between the two cell types, while not significant, do support the notion of varied electrophysiology dynamics between the two cell types (Figure 2.8 A, D-E).

In order to further explore these observations, this dataset was used to inform a biophysically inspired model of airway epithelium electrophysiology (Figure 2.1 B). The model accurately recapitulated  $I_{sc}$  for all five groups (Figure 2.9 A-E). Interrogation of model mechanisms corroborated the different ionic flux dynamics, particularly an overall reduced transepithelial  $Cl^-$  secretion in HNEs. The model predicted a shift in the basolateral  $Cl^-$  permeabilities and fluxes between HBEs and HNEs. Specifically, subject-specific parameter sets were used to generate possible parameter distributions for each cell type. These distributions showed a significant decrease in  $J_{NKCC}$  and  $P_{CFTR}$ , and an increase in  $P_{bCl}$  for non-CF HNEs relative to their HBE counterparts (Figure 2.10 A). UC experiments looking at  $\Delta I_{Forskolin}$  in the presence or absence of Bumetanide revealed a significantly higher inhibitory effect in HBEs than HNEs (Figure 2.12 A-E), supporting the previously described model findings.

Differences in CFTR function between nasal and lung airways have not been well described and may affect CF pathophysiology. A previous study of CFTR expression and function levels did not find any significant differences in  $I_{SC}$  measurements between HNEs and HBEs regardless of CFTR genotype in the presence of a large serosal to mucosal  $Cl^-$  gradient [153]. (It is important to note that a  $Cl^-$  gradient could induce a saturated transport mechanism, where the increased transport driving force inherently changes the homeostatic behavior of the epithelium.) A separate study that looked at patient-matched, non-CF HBE and HNE cultures found a linear relation between the CFTR-related  $I_{SC}$  measurements, but the relation does not appear to follow parity, which possibly indicates distinct functional levels [17]. An earlier study also supports this claim in cultures from donors homozygous for wild-type CFTR but reported  $TER$  measurements below  $100\Omega cm^2$  for most of their samples [113]. Together these findings support the notion of distinct  $Cl^-$  transport dynamics for the nasal and bronchial epithelia.

In order to further explore this possibility, and to identify a possible mechanism behind this shift, we evaluated the effect that pre-Forskolin treatment with Bumetanide, and the

removal of  $\text{HCO}_3^-$  from the extracellular solution has on HBEs and HNEs UC dynamics. These experiments showed that while HBE cells do show a non-significant decrease in the  $\Delta I_{\text{Forskolin}}$  and  $\Delta I_{\text{CFIhn172}}$ , relative to baseline, HNEs showed almost no current response to either pharmacological event (Figure 2.13 A-B) in the absence of  $\text{HCO}_3^-$ . This indicates that  $\text{HCO}_3^-$  plays a more important role in  $\text{Cl}^-$  transport in HNEs than HBEs. Together, these findings point to an increase in  $\text{Cl}^-$  flux linked to  $\text{HCO}_3^-$  in HNEs relative to baseline levels in HBEs.

Anion ( $\text{Cl}^-$  and  $\text{HCO}_3^-$ ) transport has been of great interest in the study of airway epithelium, particularly with regards to submucosal glands in bronchi [44]. Single cell and monolayer electrophysiology studies in Calu-3 cells have shown that basolateral transport of  $\text{HCO}_3^-$  accounts for a large portion of basolateral  $\text{Cl}^-$  loading and that under voltage clamp conditions the lumen favors the secretion of  $\text{HCO}_3^-$  as oppose to  $\text{Cl}^-$  [172, 175]. In fact, similar studies suggest a possible anion exchange mechanism where the simultaneous influx of  $\text{Na}^+$  and  $\text{HCO}_3^-$  through basolateral SLC4A4 (NBC) transporters leads to a corresponding basolateral  $\text{Cl}^-$  influx and  $\text{HCO}_3^-$  efflux through SLC4A2 (AE2)[99]. AE2 is a  $\text{Cl}^-$ - $\text{HCO}_3^-$  anion exchanger, and NBC is a unidirectional  $\text{Na}^+$ - $\text{HCO}_3^-$  co-transporter; both are believed to be expressed in the airway epithelium [84]. This mechanism has been shown to be serosal Bumetanide insensitive, Forskolin-stimulated, electrogenic, serosal DNDS (a potent inhibitor of NBC) sensitive, serosal  $\text{Na}^+$  dependent, and favored under depolarized basolateral potential conditions ( $E_{\text{Bl}} \approx -40\text{mV}$ ) [44, 175].

Apical permeabilization studies confirmed electrogenicity due to unequivalent  $\text{Na}^+$ - $\text{HCO}_3^-$  intake through NBC, with an estimated 2:1 stoichiometry[99, 175]. Similarly, the stable knock-down of AE2 in the same system abolished  $\text{Cl}^-/\text{HCO}_3^-$  basolateral exchange and increased the fraction of  $\Delta I_{\text{Forskolin}}$  sensitive to Bumetanide, suggesting a reversal to an NKCC-dominated basolateral  $\text{Cl}^-$ -intake profile [85]. Lastly, activation of basolateral  $\text{Ca}^{2+}$ -activated CTX-sensitive  $\text{K}^+$  channels (hIK) with I-BIO (a sustained intracellular  $\text{Ca}^{2+}$  stimulant), hyperpolarized the basolateral potential, diminished  $\text{HCO}_3^-$  intake and increased the fraction of NKCC contribution to basolateral  $\text{Cl}^-$  intake [18].

Other studies have described similar mechanisms. Murine models deficient for NKCC exhibit a compensatory,  $\text{HCO}_3^-$ -mediated  $\text{Cl}^-$  transport pathway in both airway and gut ep-

ithelia [44, 65, 204]. Even more relevant is a different study that performed UC measurements under isosmotic and  $\text{HCO}_3^-$  free conditions on nasal tissue samples obtained from 9 non-CF patients and observed low (less than  $2\mu\text{A}/\text{cm}^2$ )  $I_{SC}$  measurements following treatment with cAMP and IBMX, commonly used stimulants of CFTR [167]. This further suggests that lack of  $\text{HCO}_3^-$  may be sufficient to abolish transepithelial  $\text{Cl}^-$  transport in nasal epithelia.

We hypothesize that the increased basolateral  $\text{Cl}^-$  flux predicted by the model is linked to AE following a similar electrogenic transport rate through NBC, as the one described above. It is important to mention that due to the anion agnostic nature of our model, these types of anion transport would be predicted as an increase in the bCl and CFTR permeabilities (Table 2.2), with a possible decrease of the overall flux through NKCC. This is further confirmed by the decreased in the fraction of  $\Delta I_{Forskolin}$  inhibited by Bumetanide in HNEs relative to HBEs and the near total inhibition of  $\Delta I_{Amiloride}$  and  $\Delta I_{Forskolin}$ , following the removal of  $\text{HCO}_3^-$ , but not following treatment with Bumetanide (in the  $\Delta I_{Forskolin}$  case).

CFTR expression and functional levels in HNEs have been linked to several commonly used clinical markers of airway function in CF. More recently, a study found that apical expression levels of CFTR in HNEs correlate to lung disease progression in CF, as measured by %FEV1 [197]. Similarly, HNEs harvested from patients with CF and treated with CFTR correctors and potentiators showed that changes in their  $\Delta I_{Forskolin}$  strongly correlates with changes in the Forskolin-stimulated NPD and %FEV1 in patients undergoing analogous treatments [153]. And perhaps more importantly, cells harvested from nasal brushings have been used to identify significant  $\text{H}^+$  and  $\text{HCO}_3^-$  transport rate differences between chronic rhinosinusitis (CRS) patients with and without CF [27].

We also assessed whether treatment with Bumetanide or removal of  $\text{HCO}_3^-$  affected the response of CF HNEs cell culture CFTR correctors and potentiators in 3  $\Delta F508$  homozygous lines. The results showed that Bumetanide decreased both the  $\Delta I_{Forskolin}$  and  $\Delta I_{CFIhn172}$  of CF HNEs. However, no meaningful difference was observed between the experiments with and without  $\text{HCO}_3^-$  (Figure 2.14 A-B). In fact, Bumetanide inhibited all the  $\Delta I_{Forskolin}$  when added following the CFTR stimulant, suggesting NKCC dominates basolateral  $\text{Cl}^-$  intake.

It is possible that the absence of any basolateral  $\text{Cl}^-$  transport is sufficient to inhibit the action of the correctors, due to limited rescue levels of CFTR relative to wild type levels of

function. However, there have been significant studies that have looked at the effect that CFTR variants have on the relative  $\text{Cl}^-$  and  $\text{HCO}_3^-$  permeabilities the channel. Seminal work by Reddy and colleagues, for example, identified distinct  $\text{Cl}^-:\text{HCO}_3^-$  CFTR selectivity in a CF human sweat gland epithelium model [161]. They showed  $\Delta F508/R117H$  lost nearly all  $\text{Cl}^-$  conductance but retained significant  $\text{HCO}_3^-$  conductance, while  $\Delta F508$  homozygous cultures lost both conductances [161]. It should be noted that the latter CFTR variant combination is associated with a low likelihood of causing CF ([www.cftr2.org/r117h](http://www.cftr2.org/r117h)). Other studies have also showed that CFTR variants can impair  $\text{HCO}_3^-$  transport by disrupting CFTR regulation through the WNK1-SPAK pathway [101]. Specifically, they showed that loss of  $\text{HCO}_3^-$  is linked with higher incidence of CRS, pancreatitis and vas deferens abnormalities, even in the absence of CF airway disease [101].

Lastly, and perhaps most relevant, recently presented work by Bridges and colleagues has identified remarkable differences in the ability of correctors to restore  $\text{HCO}_3^-$  function. Polarized cultures of FRT cells expressing  $\Delta F508$  CFTR showed no meaningful difference in  $\Delta I_{\text{Forskolin}}$  in the presence or absence of a serosal-to-mucosal  $\text{HCO}_3^-$  gradient. Cells expressing  $G551D$  or  $S549R$  showed higher response in the presence of the same  $\text{HCO}_3^-$  gradient, with  $G551D$  cells responding nearly as well as when exposed to an equivalent  $\text{Cl}^-$  gradient [173]. The distinct selectivity and permeability described by different variants could explain the shift from  $\text{HCO}_3^-$  to NKCC driven basolateral  $\text{Cl}^-$  transport observed between Non-CF and CF HNEs (Figures 2.13 and 2.14). Specifically, the analysis must be expanded to include cultures from a broad range of CFTR variants in order to validate the proposed mechanism. Figure 2.15 summarizes this proposed mechanism. However, more detailed studies are needed in order to fully explore the role that basic electrophysiological differences between HBEs and HNEs can have in the electrophysiological profiles observed in CF HNEs .

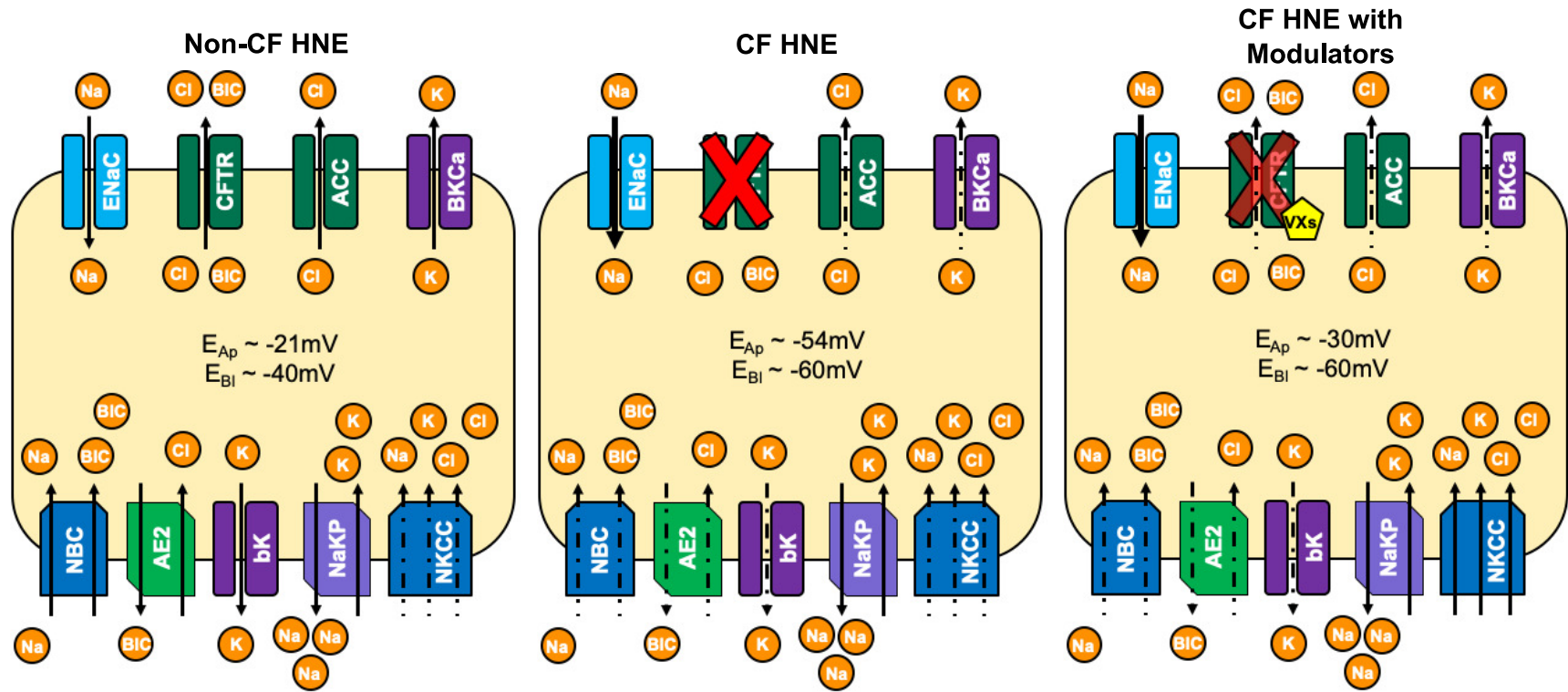


Figure 2.15: Outline of proposed mechanism for anion transport in Non-CF and CF HNEs. Combined results from *in vitro* and *in silico* experiments revealed a possible shift in basolateral anion transport. Non-CF HNEs revealed a  $\text{HCO}_3^-$ -dependent, and Bumetanide insensitive transport profile. CF HNEs treated with a CFTR modulator combination (VX770/VX809) revealed a shift that favors basolateral  $\text{Cl}^-$  intake through NKCC transport. Model results suggest that this shift could be caused by a change in the transmembrane potential as a result of loss of CFTR function and the indirect effect that it has on other neighboring ion channels.

Similarly, basic UC studies performed on HNE cultures harvested from subjects with a CF carrier genotype (wt/non-wt) showed markedly distinct electrophysiology profiles versus either CF or non-CF HNEs, including lower  $I_{Baseline}$ ,  $\Delta I_{Amiloride}$ , and  $\Delta I_{Forskolin}$  (Figure 2.8 B, Table 2.1). HNE carrier data also yielded distinct model-predicted parameters, with predictions for ENaC, ACC, NKCC and bK trending to values similar to those predicted for CF-subjects, the NaKP flux staying similar to that of non-CF, and values for CFTR and bCl splitting the difference between non-CF and CF predictions (Table 2.2). All subjects showed greater than 60% inhibition of  $\Delta I_{Forskolin}$  by Bumetanide in the presence of physiological  $HCO_3^-$  levels. Once again, this suggests, a possible shift towards a more NKCC-dominated basolateral  $Cl^-$  intake than their Non-CF counterparts. It is important to point out that this study only included data from 5 distinct carrier subjects (4 with a  $\Delta F508$ /wt genotype and 1 with a  $2184insA$ /wt genotype).

Previously published studies have found a link between CFTR mutations and incidence of CRS [206]. Another study, specifically found that carriers of a CF-causing mutation have a higher prevalence of CRS than normal [205]. In fact, multiple studies have found links between the incidence of common CF comorbidities like nasal polyps, bronchiectasis, and pancreatitis and CF-causing mutations, even in the absence of a formal CF diagnosis [24, 28, 150, 177]. Together, these suggest an underlying electrophysiological difference between carriers and non-CF individuals that, while not severe enough to cause CF, can disrupt the healthy function of the epithelia. More studies are needed to further explore the extent of any physiological difference and to better understand the link between CFTR mutations and possible clinical manifestations. These studies are currently underway.



### 3.0 A Quantitative System Pharmacology Model of Cystic Fibrosis Liquid and Solute Transport Dynamics across Primary Human Nasal Epithelia

Cystic Fibrosis (CF) is a life-shortening, autosomal recessive disease caused by mutations in the Cystic Fibrosis Transmembrane Conductance Regulator (CFTR) gene. CF is the most common lethal genetic disorder among Caucasians and occurs at a rate of 1 in every 3,400 births in the United States affecting approximately 70,000 patients worldwide [192]. The CFTR gene codes for an anion channel (also referred to as CFTR) expressed on the mucosal side of the epithelium of multiple organ systems, including the pancreas, intestine, reproductive organs, and airway [142]. Loss of CFTR expression or function results in an osmotic imbalance due to defective ion transport. In the respiratory tract, these conditions cause airway surface liquid (ASL) hyperabsorption, mucus dehydration, and depressed mucociliary clearance (MCC). Mucostasis leads to chronic infection and a sustained and unregulated inflammatory response that causes bronchiectasis and respiratory failure, the leading cause of CF mortality.

Fundamentally, CF is a disease driven by dysregulation of transepithelial ion and water transport. In healthy epithelia, water, ions, and other solutes are transported across the epithelium via paracellular and transcellular mechanisms [190]. Water transport is primarily driven by osmotic forces across tight junctions or through water channels on the plasma membrane of epithelial cells [199]. Ions and some small molecules are transported transcellularly through active or passive fluxes linked to a multitude of ion channels and co-transporters [84]. Solute transport also occurs in the paracellular space, and it is mediated by both diffusive and convective transport [110].  $\text{Cl}^-$ ,  $\text{Na}^+$ , and  $\text{K}^+$  concentrations are the main determinants of ASL and cellular volume regulation [190, 198]. In CF, deficient or absent CFTR causes decreased  $\text{Cl}^-$  and  $\text{HCO}_3^-$  secretion [12, 151]. In CF, dysfunctional CFTR or its downstream physiological effects have been shown to either directly or indirectly disrupt the normal functions of multiple known members of the epithelial electrophysiology network, including the epithelial sodium channel (ENaC) [130], the solute carrier family 26 member 9 (SLC26A9) [8], aquaporin 3 (AQP3) [90, 171], and some gap and tight junction

proteins [18, 19]. The combined effect of these disruptions leads to a homeostatic imbalance that favors the hyperabsorption of ASL.

Electrophysiological measurements are taken using an Ussing Chamber (UC) to study ion and liquid transport dynamics at the airway epithelium. The UC allows for the measurement of the whole-membrane, transepithelial short-circuit current, which provides a direct link to ion transport dynamics under conditions where the epithelium interfaces two static, relatively infinite liquid and ion reservoirs. This technique has been particularly useful in CF, where UC recordings are commonly used to study disease pathophysiology and assess the efficacy of novel CFTR modulators [8, 52, 195]. Several mathematical models have been published that explore the electrophysiological dynamics of the airway epithelium under conditions similar to those of the UC, where apical liquid volume far exceeds physiological conditions. These models have either focused on general descriptions of airway epithelia function [54, 62, 135, 136] or explored possible functional differences between CF and non-CF cell cultures [138, 174]. However, the ASL has a much smaller volume under physiological conditions and is often described as a highly dynamic, thin-film volume responsible for epithelial hydration. Thus the conditions assumed in these previous models may limit their applicability to predict ASL dynamics under physiological, or thin film conditions, or those associated with ASL dehydration, a hallmark of CF pathophysiology [30, 32, 110, 120]. More recently, Sandefur et al. published an extended model that accounts for thin-film conditions of the airway and includes cellular regulation through both  $\text{Ca}^{2+}$  and purinergic signals over a physiologically-relevant time period [168]. However, it does not account for all paracellular transport mechanisms or provide a meaningful and scalable metric to extrapolate model predictions to clinical measurements.

Here we present a detailed model characterizing the pathways for liquid and solute transport within the CF airway. The model is informed with data generated from primary human nasal epithelial (HNE) cell cultures harvested from subjects who performed concurrent airway function tests. Contributions of both convective and diffusive paracellular transport on epithelial dynamics are described. In particular, the model includes expressions to track the transport of the radiopharmaceutical Technetium 99m-Diethylenetriamine Pentaacetic Acid (Tc-DTPA), an *in vitro* analogue of the *in vivo* functional imaging probe Indium 111-DTPA

(In-DTPA), which is used to measure small molecule absorption across the airway epithelium [110]. Radiolabeled DTPA can only move through the paracellular pathway, and its absorption rate characterizes paracellular flows and tight junction integrity. Radiolabeled DTPA absorption is increased in the lungs of patients with CF [30, 110, 120], and in primary human bronchial epithelial (HBE) CF cell cultures where it is strongly correlated with ASL absorption [110]. Functional imaging scans measuring In-DTPA absorption were developed with the goal of detecting changes in liquid absorption in the CF airway—a key indication of therapeutic efficacy.

These types of in vitro to in vivo relations are of particular relevance in the study of CF. Primary cell cultures have played an unusually important role in therapeutic development within this disease, which lacks an easily accessible, reliable animal model that can recapitulate human airway physiology, disease pathogenesis, and the genotypic variety observed in humans [102, 170]. Biophysically-inspired computational models can further facilitate this process by providing a physiologically-grounded bridge between novel cell culture based experimental measurements and commonly used clinical indicators of CF pathophysiology and therapeutic efficacy. The work presented here, an *in silico* model that leverages knowledge obtained from a parallel assessment of cell and organ level physiology, serves as a first step in this direction. On a longer timeframe, this can yield a platform where in vitro measurements sets can be used to inform data-driven, validated *in silico* model and generate patient-tailored and phenotype-based analyses of this trans-scale disease.

### 3.1 Materials and Methods

#### 3.1.1 Cell culture

HNE cells, collected under a protocol approved by the University of Pittsburgh Institutional Review Board (clinicaltrial.gov number NCT02947126), were cultured from samples obtained from minimally-invasive nasal brushings of donors. Donors either had no history of lung disease and who were not carriers of the 144 most common CFTR mutations associated

with CF disease, or had a genetically-confirmed CF diagnosis. In brief, cells were expanded using conditionally reprogrammed cells, allowing for their passaging without loss of quality decline [121, 131]. Following sufficient expansion (80-90% confluency), the cells were seeded onto 0.33-cm<sup>2</sup> filters (0.4- $\mu$ m pore size, Corning- Costar Transwell Collagen T-cols, Acton, MA, USA). After 24 hours, apical media was removed, and cell cultures were maintained at an air-liquid interface (ALI) thereafter. Basolateral media was changed 3 times weekly. Filters were maintained for at least 4 weeks under ALI conditions and demonstrated active cilia, an indicator of successful epithelial differentiation, before testing [43, 131]. For more detailed information about the cell culture protocol see **Appendix A**. This study used filters cultured from primary HNE cells from CF (14 subjects, n=36 filters) and non-CF donors (14 subjects, n=48 filters). For a general summary of the subject demographics and basic general experimental results see Table 3.1.

Table 3.1: HNE donor information and summary measurements. This includes in vitro ASL and Tc- DTPA total absorption from healthy donors (wt/wt) and donors with CF (non-wt/non-wt) following either an isotonic or an hypertonic stimuli. Experimental measurements are reported as the percent (%) change over 24 hours . For ASL measurements, a positive values signals a net liquid absorption, and a negative value represents a net liquid secretion over the same time period. Means ( $\pm$  SEM) were calculated from all individual cell cultures from each group. N represents the number of individual primary cell lines used for each experimental set up.

ID	Group Size	Age	Sex	Genotype	Isotonic Ringers		Hypertonic Ringers	
					ASL % $\pm$ SEM	Tc-DTPA % $\pm$ SEM	ASL % $\pm$ SEM	Tc-DTPA % $\pm$ SEM
CF	14	38 ( $\pm 7.4$ )	M (8)	$\Delta F508/\Delta F508$ (4)	N = 14		N = 7	
				$\Delta F598$ /other (8)	83.2	61.2	-23.4	34.4
				other/other (2)	$\pm 0.09$	$\pm 8.3$	$\pm 10.9$	$\pm 6.3$
non-CF	14	28 ( $\pm 0.4$ )	M (9)	wt/wt	N = 14		N = 6	
					64.2	37.5	-110.2	36.5
					$\pm 0.06$	$\pm 8.1$	$\pm 15.2$	$\pm 2.9$

### 3.1.2 In vitro ASL and paracellular transport clearance studies

ASL volume and Tc-DTPA apical retention were measured during the 24 h following the addition of 0.01mCi/ml Tc-DTPA in 10  $\mu$ L of isotonic Ringer's solution (IR) (115 mM NaCl, 25 mM NaHCO<sub>3</sub>, 5 mM KCl, 10 mM HEPES, 1 mM MgCl<sub>2</sub>, 1.5 mM CaCl<sub>2</sub>, and 5 mM glucose) to the apical surface of the HNE cell cultures (Figure 3.1A). Tc-DTPA radioactivity was measured in the ASL and cell layer by removing the filter from the media and briefly placing it in a well counter. Results were decay and background corrected, normalized by starting counts, and fitted to exponential curves to generate clearance rates [30, 110]. Plates containing HNE cells cultures were imaged with an optical scanner (Epson V500, Epson Corporation, Long Beach, CA, USA). ASL volume was measured using a previously described optical method [76]. These images were then analyzed using an image analysis algorithm implemented in ImageJ (<https://imagej.nih.gov/ij/>, NIH, Bethesda, MD, USA) [76]. The algorithm utilizes the radial refraction patterns induced as transmitted light passes through the meniscus of the ASL layer to predict a liquid volume from an experimentally determined calibration curve. A diagram detailing the experimental setup for these studies can be found in Figure 3.1A. In order to explore the behavior of HNE cell cultures under osmotic stimuli, these studies were also performed following the apical addition of Tc-DTPA in 10 $\mu$ L of hypertonic Ringer's solution (isotonic + 200mM NaCl) (HR).

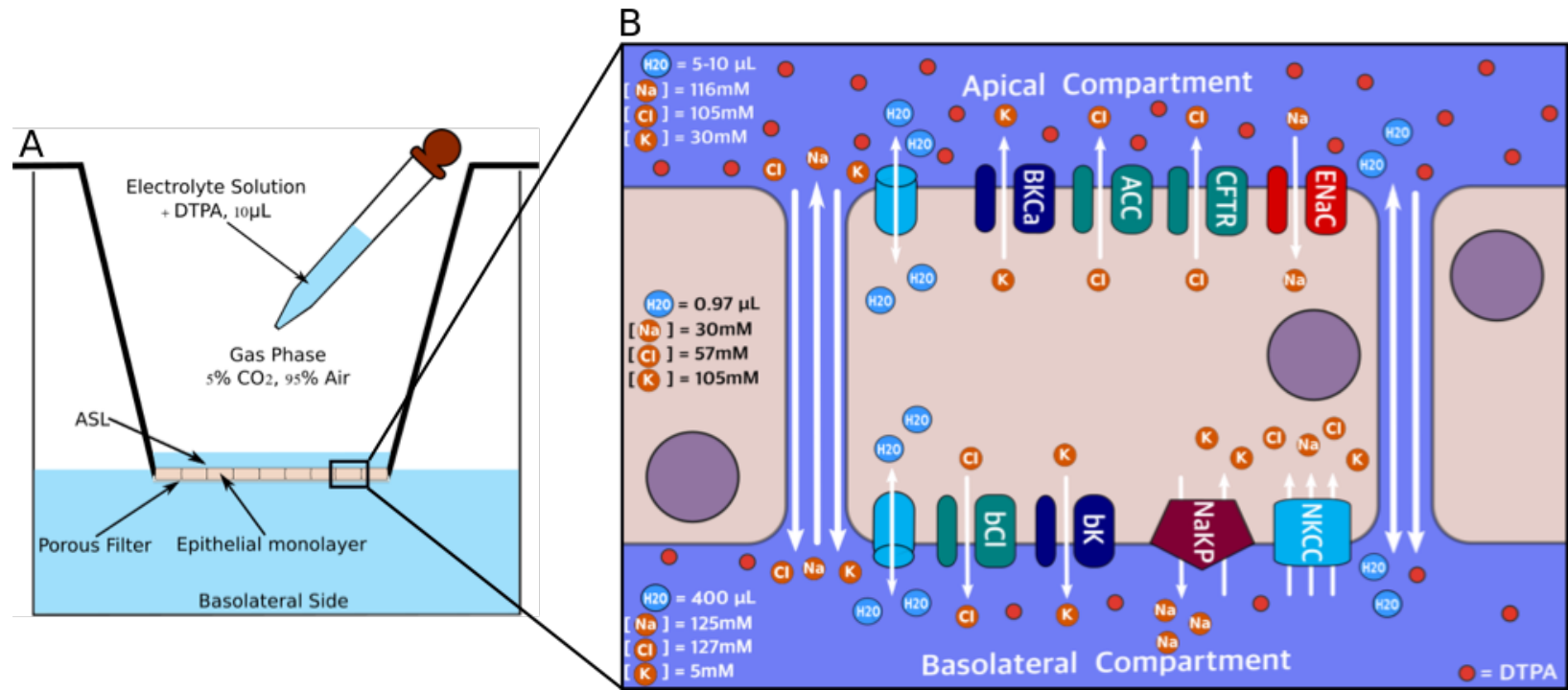


Figure 3.1: (A) Schematic of human nasal epithelial (HNE) cell culture experimental conditions. The HNE membrane was seeded and allowed to differentiate on porous supports in the presence of epithelial differentiation media (basolateral side) and a 5% CO<sub>2</sub>/95% O<sub>2</sub> gas mixture (apical side). Membranes naturally develop an ASL volume. At the beginning of the experiments, 10  $\mu$ L of Ringers solution and Tc-DTPA were added. Tc-DTPA retention (in counts) and ASL volume (in  $\mu$ L) were measured at 0, 2, 4, 6, 8, 12, and 24 h following the volumetric stimulus. The zoomed area (B) shows the major contributors to solute and liquid transport across the airway epithelium represented in the model equations.

### 3.1.3 Model Structure and Development

Based on the model schematic in Figure 3.1 B, we have developed a system of ordinary differential equations (ODEs) governing liquid and solute transport in HNE cell cultures. HNE cultures are grown at an ALI to mimic physiological conditions in the human airway as closely as possible. In order to accurately describe the dynamic behavior of this system, three compartments are included:

1. the cellular (C) compartment, which accounts for the total intracellular volume across the entire epithelial monolayer ( $\sim 0.5 - 1.5\mu L$ )
2. the apical (Ap) compartment consisting of the naturally occurring ASL ( $\sim 0 - 25\mu L$ )
3. the larger basolateral (Bl) compartment, which contains cell culture media ( $\sim 400\mu L$ )

These compartments interface each other in the transport of water, electrolytes, and other solutes through a complex network of ion channels, transporters, and junction proteins across both the paracellular and transcellular pathways [84]. The model includes mathematical expressions characterizing the different transport mechanisms of water,  $\text{Na}^+$ ,  $\text{Cl}^-$ ,  $\text{K}^+$ , and Tc-DTPA between all three compartments, as well as the electrical potential across both the apical and basolateral membranes. A compartmental description of the model fluxes and compartments can be found in Figure 3.2.

The model describes the geometry and dimensions of HNE cell cultures based on the assumption that they are composed of cell monolayers, with individual cells having the shape of rectangular prisms adjacent to one another over the entire filter plane. Accordingly, the model definitions of effective surface area for all tight junctions ( $A_{TJ}$ ), and apical ( $A_{Ap}$ ) and basolateral ( $A_{Bl}$ ) membranes are as follows:  $A_{TJ}$  is taken to be the cross-sectional area of the total filter area ( $A_F = 0.33\text{cm}^2$ ) occupied by tight junctions.  $A_{Ap}$  is the remaining part of  $A_F$  once  $A_{TJ}$  is subtracted. Lastly,  $A_{Bl}$  is taken to include both the basal reflection of  $A_{Ap}$  and the lateral membrane lining the paracellular space, starting immediately below the tight junctions. Previous work from our lab has shown that when the number of cells in a filter is sufficiently large (as is the case in fully differentiated cell cultures with physiologically-meaningful transepithelial resistance) [119], the following relationship exists:



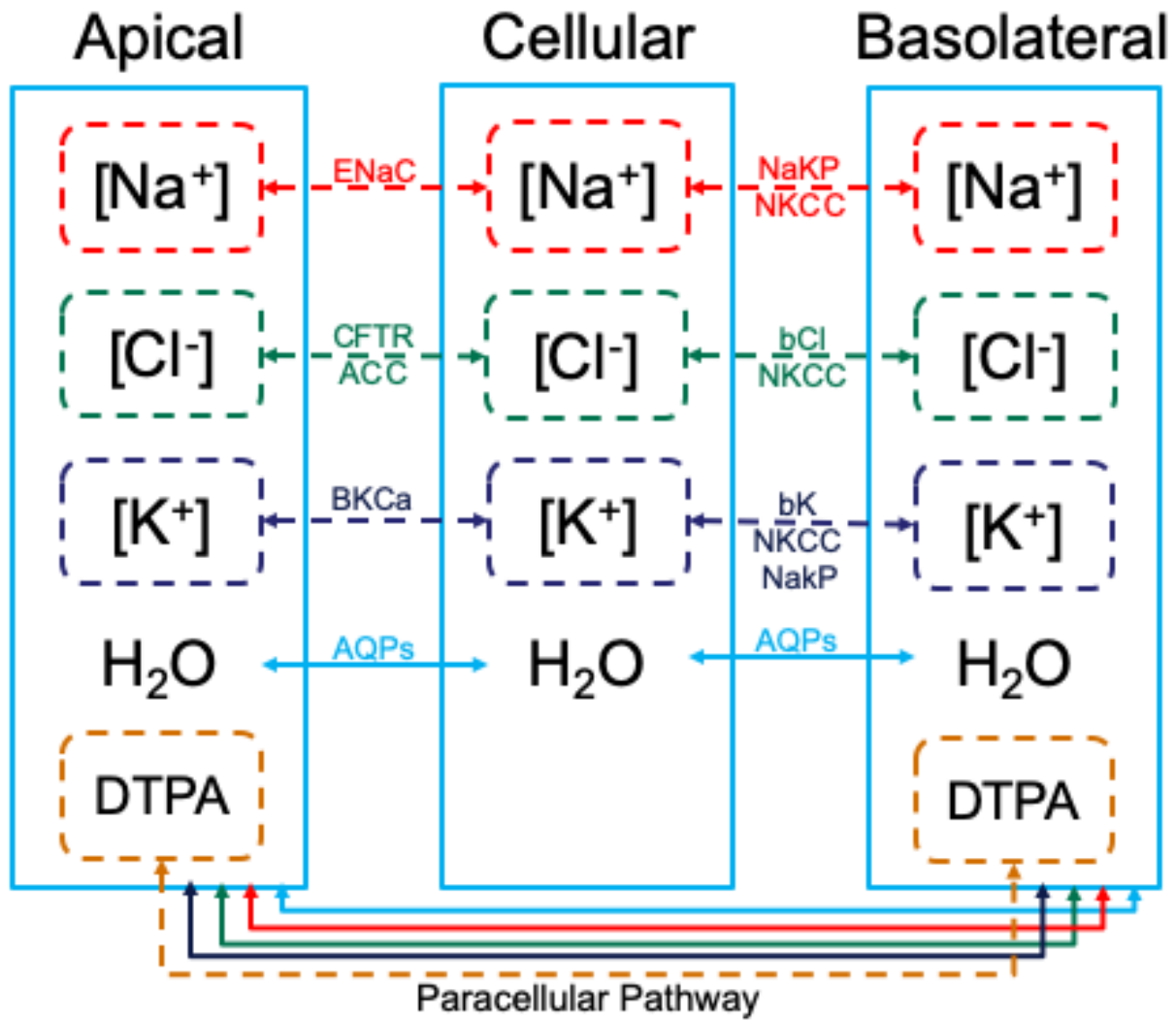


Figure 3.2: Compartmental model diagram that highlights the different pathways that affect transcellular and paracellular solute and fluid transport. Model allows for the apical, basolateral, and cellular volume and electrolyte concentrations to dynamically respond to changes in electroosmotic driving forces (ion channels) and facilitated ion transport (co-transporters). The model also includes paracellular transport of solutes (ions and Tc-DTPA) through both diffusion and convection, and osmotically driven water flux through both the trans- and paracellular pathways.

$$A_{T,J} = A_F \frac{2A_{TJ,1}}{A_{C,1}} \quad (3.1)$$

Here  $A_{TJ,1}$  and  $A_{C,1}$  are the areas of a single tight junction section and cell, respectively, calculated from tight junctions cross-sectional length (average separation between two individual cells,  $L_{TJ} = 60nm$ ) and a single cell average square face length ( $L_C = 8\mu m$ ) [119]. Initial monolayer volume ( $V_C$ ) was estimated from cell height ( $h_c$ ) predictions under the aforementioned geometrical basis and assuming constant total epithelial membrane area [62, 168]. However,  $V_c$ , and thus  $h_c$ , vary dynamically in response to volumetric and osmotic effects, allowing the monolayer to regulate cellular solute concentration and membrane polarization in response to stimuli [123]. In order to model this behavior, the transport of water between any two compartments ( $i \rightarrow j$ ) is driven solely by osmotic pressure difference,  $\Delta\pi_{i,j}$ .

$$\Delta\pi_{i,j} = \sum_k \left( \gamma_k \frac{n_{k,i}}{V_i} \right) - \sum_k \left( \gamma_k \frac{n_{k,j}}{V_j} \right) \quad (3.2)$$

In this expression,  $n_{k,i}$  is the number of moles of solute,  $k$ , which is divided by the volume of compartment  $i$  and multiplied by osmotic coefficient  $\gamma_k$ . Volume changes in all compartments can thus be caused by water transport across the paracellular pathway, or either of the epithelial membranes, and can be described as:

$$\frac{dV_i}{dt} = V_m \sum_{i,j \neq i} P_{i,j}^w \Delta\pi_{i,j} \quad (3.3)$$

Here,  $i$  refers to any of the three compartments,  $P_{i,j}^w$  is the water permeability between compartment  $i$  and  $j$ , and  $V_M$  is the molar volume of water ( $0.018 \frac{L}{mol}$ ).

The model accounts for the transport of both ionic ( $Na^+$ ,  $Cl^-$ ,  $K^+$ ) and uncharged (Tc-DTPA) solutes. Tc-DTPA can only move between the *Ap* and *Bl* compartments through the paracellular space. All ionic solutes can move both paracellularly and transcellularly. In transcellular flux, ion transport can occur via passive transport through an ion channel

down an electroosmotic gradient, facilitated transport through a co-transporter, or by active transport through ion pumps. For ion channels, the directionality and driving force include both the chemical and electrical components and are defined by Goldman's constant field equation [66]. In the case of transporters and ionic pumps, the molar flux is calculated as a series of Hill terms that modify maximal solute fluxes with predetermined stoichiometry and directionality based on relevant ionic concentrations in the source compartment at any given time [54, 136]. Through model-estimated permeabilities (ion channels) and maximal fluxes (co-transporters), these terms are used to describe the dynamics for each individual transport pathway.

In the paracellular space, we include the transport of solutes through both diffusive and convective means. It is important to note that the model applies the same paracellular transport mechanisms to both ionic and uncharged solutes. With this in mind, we can describe the diffusive ( $J_{diff}$ ) and convective ( $J_{conv}$ ) components of paracellular solute transport as follows:

$$J_{diff} = P_{Ap,Bl}^k [k]_{Ap,Bl} \quad (3.4)$$

$$J_{conv} = [k]_i \frac{dV_{Ap \leftrightarrow Bl}}{dt} \quad (3.5)$$

Here,  $P_{Ap,Bl}^k$  represents the paracellular permeability of solute  $k$ ,  $\Delta[k]_{Ap,Bl}$  and  $\frac{dV_{Ap \leftrightarrow Bl}}{dt}$  are the concentration gradient of solute  $k$  and the water flux between the  $Ap$  and  $Bl$  compartments, respectively, as determined by the orientation of  $\Delta\pi_{Ap,Bl}$ , see Equation 3.2. From these expressions, we can describe a closed system mass balance for any solute,  $k_i$ , throughout the three compartments as:

$$\frac{dk_{Ap}}{dt} = - \sum_Y^{C,Ap} J_y + J_{diff} + J_{conv} \quad (3.6)$$

$$\frac{dk_C}{dt} = \sum_Y^{C,Ap} J_y + \sum_Y^{C,Bl} J_y + \sum_L n_k J_{act,L} \quad (3.7)$$

$$\frac{dk_{Bl}}{dt} = - \sum_Y^{C,Bl} J_Y - \sum_L n_k J_{act,L} - J_{diff} - J_{conv} \quad (3.8)$$

Here,  $\frac{dk_i}{dt}$  is the change of solute  $k$  in compartment  $i$ ,  $\sum_Y^{C,i} J_Y$  is the summation of all the ion channel ( $Y$ ) fluxes between the  $C$  and either the  $Ap$  or  $Bl$  compartments, and  $\sum_L n_k J_{act,L}$  is the summation of any co-transporter ( $L$ ) flux multiplied by their respective stoichiometric coefficient,  $n_k$ . Notice that this last term is only present between the  $C$  and  $Bl$  compartments due to the lack of significant facilitated or active ion transport between the  $Ap$  and  $C$  compartments in airway epithelial cells [84]. Individual ionic currents,  $I_Y$ , are calculated for all electrogenic ionic fluxes,  $J_Y$ , such that the relation between ion flux and current can be described as:

$$I_Y = z_k F J_Y \quad (3.9)$$

Here  $z_k$  is the ionic charge of solute  $k$ , and  $F$  refers to Faradays constant. These currents are used to derive dynamic expressions for the membrane potential across both membranes, such that:

$$\frac{dE_{Ap}}{dt} = C_{Ap}^{-1} A_{Ap} \left( - \sum_Y^{Ap} I_Y + \sum_k^P I_k \right) \quad (3.10)$$

$$\frac{dE_{Bl}}{dt} = C_{Bl}^{-1} A_{Bl} \left( - \sum_Y^{Bl} I_Y + \sum_k^P I_k \right) \quad (3.11)$$

Here  $E_i$  and  $C_i$  are the either the apical or basolateral membrane potentials and capacitances per area, respectively for compartment  $i$ . Where  $i \in \{Ap, Bl\}$ . Membrane capacitances are considered constants for both membranes, and are based on previously reported values [39].

### 3.1.4 Parameter Estimation

Model training and estimation of the 15 free parameters in the model were carried out via our affine-invariant ensemble of samplers with parallel tempering Markov Chain Monte Carlo (APT-MCMC) algorithm, a C++-implemented, Python-wrapped parameter optimization tool for systems of ODEs [218]. APT-MCMC is open-source and publicly available online (<https://gitlab.com/liangzha/APT-MCMC>). APT-MCMC estimates parameter values by leveraging the dynamic nature of MCMC algorithms to sample a user-defined parametric hyperspace. The algorithm deploys distinct, yet parallelly executed, chains of samplers that explore different temperatures or levels of the models objective space. The samplers are implemented to efficiently search regions of highly correlated parametric distributions, a common occurrence in biologically-inspired mathematical models [68]. Each level is defined with varying thermodynamic energies, and permits the inter-chain exchange of information regarding goodness of fit, thus providing information about the breadth and depth of the objective space [70, 83]. APT-MCMC has been successfully implemented for optimization of a clinically-relevant mathematical model of coagulopathies in trauma patients [154].

In our simulations, a logarithmically-transformed sum-of-squared errors objective function ( $\chi^2$ ) was defined between simulation predictions and ASL volume (fitted in  $mL$ ) and DTPA absorption data (fitted as retention in hundreds of thousands of counts to scale it suitably versus ASL volume), such that:

$$\chi^2(\theta) = \sum_{x=1}^n \sum_{p=1}^m \sum_{i=1}^d (\log X_{x,p,i}^* - \log X_x(\theta, t_i))^2 \quad (3.12)$$

Here,  $X_{x,p,i}^*$  refers to the data point recorded for the observable states (ASL or Tc-DTPA)  $x$ , for each individual filter for donor  $p$ , measured at time point  $t_i$ .  $X_x(\theta, t_i)$  is the corresponding model-simulated value of each state as predicted by a given parameter set,  $\theta$ . The objective function was optimized according to equation 3.13.

$$\begin{aligned} \hat{\theta} &= \operatorname{argmin} \{ \chi^2(\theta) \} \\ \text{s. t. } X_x &= f(X, \theta, t, X_0) \\ \text{and } \theta_{min} &\leq \theta \leq \theta_{max} \end{aligned} \quad (3.13)$$

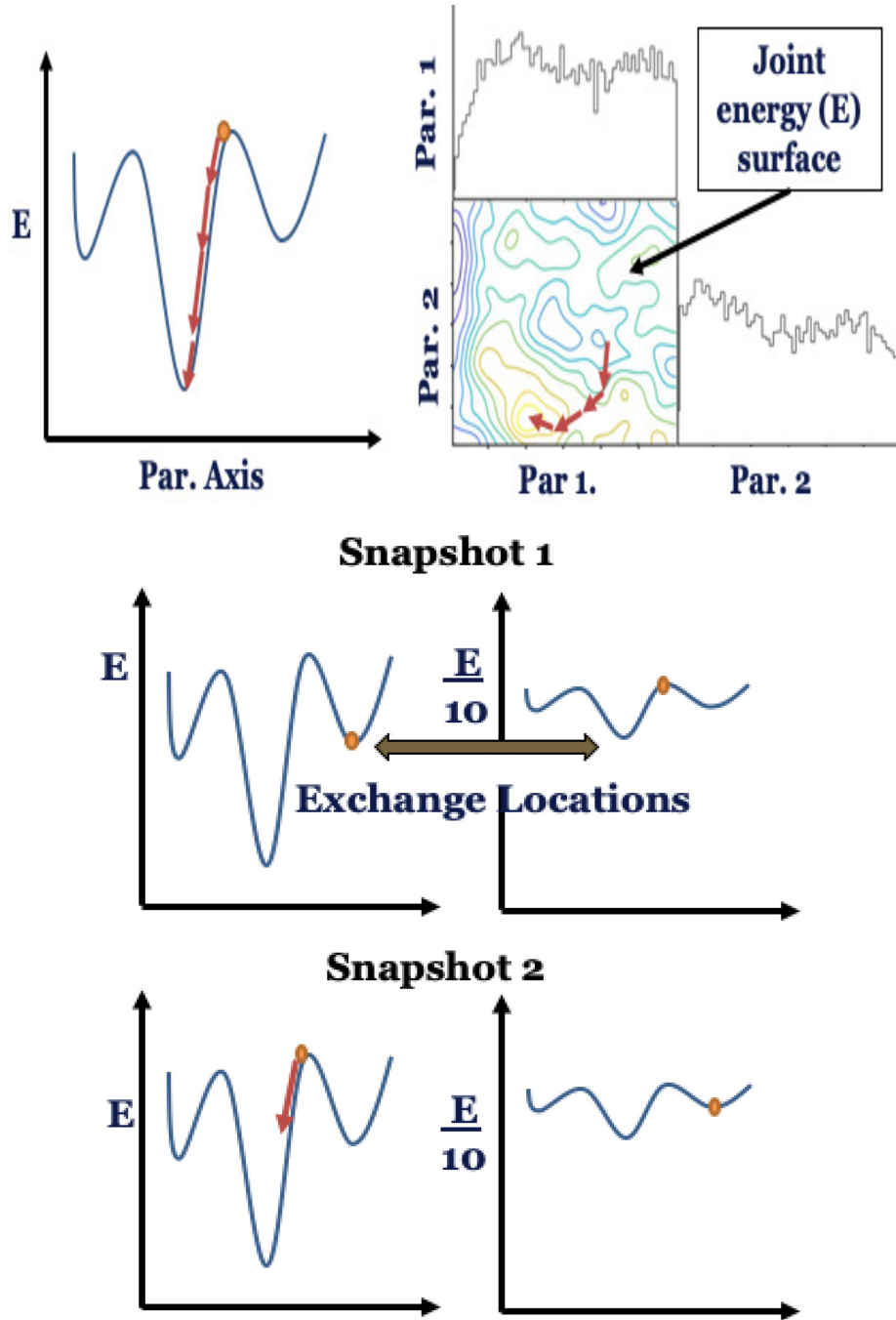


Figure 3.3: APT-MCMC uses Markov Chain Monte Carlo techniques to dynamically sample parameter hyperspace by efficiently searching highly multimodal objective function surfaces (Top). It implements parallel tempering and affine ensemble sampler techniques to allow for breadth and depth of parameter space characterization (bottom). Modified from Zhang, *et al* [218].

Here,  $\hat{\theta}$  represents the parameter values that correspond to the identified solution to the optimization problem,  $f$  is the user-defined ODE system,  $\theta$  is the set of free parameters,  $X$  and  $X_0$  are the states and their respective initial conditions, and  $\theta_{min}$  and  $\theta_{max}$  are the set of lower and upper bounds for all free parameters. It should be noted that predicted parametric distributions do not have a normality condition imposed on them; hence, they should not be analyzed as such. This distinction is due to the multidimensional nature of our parameter set, and to APT-MCMC not requiring a strict statistical prior to all predicted distributions in order to converge. These conditions then necessitate the use of a non-parametric statistical test like 2-sample Kolmogorov-Smirnov to compare distributions between the two population without the need to assume normality.

Since the model is trained with a dataset that primarily informs paracellular fluxes and transcellular water transport, and in an effort to limit exploration within a biologically-meaningful parametric region, parameter ranges for ion channels and transporters were estimated from the results of the UC of airway epithelial electrophysiology under flooded conditions for both non-CF and CF cell cultures shown in Chapter 2 [174]. A list of all free parameters used in the model, and their respective parametric ranges, can be found in Table 3.2. Constant values for cellular volume regulation, electrolyte-transporter affinities, and other airway epithelial physiology descriptors were obtained from literature and previously published models of human airway electrophysiology [54, 62, 123, 138, 169, 181, 209, 210].

## 3.2 Results

### 3.2.1 In vitro ASL and Tc-DTPA transport across healthy and CF HNEs

10 $\mu$ L of IR solution and DTPA were added to the apical side of non-CF and CF HNE cell cultures as described in the Methods section and shown in Figure 3.1 A. Data demonstrated that CF cultures had decreased ASL volumes ( $\mu$ L) at the start of the experiment ( $t = 0h$ ) relative to non-CF cultures ( $10.01 \pm 1.38$  vs.  $15.35 \pm 0.95$ , respectively;  $p = 0.0019$  by student

Table 3.2: Model parameters were estimated using APT-MCMC, a separate log-space, non-linear least-squares objective function for each population (Non-CF, CF), as described in section 3.1.4. Model includes 15 free parameters describing ion and water permeabilities through both transcellular and paracellular pathways. The permeability of CFTR was assumed to be negligible in the CF case. \*:  $p < 0.05$  comparing CF to non-CF through Kolmogorov-Smirnov test. Initial ranges for ion channels and transporter permeabilities parametric exploration were based on a previously published model of airway electrophysiology under Ussing Chamber conditions [174].

Parameter	Units	Non-CF	CF	Axis	Definition
$P_{wca}^*$	$\mu m/min$	1.41x10 <sup>4</sup>	3.33x10 <sup>4</sup>	10200-18000	Water permeability, trans-apical
$P_{wcb}^*$	$\mu m/min$	1.31x10 <sup>4</sup>	3.46x10 <sup>4</sup>	8000-18000	Water permeability, trans-basolateral
$P_{wab}$	$\mu m/min$	0.97x10 <sup>5</sup>	1.0x10 <sup>5</sup>	72000-120000	Water permeability, paracellular
$P_{ENaC}^*$	$\mu m/min$	2.303	3.359	0.6-6	ENaC permeability
$P_{CFTR}$	$\mu m/min$	2.209	-	0.6-6	CFTR permeability
$P_{ACC}^*$	$\mu m/min$	2.584	0.759	0.6-6	ACC permeability
$P_{BKCa}$	$\mu m/min$	0.862	0.962	0.6-6	BKCa permeability
$P_{bK}$	$\mu m/min$	4.094	3.312	0.6-6	bK permeability
$P_{bCl}$	$\mu m/min$	3.597	2.821	0.6-6	bCl permeability
$P_{pna}$	$\mu m/min$	2.909	3.199	0.6-6	Na <sup>+</sup> paracellular permeability
$P_{pcl}$	$\mu m/min$	2.597	2.566	0.6-6	Cl <sup>-</sup> paracellular permeability
$P_{pk}$	$\mu m/min$	3.151	3.037	0.6-6	K <sup>+</sup> paracellular permeability
$P_{pdt}^*$	$\mu m/min$	7.850	8.485	6-10	Tc-DTPA permeability
$J_{NKCC}$	$mmol/m^2min$	33.51	32.83	6-60	Max NKCC molar flux
$J_{NaKP}$	$mmol/m^2min$	29.84	30.44	6-60	Max NaKP molar flux



t-test), indicative of the characteristic dehydration of CF ASL (Figure 3.3A). CF samples also showed a significantly depressed ASL volume 24 hours after the initial volumetric challenge ( $1.68 \pm 0.38$  vs.  $5.49 \pm 0.90$ , respectively;  $p = 0.0027$ ). Percent Tc-DTPA absorption over 24 hours was significantly increased in the CF case relative to non-CF ( $55.40 \pm 3.66$  vs.  $33.51 \pm 3.41$ , respectively;  $p = 7.16 \times 10^{-4}$ ), as shown in Figure 3.3 B. Previous studies have demonstrated increased rates of DTPA absorption in primary CF HBE cell cultures and in the lungs of CF patients [32, 111, 119]. Previous studies have also shown that there is a strong correlation between ASL volume and Tc-DTPA absorption in non-CF HBE cultures [32]. In an effort to further corroborate these observations in HNE, total ASL and Tc-DTPA absorption were calculated from each subject-specific set of cultures and correlated (Pearson's  $r$ ), see Figure 3.3 C. The analysis confirmed that for non-CF cell cultures, ASL volume and Tc-DTPA absorption are strongly correlated ( $r = 0.79$ ). A similar analysis for CF cell cultures showed the breakdown of this relationship due to increased Tc-DTPA clearance.

### 3.2.2 Transcellular water transport drives Cystic Fibrosis pathophysiology

Model simulations were able to capture the absorption dynamics of the ASL and Tc-DTPA, for both CF and non-CF cell cultures, using identical structure, as shown in Figure 3.5. The model-predicted apical volume and Tc-DTPA trajectories were within the standard error of the mean for all time points in both cases. Population-level parameter distributions were generated for all free parameters listed in Table 2, according to the procedure described in section 3.1.4. Comparisons between the model-predicted distributions of CF and non-CF cultures were performed using a 2-sample, unpaired Kolmogorov-Smirnov (2-KS) test. The model predicts that CF cultures, relative to non-CF cultures, have increased apical ( $P_{vac}$ :  $3.33 \times 10^{-4}$  vs.  $1.41 \times 10^{-4}$ , respectively;  $p = 4.329 \times 10^{-9}$ ) and basolateral ( $P_{wcb}$ :  $3.46 \times 10^{-4}$  vs.  $1.31 \times 10^{-4}$ , respectively;  $p = 7.583 \times 10^{-8}$ ) water permeabilities (Figure 3.6A, B). On the other hand, paracellular water permeability was similar in CF and non-CF cultures ( $P_{wab}$ :  $1.02 \times 10^{-5}$  vs.  $0.97 \times 10^{-5}$ ;  $p = 0.936$ ) (Figure 3.5C). These results suggest that transcellular water transport is responsible for the hyperabsorptive phenotype observed in CF cell cultures.

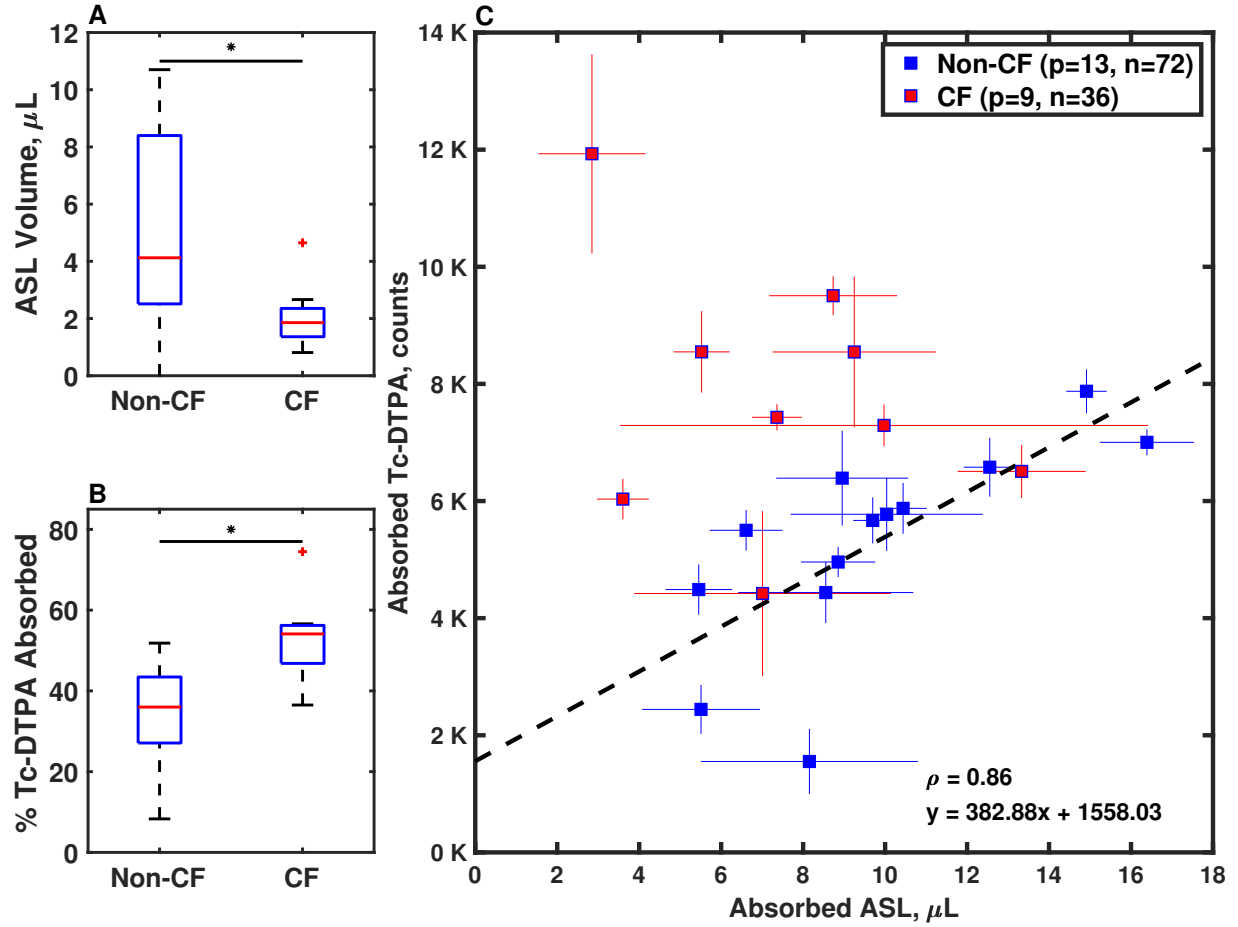


Figure 3.4: Summary of ASL and Tc-DTPA absorption profiles for non-CF and CF HNE cell cultures. (A) Final ASL volume 24 h following the addition of 10  $\mu\text{L}$  of isotonic Ringers solution and Tc-DTPA. CF cultures demonstrated a significantly decreased final ASL volume relative to non-CF cell cultures ( $p = 0.0027$ ). (B) Percent Tc-DTPA absorbed. CF cell cultures had higher Tc-DTPA absorption rates than non-CF cultures ( $p = 9.708 \times 10^{-4}$ ). (C) Comparison plot showing the relationship between Tc-DTPA absorbed (thousands of counts) and ASL volume absorbed ( $\mu\text{L}$ ) for both non-CF (13 patients, 72 filters, blue squares) and CF (9 patients, 36 filters, red squares) 24 h after the initial volumetric challenge. The dashed line represents the linear regression for non-CF cultures which shows strong correlation between the measurements. CF cultures show a significant upwards deflection relative to the fitted line, suggesting an increased rate of Tc-DTPA absorption independent of liquid movement (diffusive component). Comparisons by unpaired t-test. Statistical significance is indicated as \*.

Furthermore, the model predicted a statistically significant increase in the paracellular Tc-DTPA permeability for the CF relative to non-CF groups ( $P_{pdt}$ : 8.48 vs. 7.85, respectively;  $p = 0.0376$ ; Figure 3.6D). It is important to notice that there is significant overlap between the numerical range of the two distributions. In other words, while the two distributions have distinct shapes, they will lead to similar transport rates on average. This suggests that while it is likely for CF individuals to exhibit higher Tc-DTPA diffusion transport rates than the average non-CF cell culture, the increase is not due to morphological differences (i.e. permeabilities) in the tight junctions of the two populations. This is further confirmed by the lack of any statistical difference in the paracellular permeabilities of the electrolytes ( $P_{pNa}$ ,  $P_{pCl}$ ,  $P_{pK}$ ) predicted between the two groups (Figure 3.7). The model also estimated that CF cultures exhibit significantly increased ENaC permeability,  $P_{ENaC}$ , and decreased constitutively active (i.e. not CFTR) chloride channel permeability,  $P_{ACC}$ , which correlates to observations from the literature (Figure 3.7) [138, 209].

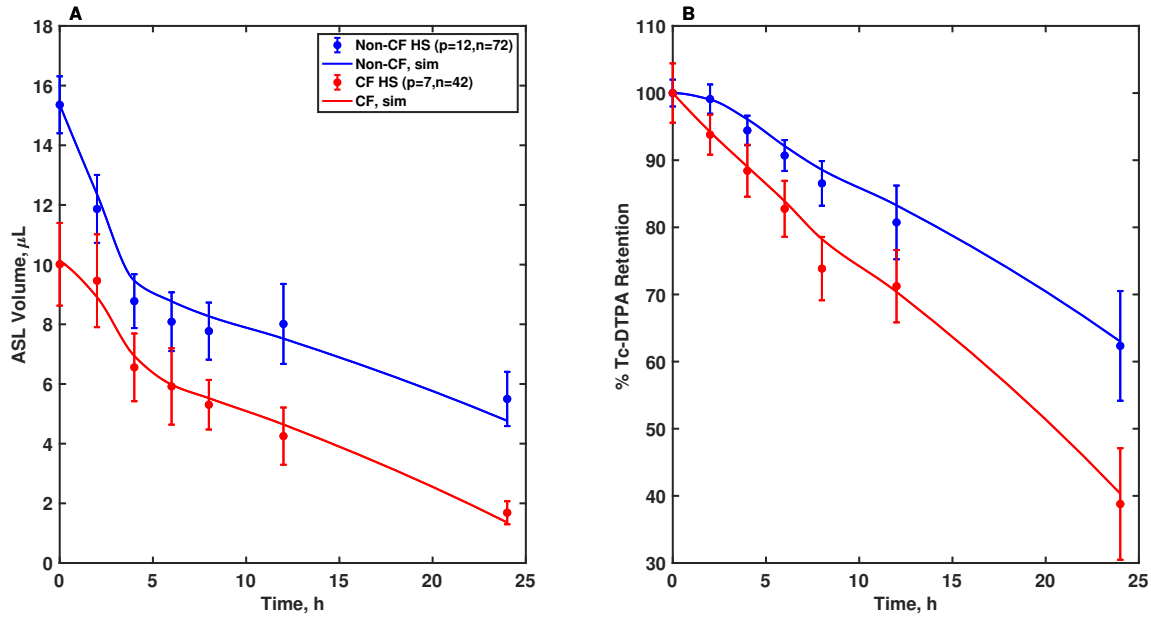


Figure 3.5: Best-fit model trajectories (solid lines) and experimental data (error bars; population mean  $\pm$  SEM) from HNE cell cultures following the apical addition of  $10\mu L$  of Isotonic Ringers with Tc-DTPA to 12 non-CF (72 filters) and 7 CF (42 filters) lines. (A) CF lines have lower ASL volumes than non-CF lines at the start and end of the experiment ( $p < 1 \times 10^{-4}$ ). Total predicted volume absorbed is  $9.85 \pm 0.934\mu L$  (64.2%) in the non-CF lines and  $8.33 \pm 0.886\mu L$  (83.2%) in the CF lines. Non-CF cells rapidly return to homeostatic ASL volumes ( $t = 4 - 12h$ ), whereas CF cells exhibit a more prolonged absorption profile. (B) CF cultures displayed consistently higher Tc-DTPA absorption rates ( $p < 1 \times 10^{-4}$ ) vs. non-CF. Model-estimated DTPA clearance is 37.7% in non-CF cells compared to 61.2% in CF after 24 hrs.

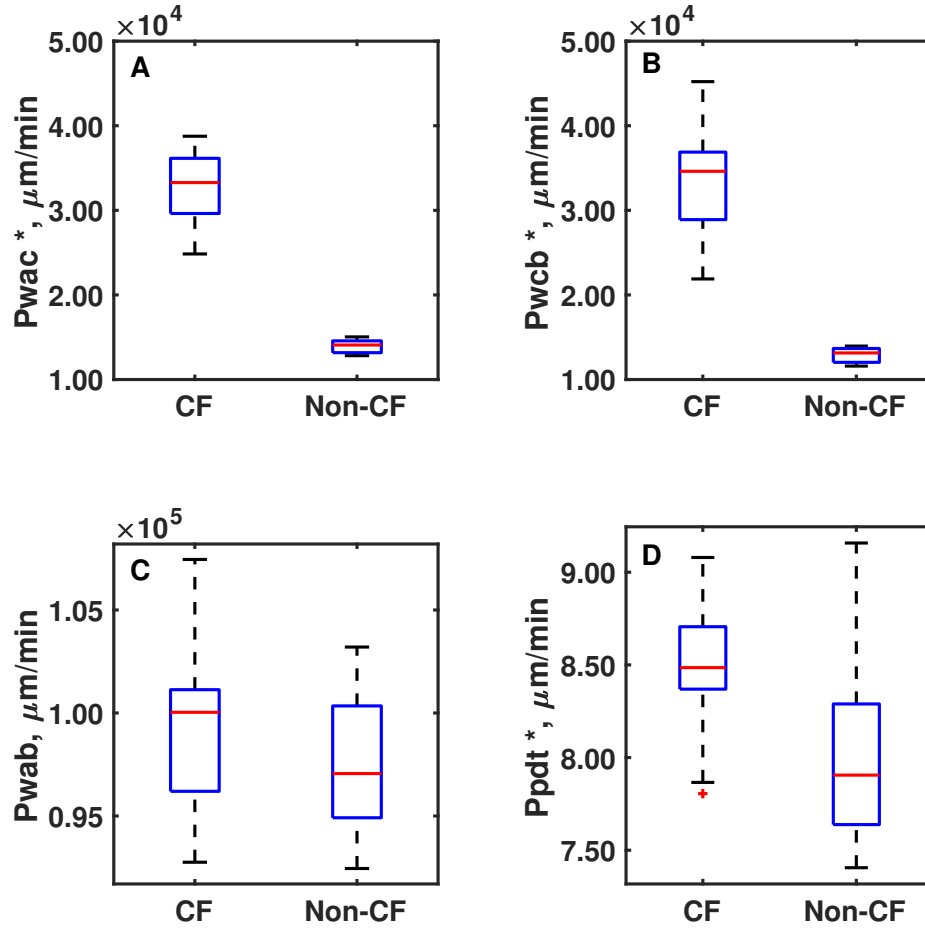


Figure 3.6: Comparison plot of the model-predicted distributions of water permeability (A: apical, B: basolateral, C: paracellular) and DTPA permeability (D) for both CF and non-CF cell cultures.  $\star$  denotes significant differences between CF and non-CF distributions by a 2-sample Kolmogorov-Smirnov test. Model predicts that both the apical and basolateral water permeabilities ( $P_{wac}$  and  $P_{wcb}$ , respectively) are increased in CF cultures vs. non-CF cultures ( $p = 4.329 \times 10^{-9}$  and  $p = 7.583 \times 10^{-8}$ ). However, paracellular water permeability is similar between the two population ( $p = 0.936$ ). Tc-DTPA permeability is increased in CF ( $p = 0.0376$ ).

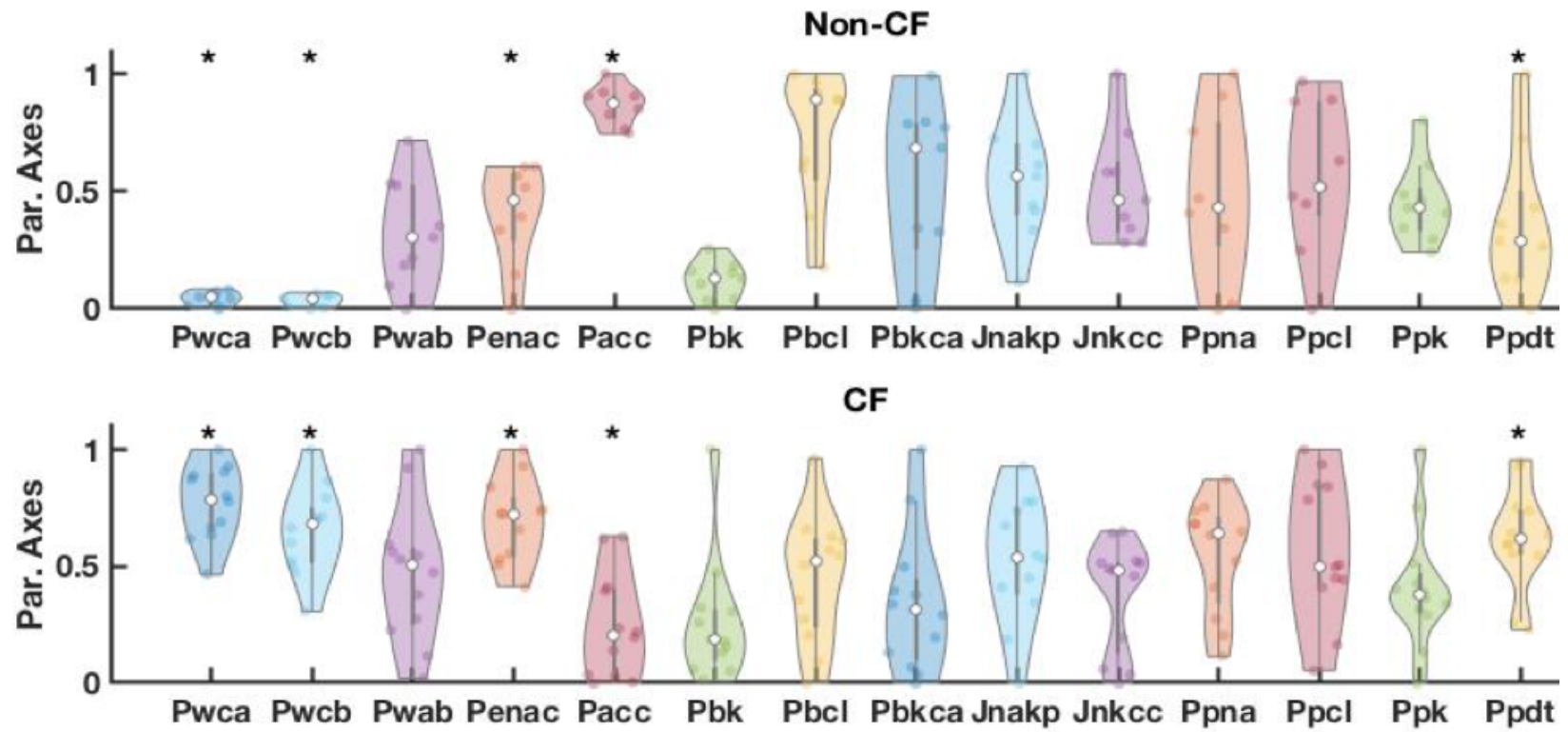


Figure 3.7: Summary plot for all model-generated parametric distributions for both the CF and non-CF groups. Combined distributions' minima and maxima were obtained for each parameter from both groups, and used to generate normalized representations for ease of visualization. Distribution difference between the two groups was determined for each parameter by 2-sample Kolmogorov-Smirnov test, and shown as (\*) for parameters with a  $p < 0.05$

The predicted parametric distributions will not all necessarily be normal; and therefore, should not be analyzed as such. This distinction is due to the multidimensional nature of our parameter set, and to APT-MCMC not requiring a strict prior to all predicted distributions in order to converge. These conditions then necessitate the use of a non-parametric statistical test like 2-sample Kolmogorov-Smirnov to compare distributions between the two population without the need to assume normality.

### 3.2.3 Comparison of DTPA Convection and Diffusion

Since Tc- DTPA can only move through the paracellular space, the equation describing apical Tc-DTPA counts ( $DTPA_{Ap}$ ), contains no active or passive flux terms into or out of the cell. Thus, the transport equation reduces to:

$$\frac{dDTPA_{Ap}}{dt} = J_{diff} + J_{conv} = -P_{DTPA_{A,B}} \left( \frac{DTPA_{Ap}}{V_{Ap}} - \frac{DTPA_{Bl}}{V_{Bl}} \right) + \frac{DTPA_{Ap}}{V_{Ap}} \left( \frac{dV_{Ap}}{dt} \right) \quad (3.14)$$

The equation for basolateral Tc-DTPA counts ( $DTPA_{Bl}$ ) has opposite directionality but equivalent structure to Equation 3.14, as the two are linked by a mass balance. Each flux term on the right-hand side of Equation 3.14 was integrated individually for the 100 best parameter sets obtained after fitting. The cumulative percent Tc-DTPA absorbed via diffusion was calculated at every experimentally-measured time point for both CF and non-CF cultures. The model predicts that over a period of 24 hours, CF cultures on average clear 61.9% Tc-DTPA through diffusion, a significantly higher amount when compared to non-CF cultures (49.7%,  $p = 1.034 \times 10^{-4}$ ; see Figure 3.8). It should be noted that the percent of Tc-DTPA clearance due to convection will simply be the complementary fraction. Thus, the  $\sim 40\%$  DTPA absorption estimated as due to convection in CF HNE is similar to experimental values previously reported in CF HBE [110].

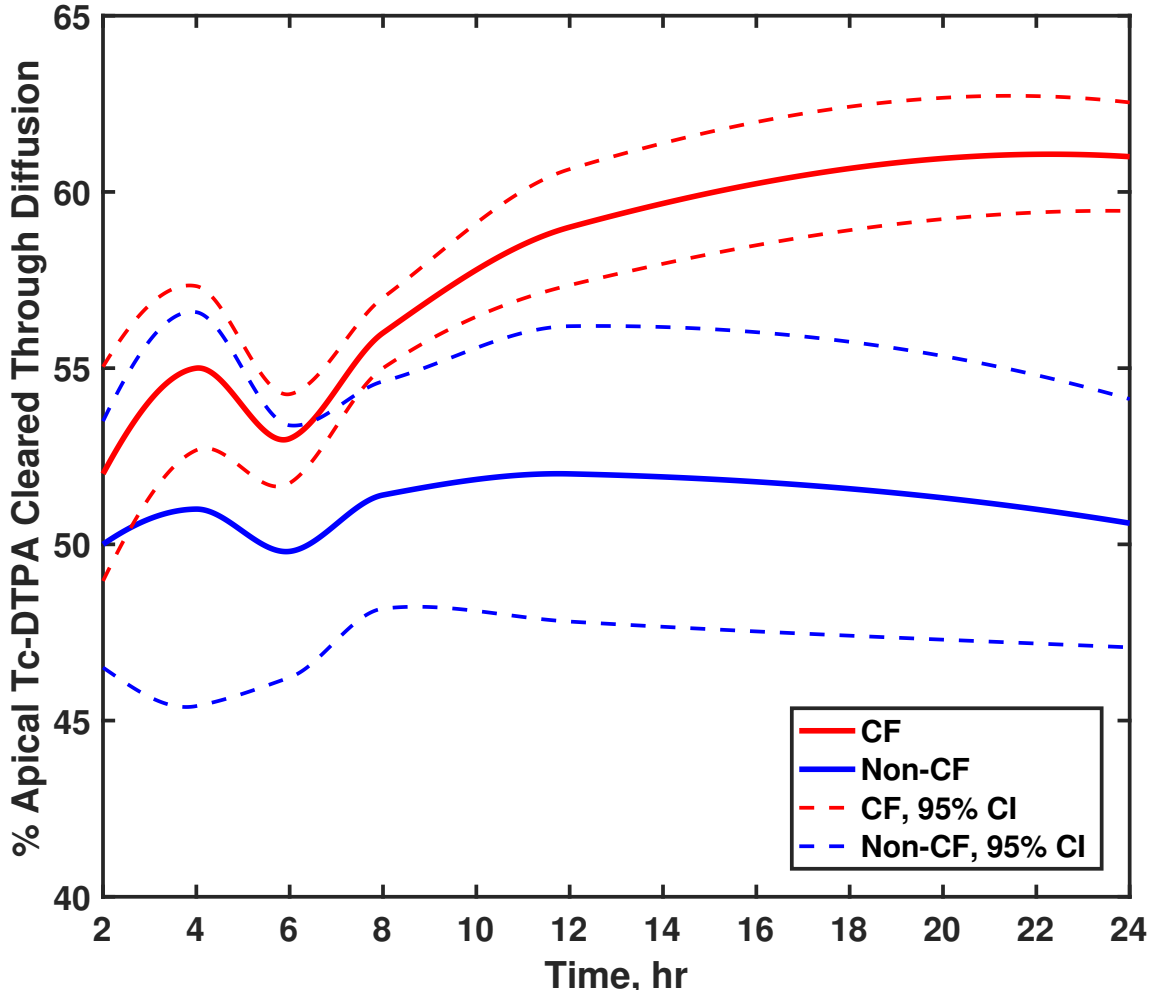


Figure 3.8: Model-predicted percent of Tc- DTPA cleared through diffusion for CF and non-CF HNE cell cultures following the apical addition of  $10\mu L$  of isotonic Ringers solutions and Tc-DTPA (diffusion + convection = 100%). Model predicts CF cultures (red) on average clear 61.9% Tc-DTPA through diffusion, a significantly higher amount when compared to non-CF cultures (blue, 50.6%, by student t-test  $p = 1.034 \times 10^{-4}$ ) over a period of 24h. Solid lines are the mean percent and dashed lines are the 95% confidence intervals. Both measures are calculated from simulations generated from the top 100 parameter sets (based on goodness of fit).



### 3.2.4 Experimental Validation: Hypertonic Ringers as a Case Study

In order to test the ability of the model to capture different experimental conditions, the model was used to simulate the results from a test data set. The test data set consisted of non-CF (6 subjects, 22 filters) and CF (5 subjects, 22 filters) ASL and Tc-DTPA retention curves over a period of 24 hours following the treatment with HR solution. The increased osmolarity of HR solution, relative to IR, is due to NaCl. Based on its high NaCl proportion, HR may be thought of as a surrogate for hypertonic saline which is a common inhaled osmotic therapy given to CF patients [46]. The addition of HR to both CF and non-CF HNE reverses liquid hyperabsorption, inducing apical volume swelling and arresting paracellular convection of Tc-DTPA. Paracellular diffusion of Tc-DTPA does continue under these circumstances. In the non-CF case, liquid secretion resulted in an initial ASL increase, and peak volume was reached approximately 2 hours into the experiment, after which ASL volume began to be absorbed, possibly re-introducing paracellular convection (Figure 3.9). However, the relatively stable apical Tc-DTPA retention suggests the majority of water transport occurs transcellularly at this stage (Figure 3.9). ASL volume showed minimal changes after 8 hours (Figure 3.9). This implies the observed Tc-DTPA profiles over 8 hours represent a strictly diffusive regime (Figure 3.9). In the CF case, HR also induced epithelial fluid secretion. However, the cultures showed a significantly reduced rate of ASL reabsorption (Figure 3.9). Tc-DTPA absorption rates were diminished and remained statistically similar to corresponding rates in the non-CF case for most of the experiments (Figure 3.9), further suggesting a change in the diffusive driving force for Tc-DTPA.

Validation simulations were carried out by changing *in silico* experimental conditions to match those of the testing data set (initial ASL volume, Tc-DTPA counts and electrolyte concentrations), while keeping parameter sets the same as those estimated from the training data set (IR conditions) for each group. The model demonstrates good agreement between predictions and data, even in the case of ASL volume where transport dynamics are quite different (fluid secretion instead of absorption) relative to the training set. In particular, the model successfully captures the initial rise of ASL volume followed by a slower correction to steady state for both CF and non-CF cultures, as well as the observed increase of apical

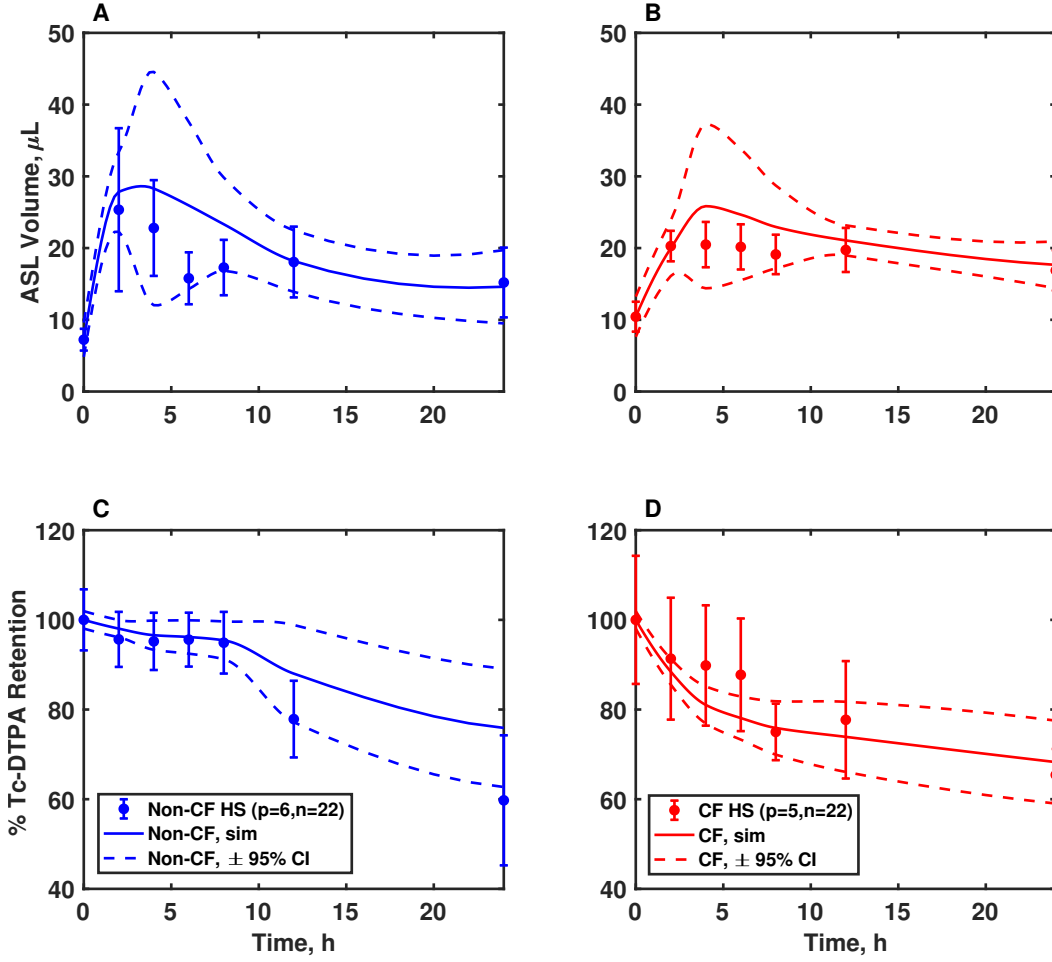


Figure 3.9: Model predictions demonstrate good agreement with experimental data from a validation data set. Testing data was from non-CF (6 patients, 22 filters, blue squares) and CF (5 patients, 22 filters, red squares) cultures and included ASL and Tc-DTPA retention curves following the treatment with HR solution. Validation simulations were carried out by changing *in silico* experimental conditions (initial ASL volume, apical electrolyte/Tc-DTPA concentrations (HR = IR +200mM NaCl)) to match those of the testing dataset, and using the top 100 parameter sets for CF and non-CF groups estimated from the training data set (IR conditions). There is good agreement between model prediction and data, even though transport dynamics are quite different from the training conditions (fluid secretion instead of absorption). In particular, the model successfully captures the initial rise of ASL volume with HR followed by a slower correction to steady state for both CF and non-CF cultures and an observed increase in apical Tc-DTPA retention for CF cultures, relative to the training data set (IR, Figure 3.5). Error bars represent mean experimental data  $\pm$  SEM.

Tc-DTPA retention for CF cultures. However, the model under-predicts the rate of ASL volume re-absorption immediately following the ASL peak volume (Figure 3.9). The absence or possible delay of this resorptive behavior suggests a possible shift in water permeability as a function of osmotic stimuli in the non-CF and CF cultures that cannot be described without reparametrization. In an effort to validate this we harvested whole cell protein from both CF and Non-CF HNE cell cultures after 0, 4, and 12hr of apical HR treatments (Figure 3.10). The blot revealed an increase in whole cell AQP5 protein expression for both non-CF and CF HNE cultures following osmotic stimuli. This type of response to osmotic stimuli has been observed in other types of epithelial tissues under similar conditions and time frames [77, 220].

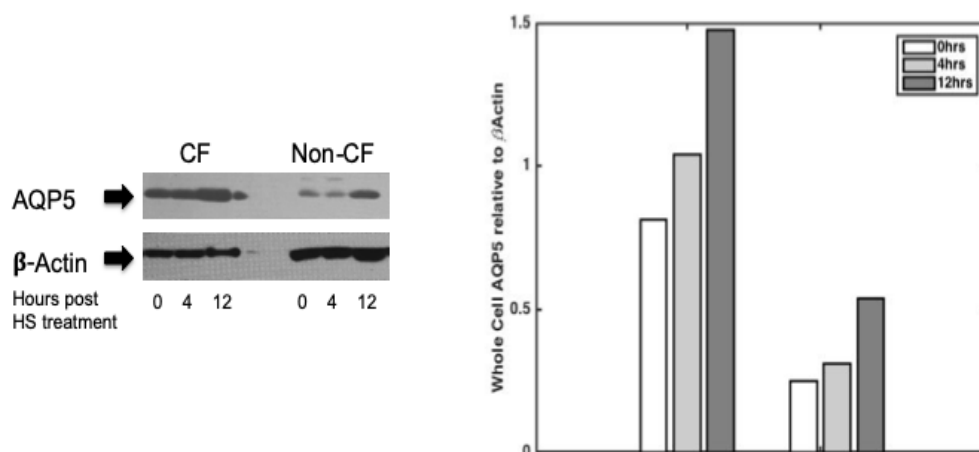


Figure 3.10: (Left) Whole cells western blots obtained from 1 cell line each (6 filters per time point) from the CF and Non-CF HNE groups with no treatment (time zero) and following 4 and 12 hours of apical treatment with hypertonic Ringer's. Blots were probed against AQP5, and  $\beta$ -actin as a reference protein to normalize the results. (Right) Bar plots summarizing the relative changes in whole cell AQP5 protein expression over time.

### 3.2.5 ASL dehydration drives increased Tc-DTPA transport in CF HNEs

The training data set was used to compare ASL and Tc-DTPA end point behaviors between the IR and HR conditions, thus allowing the verification of model predictions. First,

we compared the percent apical Tc-DTPA cleared 24 hours after the HR osmotic challenge for both CF and non-CF HNE cultures (Figure 3.11A). HR significantly reduced the percent of Tc-DTPA absorption relative to IR in CF cultures ( $p = 2.003 \times 10^{-4}$ ), but HR did not affect Tc-DTPA retention in the non-CF ( $p=0.934$ ) cultures. Since the bulk of convective flux is stopped under these conditions, we explored changes in the diffusive driving force. In Figure 3.11B, we calculated the fold change in apical Tc-DTPA concentration as a function of time for HR studies relative to the IR studies. In the CF cultures, apical concentration of Tc-DTPA was significantly reduced with HR relative to IR, much more so than for non-CF cultures (maximum fold change:  $\sim 7$  vs.  $\sim 3$ , CF vs. non-CF;  $p = 1.092 \times 10^{-4}$ ). This reveals that the observed hyperabsorption of Tc-DTPA in CF cultures (Figure 3.4A) is likely due to depressed apical volume, leading to a consistently higher diffusive driving force relative to non-CF cultures. Lastly, total change in ASL volume and Tc-DTPA absorption were calculated from each donor in the testing data set (HR conditions) and tested for correlation (Figure 3.11C). The analysis revealed the loss of the strong correlation previously observed for non-CF cultures under IR conditions ( $r=0.09$  vs.  $r=0.86$  (Figure 3.11C),  $p = 0.83$ ). Since the cornerstone of this relationship is the now osmotically depressed convective Tc-DTPA transport, its absence further confirms the amplifying effect that ASL dehydration has on diffusive Tc-DTPA transport in CF cells.

### 3.3 Discussion

The model presented herein accurately captures ASL and Tc-DTPA dynamics within biologically-meaningful parametric ranges allowing for a mechanistic exploration of the physiological differences between CF and non-CF epithelia. The trajectories also highlight differences in absorption kinetics between the non-CF and CF cultures. Non-CF cells rapidly reabsorbed liquid and return to homeostasis while CF cells demonstrated a slower rate, but longer duration, of liquid absorption that ultimately leads to a decreased standing apical volume. In addition to good dynamic agreement between model output and experimental data, the parameters agreed well with those found in literature. The total epithelium water

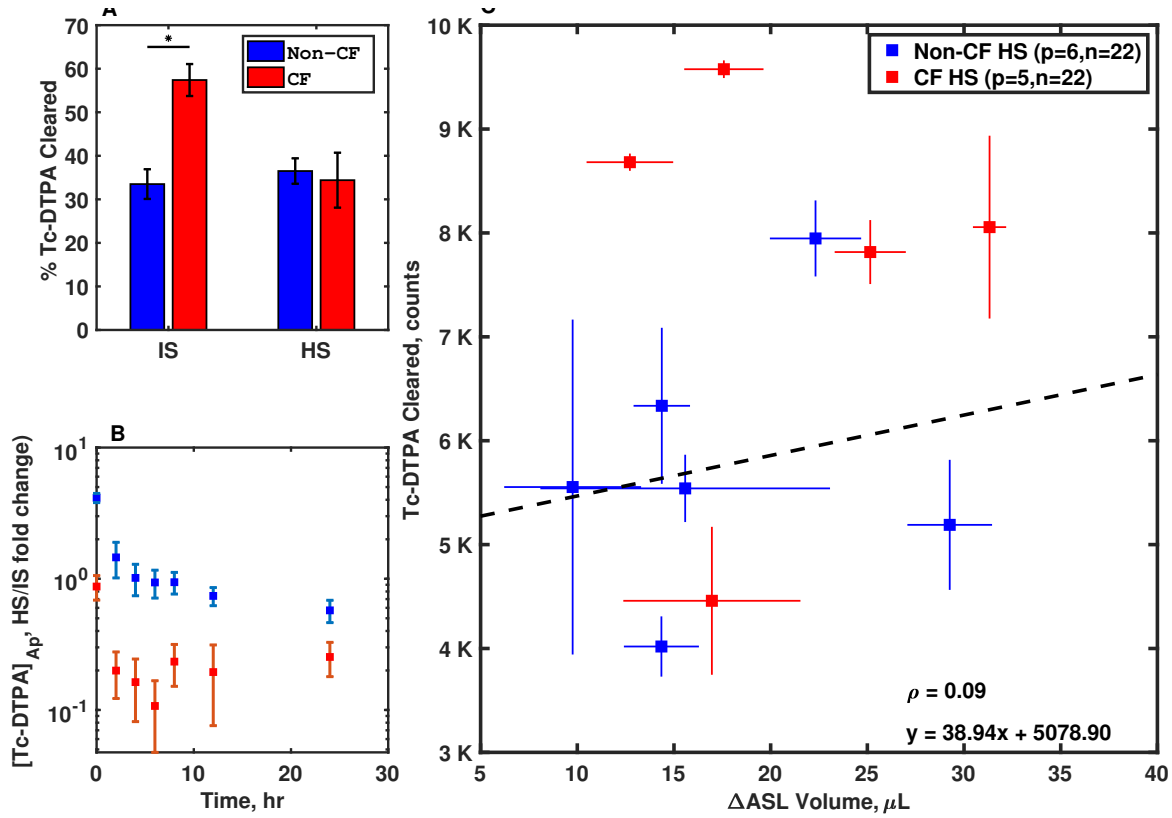


Figure 3.11: Summary of ASL and Tc-DTPA clearance for non-CF and CF HNE cell cultures following the apical addition of  $10\mu L$  of Hypertonic Ringers solutions (isotonic +200 NaCl  $mM$ ) and Tc-DTPA. (A) Percent apical Tc-DTPA cleared 24 h after the HR osmotic challenge for both CF and non-CF HNE cultures. It shows that HR significantly reduces the percent of Tc-DTPA absorbed relative to IR in CF ( $p = 2.003 \times 10^{-4}$ ), but not in non-CF ( $p = 0.934$ ) cultures. Comparisons by unpaired t-test, \*:  $p < 0.05$ . (B) Apical Tc-DTPA concentration as a function of time calculated as the fold change in HR relative to the IR. This reveals that the observed hyperabsorption of Tc-DTPA in CF cultures is likely due depressed apical volume, leading to a consistently higher driving force relative to non-CF cultures ( $p = 1.092 \times 10^{-4}$ ). (C) Comparison plot showing the relationship between Tc-DTPA absorbed (thousands of counts) and ASL volume absorbed ( $\mu L$ ) for both non-CF (6 patients, 22 filters, blue squares) and CF (5 patients, 22 filters, red squares) at 24 h after the initial osmotic and volumetric challenge. The dashed line represents the linear regression for non-CF cultures. The relationship between ASL and DTPA absorption previously demonstrated with IR (Figure 3.4) is not apparent after HR addition ( $r = 0.09$ ).

permeabilities in both the CF and non-CF cases were similar to those reported by Matsui and colleagues [123].

Because ion transport defects and airway surface liquid dehydration both play key roles in CF lung disease, it is important to be able to characterize liquid and solute transport. Our model demonstrates that the main factor contributing to differences in absorption dynamics between CF and non-CF epithelia are transcellular hydraulic permeabilities (Figure 3.5A, B; Table 2), which it predicts as being nearly 3-fold higher in CF. The model-predicted paracellular hydraulic permeabilities were not significantly increased in CF (Figure 3.5C). This suggests that transcellular permeability has a larger role in the observed decreased ASL volume in CF than paracellular permeability. Our model also corroborates previous reports, both *in vitro* [32] and *in vivo* [30], that radiolabeled DTPA conjugates absorption is increased in the CF airway. While ASL can be transported through trans- or paracellular pathways, Tc-DTPA can only be cleared paracellularly, either through diffusive or convective transport. Since the convective component of Tc-DTPA absorption is directly driven by ASL absorption, the relationship between Tc-DTPA and ASL absorption can be used to illustrate the relative contributions of convection and diffusion. For example, the increased Tc-DTPA absorption observed in Figure 3.4 C for CF cultures, relative to the non-CF trendline, suggests a Tc-DTPA absorption rate increase that is independent of liquid movement (i.e. through diffusion). Using our mathematical description of Tc-DTPA absorption from the ASL, we are able to probe the relative contributions of diffusion and convection that lead to increased solute absorption in CF. The model predicts that diffusive transport accounts for approximately 60% of all Tc-DTPA absorption seen in CF, a significant increase relative to the 49% predicted for non-CF cells, which is close to experimentally observed values [110]. It is likely that diffusion transport rates are increased in CF due to the decreased initial ASL volume and identical added Tc-DTPA counts in both CF and non-CF experiments. Thus, the concentration, and therefore the diffusive driving force, was slightly increased in CF over the course of the experiments.

Additionally, the model-determined ion channel permeabilities were within parametric ranges previously published in a model of HBE under Ussing Chamber conditions [174]. These model-predicted parameters also corroborate previous results describing the function

of epithelial ion channels. For instance, the model estimated that ENaC permeability is significantly increased in CF cell cultures (Figure ??), which is in agreement with the findings of previous experimental and modeling studies [138, 209]. Furthermore, the model predicted a significant increase in the constitutively active (i.e. not CFTR) chloride channel permeability in our model,  $P_{ACC}$ , in non-CF cell cultures (Figure S1). These results are in line with the findings of Bertrand and colleagues, who have reported that SLC26A9, a constitutively active  $\text{Cl}^-$  channel expressed in the apical membrane of airway epithelia, shows diminished expression and functional levels in the presence of dysfunctional CFTR [8, 9]. Overall, our model describes hyperabsorptive liquid and solute dynamics in CF that are associated with a loss of CFTR function and the subsequent loss of ENaC flux and decreased constitutive  $\text{Cl}^-$  transport.

Previous mathematical models of airway epithelia have considered liquid and solute transport in varying degrees of mechanistic detail. The majority of these models have been designed for non-physiological conditions where the extracellular compartment volumes are static. A more recent model includes dynamic apical and basolateral compartments and thin film conditions [168]. These conditions recreate the conditions in the human airway, allowing the modeled epithelium to establish a homeostatic ASL at an air-liquid interface. Our model further accounts for paracellular transport and includes dynamic apical, basolateral, and cellular compartments under thin-film conditions. Airway epithelia are also known to transport solutes beyond  $\text{Na}^+$ ,  $\text{Cl}^-$ , and  $\text{K}^+$  that can play a role in the regulation of ion and liquid transport (e.g., Nucleotides,  $\text{HCO}_3^-$ ,  $\text{H}^+$ ,  $\text{Ca}^{2+}$ ). However, in an effort to minimize parameter uncertainty and complexity, these were not included herein as explicit model states. Instead, the model is focused on further developing the description of paracellular solute transport. It is recognized that purinergic, pH and  $\text{Ca}^{2+}$  fluctuations can have meaningful effects on the function of several ion channels, transporters, and consequently, transepithelial water transport or possibly even MCC [47, 63, 103, 221]. However, previously published work also suggests that under baseline conditions (i.e. in the absence of a purinergic/mechanical stimuli) the homeostatic levels of nucleotides and nucleosides are largely unchanged, even in the presence of large ASL volume differences [63]. As a result, we postulate that these signaling dynamics do not play a significant role under conditions

that do not explicitly induce a change in their respective baseline values. As such, they were not explicitly included in the model structure. Rather, our model considers the effects that ASL and cell volume have on regulating solute and liquid flux in an HNE culture system. It is quite possible that both mechanisms (purinergic and volumetric) are related, or a consequence of one another. However, the volumetric effect modeled herein captures the available experimental data in a structurally simple manner.

Our model also includes a more descriptive understanding of paracellular ion fluxes. Current literature describes paracellular ionic transport using Goldmans constant field equation, the same expression used for transcellular ion channel fluxes [62, 168]. This approximation fails to account for the contributions of diffusive transport along the entire paracellular pathway and convective transport due to fluid drag. Previous work from our group has shown that convective transport can account for approximately 50% of Tc-DTPA transport across primary HBE cell cultures [110]. This suggests convection could also play a significant role in the paracellular transport of ionic species. Specifically, we compared predicted paracellular electrolyte fluxes in the electroosmotic versus the combined convection plus diffusion case. Our results suggest that electrical contributions are effectively negligible after the inclusion of convective driving forces ( $\text{Na}^+$ :  $9.83 \times 10^{-9}$  vs.  $2.01 \times 10^{-7}$ ;  $\text{Cl}^-$ :  $8.07 \times 10^{-9}$  vs.  $1.81 \times 10^{-7}$ ;  $\text{K}^+$ :  $9.59 \times 10^{-10}$  vs.  $5.18 \times 10^{-8}$ ; respectively,  $\text{mmol}/\text{min}$ ). This distinction is particularly important under conditions of non-homeostatic hydraulic flux, such as those following a volumetric or tonicity challenge of the ASL. Our group has previously shown that in HBE cultures, an apical to basolateral osmotic, but not chemical, equilibrium abolished approximately 50% of Tc-DTPA transport in CF HNEs [32]. Furthermore, while electroosmotic transport is likely representative of charged solute transport across the tight junction, it does not account for the effects that the inherent paracellular pathway tortuosity and length of an epithelial membrane are likely to have on the effective solute permeabilities of larger, uncharged molecules such as Tc-DTPA, or the charge selectivity function that some tight junction proteins may exert on ionic solutes [217]. A detailed understanding of the dynamic nature of these effects is a largely underexplored area and could warrant further study.

We validated the model using 6 non-CF and 5 CF cultures from the cell lines included in the training set but exposed to different experimental conditions. Validation testing



was performed by measuring ASL and Tc-DTPA response following the addition of 10 $\mu$ L of HR with Tc-DTPA to the ASL. Model simulations were carried out using line-specific parameter data obtained during training (IR) experiments. Only initial apical volumes, Tc-DTPA counts, and ionic concentrations were changed to reflect experimental conditions. Model predictions obtained from simulations from the top 100 parameter sets of experimental results recapitulated data within the 95% confidence intervals in both the CF and non-CF cases overall. The model was able to capture the maximum observed ASL volume increase following the osmotic challenge, and the final steady state (Figure 3.9 A). However, the model struggled to recapitulate reabsorption dynamics for non-CF cultures in particular. This may suggest a possible shift in the expression or functional regulation profile of aquaporins as a result of the hyperosmotic challenge. This type of behavior has been observed in epithelial tissues in both the gut and urinary tract [77, 220]. HR reduced the percent of Tc-DTPA absorbed in CF cultures to levels comparable to those of non-CF cultures under IR conditions. A similar shift, however, was not observed in non-CF cultures (Figure 3.11 A). Since ASL absorption was largely abrogated with HR, Tc-DTPA absorption under these conditions is likely caused by diffusion. This is supported by the loss of the correlation between ASL and Tc-DTPA absorption following HR treatment, which is driven by the convective transport (Figure 3.11 C). Further investigation revealed that the reduction in Tc-DTPA absorption observed in CF cultures following HR treatment was primarily driven by changes in apical Tc-DTPA concentration. Because of their increased transcellular water transport, CF cultures experience a higher degree of apical solute dilution ( $\sim$  7-fold change) than non-CF cultures ( $\sim$  2-fold change) under HR conditions relative to IR ones (Figure 3.11 B). The dilution of apical Tc-DTPA leads to a corresponding reduction of chemical driving force. This loss, alongside the higher Tc-DTPA permeability predicted by the model (Figure 3.6 D), results in an abrogated Tc-DTPA diffusive transport rates in CF under hypertonic conditions. This finding is particularly important, because it provides a better mechanistic understanding of radio-labeled DTPA absorption as a biomarker of CF liquid hyperabsorptive phenotype both *in vitro* and *in vivo*.

HR acts as a direct *in vitro* surrogate for hypertonic saline (HS) [110]. Inhaled HS is a vital therapy for patients with CF and is prescribed to approximately 72% of CF patients age

6 or older [38]. Because of its tonicity when inhaled, HS acts as a mucus thinning agent that temporarily rescues the airway hyperabsorption phenotype, promoting MCC [31, 46, 48, 82]. Chronic treatment with inhaled HS has also been linked with higher lung function and reduced rates of pulmonary exacerbation among CF patients [53]. More recently, the FDA has approved the use of inhaled powder mannitol as an alternative osmotic therapy to HS in the treatment of CF patients [8]. Mannitol has a similar mechanism of action to HS, but since it is only transported paracellularly, its residence time in the ASL, and therefore its effective window thought to be prolonged [11, 20, 26, 120, 162]. Due to its similar transport pathways, DTPA transport studies in the airway both *in vitro*, as the ones described here, and *in vivo* are vital in order to understand the dynamics of inhaled osmotic therapeutics. This approach could also be extended to the development of new therapeutics, or the optimization of novel dosing strategies for other categories of inhaled therapeutics, such as bronchodilators and antibiotics, both in CF and other airway pathologies [2, 132].

Ultimately, we envision the development of *in silico* models that are informed by data relating *in vitro* cell physiology and therapeutic response to *in vivo* organ physiology and therapeutic response, and eventually clinical outcomes. These models could be applied to develop personalized therapeutic strategies for CF patients. They could also provide new parameters for phenotyping CF subjects and a better understanding of the physiological effects of CFTR function and specific genotypes. This notion is particularly relevant because our model is able to track the different modes of liquid and Tc-DTPA transport in HNE cultures grown from cells harvested from specific patients. This connection would suggest the *in vitro* and *in silico* descriptors of Tc-DTPA dynamics implemented here could correlate with their corresponding In-DTPA absorption (ABS) measurements, a DTPA-based *in vivo* biomarker of airway absorption. Current studies are underway to characterize nasal cell culture physiology, organ level physiology, and clinical outcomes in cohorts of CF subjects, single CFTR mutation Carriers who are parents of CF patients, and healthy controls (NCT02947126), that are intended to inform such models. These studies include nuclear imaging studies to measure mucociliary clearance and ABS in the lungs. We have previously observed and modeled MCC and DTPA absorption in the lungs of subjects with and without CF [110, 111, 120]. These previous studies provide a quantitative and mechanistic

description of ABS, and MCC. Similarly, this work represents a mechanistic description of DTPA and liquid transport that is relevant at a sub-scale of our previous work. Whole-organ model development based on this scan data is ongoing. Ultimately, the multi-scale linkage of *in vitro* models to the whole-organ model, including patient-specific disease mechanism and clinical performance data, will facilitate the design and use of multi-scale models in patient-tailored and population-scale interventions for CF patients.

## 4.0 A Multi-Scale Study of Cystic Fibrosis Airway Physiology

Therapeutic development is largely dependent on the availability of model systems that can be used to test and optimize therapies ahead of clinical use. The concept of a model can be extended to include studies of human disease that describe both the pathogenic and therapy-related components of a system. Examples of classic biomedical models would include cell-based assays and animal models of disease. These models often need to span multiple spatio-temporal scales in order to accommodate different stages of research, disease progression, and to properly describe changes in pathophysiology through quantifiable biomarkers. However, the transition from cell or animal-based model experimentation to clinically meaningful information is rarely simple, and, in fact, is often not practical due to ethical or logistical limitations.

Multiple physiological processes interact within each of these models. In the case of CF lung disease, these models include networks of interactive elements that work jointly to regulate everything from cellular electrophysiology and epithelial hydration to whole organ absorptive and clearance profiles. These networks tend to inherently be too complex to exhaustively explore experimentally. However, they can be represented through *in silico* models that provide insight into the contribution of individual mechanisms to experimental outcomes. As shown in the previous chapters, this *in silico* approach can be applied to the characterization and validation of experimental models, as well as to identify nuanced, physiologically-meaningful disease mechanisms that are not easily accessible in the lab.

Similarly, linking cellular level mechanisms to organ or system level therapeutic response through mathematically-robust and physiologically-inspired models would allow for enhanced prediction of therapeutic efficacy from *in vitro* studies. This provides novel opportunities for personalized medicine, where cells sampled and cultured from individuals could be used to determine an optimal therapeutic regimen.

## 4.1 Study design

The study has recruited 20 subjects ages 12 and older with CF (labeled as CF), 12 subjects who are biological parents of the CF subjects and thus are single CFTR mutation carriers (labeled as Carriers), and 14 healthy subjects (labeled as Non-CF). All subjects will undergo a nasal brushing to obtain HNE cells, nuclear imaging studies to measure baseline mucociliary clearance (MCC) and DTPA absorption (ABS) following treatment with inhaled isotonic saline, pulmonary function testing (spirogram), and inert gas washout studies to measure lung clearance index (LCI) and fractional rate clearance (FRC). In addition, CF subjects will undergo a second imaging day including inhalation of an osmotic therapy (7% hypertonic saline) to characterize their therapeutic response. CFTR genotyping was performed in all groups, if not already available. Single mutation carriers were excluded as controls. Table 4.1 provides a detailed summary of the different measurements included in the study for each group. Similarly, Tables 4.2, 4.3, and 4.4 provide summaries of the relevant demographic information for all subjects (Non-CF, Carrier, and CF) enrolled in the study at the time of writing.

It should be noted that one subject from the CF group, CF 7, was removed from the analysis due to lack of genetic confirmation of CF. This subject's genotype includes a CF-causing variation (3849+10kbc>T) and a non-CF causing CFTR variation (R668C), and shows normal levels of sweat  $\text{Cl}^-$  (13.2 mmol/L). This CFTR variant combination does not lead to the development of CF, based on both clinical evaluations of patients with similar CFTR variant combinations and laboratory experiments performed on this variant as verified through [www.cftr2.org](http://www.cftr2.org).

### 4.1.1 *In vitro* methods and measurements

**4.1.1.1 HNE Sampling and Culturing** HNE cultures will be developed using previously described methods developed for HBE and HNE cultures. Briefly, cells are detached from epithelial tissue in a protease solution, suspended in epithelial growth media, and initially seeded onto sterile tissue culture flasks pre-coated with human collagen. After several

Table 4.1: Summary of organ-level, cellular level (*in vitro*), and clinical endpoints included in the study protocol. *X* signals a particular measurement will be performed by a respective group.

	Measurement	Non-CF	CF	Carriers
Clinical Endpoints	CFTR Genotype	X	X	X
	Pulmonary Function Test	X	X	X
	Multi-breath Washout Test	X	X	X
	Sweat Chloride	X	X	X
Organ level	MCC/ABS (baseline)	X	X	X
Physiology	MCC/ABS (hypertonic saline)		X	
<i>In vitro</i> level	Nasal Sampling	X	X	X
	Epithelial Resistance	X	X	X
	Ussing Chamber	X	X	X
	Thin Film Dynamics	X	X	X
	CFTR protein expression	X	X	X

passages, they are seeded onto collagen coated transwell filters at a density of approximately  $2 \times 10^6$  cells/cm<sup>2</sup>. When confluent, the cells are maintained at an air-liquid interface and the differentiation media is added. Cells are allowed to fully differentiate prior to experimentation. For more detailed please refer to **Appendix A**. HNE cultures will be characterized through measurements of: (1) CFTR expression, (2) epithelial electrophysiology, (3) ASL liquid absorption, and (4) paracellular solute (Tc-DTPA) transport.

**4.1.1.2 Measurements of CFTR expression in HNE cells** Expression and maturation status of CFTR is performed through Western blotting. A minimum of 6 individual cultures are required for sufficient total protein. To harvest proteins, cultures are washed

3x with ice cold PBS, then cells are lysed with addition of RIPA buffer to the apical compartment. The lysate is removed from the culture support and centrifuged at 10,000g for 10 min, then quantified for total protein content.  $\sim 100 \mu g$  of total protein is subject to SDS-PAGE, transferred to PVDF membrane, and probed with a CFTR primary antibody (CFFT 596). After labeling with secondary antibody and chemiluminescent substrate, total CFTR protein is imaged, and quantified by comparison to total actin protein in the sample. CFTR typically presents at two molecular weights, consistent with an immature form (band B, localized to the endoplasmic reticulum), and a mature form (band C, indicative of complex glycosylation at the plasma membrane). In HBE, the majority of CFTR protein presents as band C in non-CF, and as band B in CF patients harboring the  $\Delta F508$  mutation. The ratio of band B to C is a marker of CFTR maturation. However, since some CFTR mutations impact function rather than maturation, functional CFTR expression must be confirmed with electrophysiology.

**4.1.1.3 Measurements of epithelial cell electrophysiology** Individual cultures are placed in the Ussing chamber with Ringers solution aerated with 5%  $CO_2$ -95%  $O_2$  in both apical and basolateral compartments. The culture is continuously short circuited with an automatic voltage clamp. Transepithelial resistance is calculated from the current deflection resulting from a 2-mV pulse applied every 90s via an automated pulse generator. Key measurements include  $Na^+$  absorption via the ENaC channel (increased in CF) and  $Cl^-$  secretion through CFTR (generally absent in CF), which together establish the primary osmotic gradients driving liquid transport across the epithelium. Amiloride-sensitive  $Na^+$  current is measured by adding  $10 \mu M$  Amiloride to the apical side of the Ussing chamber. CFTR-associated  $Cl^-$  current is measured by first stimulating cAMP production with addition of  $10 \mu M$  Forskolin to the basolateral chamber, and then by inhibiting basolateral NKCC transport through the addition of  $100 \mu M$  Bumetanide.

**4.1.1.4 Measurements of ASL and Paracellular Solute Transport Rates** HNE ASL dynamics were assessed under thin film conditions using an optical meniscus method. Under thin film conditions, the thickness of the meniscus associated with the ASL layer of

an epithelial culture is visible when examining the apical surface of individual cultures. This method utilizes an optical scanner to measure the refraction pattern of transmitted light through that meniscus. Previous studies have demonstrated a robust linear relationship between the AUC of the gray scale light intensity curve generated by the meniscus and ASL volume on the cultures [130]. Absorption rate measurements were made by comparing ASL volume over 24 hours following the addition of 10  $\mu L$  of Ringer’s solution. Measurements of paracellular solute absorption can be performed in parallel to measurements of ASL absorption by adding the radiolabeled small molecule Tc<sup>99m</sup>-DTPA (Tc-DTPA,  $\sim 500Da$ ) to the Ringers volume challenge. Radioactivity is measured in filters containing the ASL and the cells after removing it briefly from the basolateral media.

## 4.1.2 *In vivo* Methods and Measurements

### 4.1.2.1 Functionalized Scans of Airway Absorptive and Clearance Dynamics :

This procedure involves the inhalation of a nebulized aerosol containing two radiopharmaceuticals, Indium<sup>111</sup>-DTPA (In-DTPA) and Technetium<sup>99m</sup> sulfur colloid (Tc-SC). In-DTPA is a small molecule that is cleared from the lungs by mucociliary clearance and absorption while Tc-Sc is a particle probe ( $\sim 300$  nm) that is cleared only by mucociliary clearance. Tc-SC clearance is used to measure MCC, while the difference in clearance rates between In-DTPA and Tc-SC is used to measure DTPA absorption (ABS). Previous studies have demonstrated a relationship between epithelial DTPA and liquid absorption rates [32]. A very specific breathing pattern is used to deliver the aerosol that provides increased airway deposition rates and measurement repeatability [7]. Subjects are imaged continuously using nuclear medicine gamma cameras that allow for independent tracking of the aerosolized particles for 80 minutes. Use of this dual isotope method is unique to our center [30, 32, 110, 111]. Measurements of MCC using similar methods have received extensive use in CF therapy development [46, 162, 165].

### 4.1.2.2 Multi-breath Washout (Lung Clearance Index)

Single and multi-breath inert gas washout techniques are used to measure of ventilation heterogeneity in the lungs.



The presence of obstructive disease in the airways increases this heterogeneity and is reflected in measurements of exhaled gas concentration versus volume or time, which indicate reduced mixing. Lung clearance index (LCI) is a specific multi-breath measurement representing the number of ventilations required to clear an inert gas from the lungs. It has been shown to predict pulmonary exacerbations and to correlate with validated quality of life assessments [59, 201]. Standardized methods have been established for LCI and devices are available commercially that allow for its measurement [163].

Table 4.2: Summary of the relevant demographic information for all **Non-CF** subjects enrolled in the study. Family ID is an index that relates subjects in the carrier groups with their respective relative in the CF cohort.

ID	Age	Gender	Family ID	CFTR	CFTR
				Allele 1	Allele 2
NCF 1	23	M	-	wt	wt
NCF 2	20	M	-	wt	wt
NCF 3	20	M	-	wt	wt
NCF 4	20	M	-	wt	wt
NCF 5	22	M	-	wt	wt
NCF 6	23	M	-	wt	wt
NCF 7	23	M	-	wt	wt
NCF 8	22	M	-	wt	wt
NCF 9	20	M	-	wt	wt
NCF 10	25	F	-	wt	wt
NCF 11	22	F	-	wt	wt
NCF 12	23	F	-	wt	wt
NCF 13	21	F	-	wt	wt
NCF 14	19	F	-	wt	wt

Table 4.3: Summary of the relevant demographic information for all **Carrier** subjects enrolled in the study. Family ID is an index that relates subjects in the carrier groups with their respective relative in the CF cohort. CFTR modulator therapy is coded such that: (0) means no CFTR corrector treatment used (True for all Non-CF), (1) means Kalydeco, (2) means Orkambi, and (3) means Symdeko. Sputum Culture is classified such that a positive (+) signals the subject has had a verified *Pseudomonas Aeruginosas* positive sputum or throat culture within 1 year prior to the day of functional airway testing, and a negative (-) signals the complementary situation.

ID	Age	Gender	Family ID	CFTR Allele 1	CFTR Allele 2	CFTR Corrector	Sputum Culture
P 1	47	F	1	F508del	wt	0	-
P 2	36	F	2	P574H	wt	0	-
P 3	35	M	3	F508del	wt	0	-
P 4	41	F	4	del exon 22,33	wt	0	-
P 5	48	F	5	F508del	wt	0	-
P 6	47	M	6	F508del	wt	0	-
P 7	48	M	7	F508del	wt	0	-
P 8	72	F	8	F508del	wt	0	-
P 9	73	M	8	F508del	wt	0	-
P 10	64	F	9	F508del	wt	0	-
P 11	53	F	10	F508del	wt	0	-
P 12	56	F	11	2184insA	wt	0	-

Table 4.4: Summary of the relevant demographic information for all **CF** subjects enrolled in the study. Family ID is an index that relates subjects in the carrier groups with their respective relative in the CF cohort. CFTR modulator therapy is coded such that: (0) means no CFTR corrector treatment used, (1) means Kalydeco, (2) means Orkambi, and (3) means Symdeko. Sputum Culture is classified such that a positive (+) signals the subject has had a verified *Pseudomonas Aeruginosas* positive sputum or throat culture within 1 year prior to the day of functional airway testing, and a negative (-) signals the complementary situation.

ID	Age	Gender	Family ID	CFTR Allele 1	CFTR Allele 2	CFTR Corrector	Sputum Culture
CF 1	21	M	1	F508del	F508del	2	+
CF 2	15	M	2	P574H	F508del	0	-
CF 3	13	M	3	F508del	N1303K	0	-
CF 4	25	F		c.1585-2A>G	G551D	1	-
CF 5	26	F	4	del e22,33	F508del	0	
CF 6	29	M	5	F508del	S549N	1	+
CF 7	61	F		3849+10kbc>T	R668C	0	-
CF 8	31	M		F508del	del e2,3	0	+
CF 9	24	F		F508del	N1303K	0	-
CF 10	67	M		F508del	D1152H	1	-

Table 4.4 (Continued)

ID	Age	Gender	Family ID	CFTR Allele 1	CFTR Allele 2	CFTR Corrector	Sputum Culture
CF 11	14	F	6	F508del	F508del	2	-
CF 12	39	F		F508del	W1282X	0	+
CF 13	31	F		F508del	F508del	0	+
CF 14	40	M		F508del	F508del	3	+
CF 15	17	M	7	F508del	F508del	3	-
CF 16	21	M		F508del	F508del	2	+
CF 17	25	F	8	F508del	F508del		
CF 18	39	F	9	F508del	F508del	3	+
CF 19	29	F	10	F508del	D579G	0	
CF 20	18	F	11	F508del	2184insA		
CF 21	55	M		F508del	R117H	1	

## 4.2 Results

### 4.2.1 Carriers show mild changes in baseline ion and liquid transport function, but no significant respiratory impairment

In order to assess the degree to which subjects in the carrier group differed or resembled both the CF and non-CF groups, we performed Wilcoxon rank sum tests across the three groups for all clinical endpoint measurements, and both *in vivo* and *in vitro* measures of liquid and solute transport. The Wilcoxon rank sum test is a non-parametric test that evaluates the likelihood of the population medians of two independent samples of different sizes to be equal to each other. It is equivalent to a Mann-Whitney U-test.

We first evaluated pulmonary function through the lenses of spirometry and multi-breath washout measurements. Analysis revealed consistently lower pulmonary function assessments for the CF group relative to both the Carrier (CF vs. Carrier; %FEV1:  $71.27 \pm 6.57$  vs.  $101.09 \pm 4.86$ ,  $p = 0.0053$ ; FVC:  $87.72 \pm 5.92$  vs.  $105.72 \pm 3.10$ ,  $p = 0.0364$ ; FEF<sub>25%-75%</sub>:  $50.55 \pm 9.60$  vs.  $112.72 \pm 18.23$ ,  $p = 0.003$ ; MBWFRC:  $2.07 \pm 0.21$  vs.  $2.83 \pm 0.17$ ,  $p = 0.027$ , MBWLCI:  $9.41 \pm 0.8$  vs.  $7.41 \pm 0.26$ ,  $p = 0.044$ ) and non-CF groups (Non-CF; %FEV1:  $101.83 \pm 3.41$ ,  $p = 0.0025$ ; FVC:  $106.75 \pm 3.67$ ,  $p = 0.0234$ ; FEF<sub>25%-75%</sub>:  $85.83 \pm 6.03$ ,  $p = 0.005$ ; MBWFRC:  $7.16 \pm 0.30$ ,  $p = 0.019$ , MWBLCI:  $7.16 \pm 0.30$ ,  $p = 0.011$ ). All values are shown as percent predicted values  $\pm$  standard error about the mean. No meaningful difference was observed between the Carrier and Non-CF groups for any of the pulmonary functional tests. These results recapitulate well established differences in pulmonary function between Non-CF and CF individuals, and suggest there is no meaningful signs of airway function impairment in Carriers. However, sweat Cl<sup>-</sup> measurements were found to be significantly different among the three different groups (CF:  $97.89 \pm 4.68$ , Carrier:  $39.81 \pm 4.93$ , Non-CF:  $21.62 \pm 3.01$ ; CF vs. Non-CF:  $p = 0.000721$ ; CF vs. Carrier:  $0.000279$ ; Carrier vs. Non-CF:  $0.0061$ ). A summary of these results can be found in Figure 4.1.

Results from functionalized scans following the inhalation of isotonic saline provide a more nuanced perspective regarding liquid absorption and mucocilliary transport in the air-

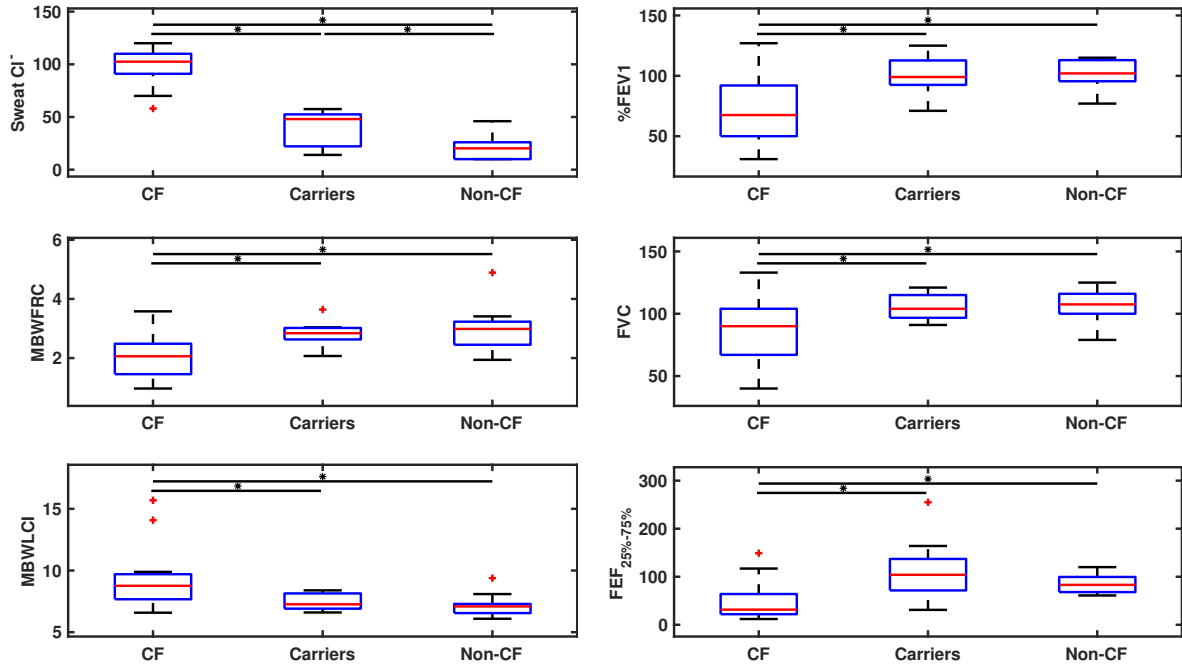


Figure 4.1: Boxplots summarizing the observed differences between the three groups in the study (Non-CF, Carriers, and CF) on the basis of common clinical endpoints used to assess Cystic Fibrosis disease progression and/or therapeutic responses. Left: (Top) Sweat Cl-, (Middle) Multi-breath washout functional residual capacity (MBWFR), (bottom) Multi-breath washout lung clearance index (MBWL). Right: (Top) Percent predicted forced expiratory volume in one second (%FEV1), (Middle) Forced vital capacity (FVC), (Bottom) Forced expiratory flow at 25% – 75% (FEF<sub>25%-75%</sub>). Red cross signifies a measurement found to be an outlier within its group. Statistical significance was determined through a non-parametric Wilcoxon rank sum test. \*: p-values < 0.05.

way. Figure 4.2 shows the distributions for whole, central and peripheral ABS and MCC measurements stratified by subject groups in our study. The CF cohort was modified to exclude subjects that were taking ivacaftor (Kalydeco), ivacaftor/lumacaftor (Orkambi) or ivacaftor/tezacaftro (Symdeko) at the time of the study nuclear scans, to account for any possible effect on ABS measurements [31]. The analysis showed significant differences between CF and non-CF ABS (%  $\pm$  SEM) levels in the whole lung (CF:  $0.27 \pm 0.033$  vs. non-CF:  $0.106 \pm 0.043$ ,  $p = 0.0083$ ) and central lung (CF:  $0.241 \pm 0.038$  vs. non-CF:  $0.0887 \pm 0.057$ ,  $p = 0.0425$ ), while in the peripheral lung it only approaches significance (CF:  $0.27 \pm 0.052$  vs. non-CF:  $0.133 \pm 0.039$ ,  $p = 0.064$ ). When comparing ABS measurements between Non-CF and Carrier groups, statistical significance was only reached for the peripheral lung (Carrier:  $0.254 \pm 0.0482$ ,  $p = 0.041$ ). Whole lung (Carrier:  $0.236 \pm 0.048$ ,  $p = 0.072$ ) and central lung (Carrier:  $0.218 \pm 0.058$ ,  $p = 0.192$ ) ABS do not reach statistical significance between these two groups. No meaningful difference was observed between CF and Carrier ABS measurements in any of the regions of interest.

MCC measurements (%  $\pm$  SEM) revealed no statistical difference between any of the groups in any of the regions of interest (Whole Lung; CF:  $0.346 \pm 0.044$ ; Carrier:  $0.342 \pm 0.076$ ; Non-CF:  $0.250 \pm 0.040$ ; Central Lung; CF:  $0.357 \pm 0.054$ ; Carrier:  $0.369 \pm 0.082$ ; Non-CF:  $0.272 \pm 0.055$ ; Non-CF; CF:  $0.336 \pm 0.040$ ; Carrier:  $0.336 \pm 0.060$ ; Non-CF:  $0.265 \pm 0.032$ ). These analyses also excluded patients currently taking Kalydeco, Orkambi or Symdeko during the imaging day, as well as patients with a Tc<sup>99m</sup>-Sc percent central deposition above 55 percent, see Figure 4.2. Previous work from our group has shown CF patients with confirmed *Pseudomonas aeruginosa* (PA) cultures have significantly lower MCC rates than CF patients without such a result [31, 111]. In order to further explore this within our study, a CF subset was created by excluding CF subjects without a positive sputum or throat PA culture within 1 year of the imaging day. These exclusion criteria resulted in only 3 CF subjects remaining within the analysis subgroup. However, no meaningful change was identified either. We believe that the relatively small cohort size and the higher percent of subjects under a CFTR modulator may act as confounding factors in these results.

Given the observed difference between the Carriers and CF groups, we wanted to explore whether these trends remain true at the cellular level. We performed ASL and Tc-DTPA

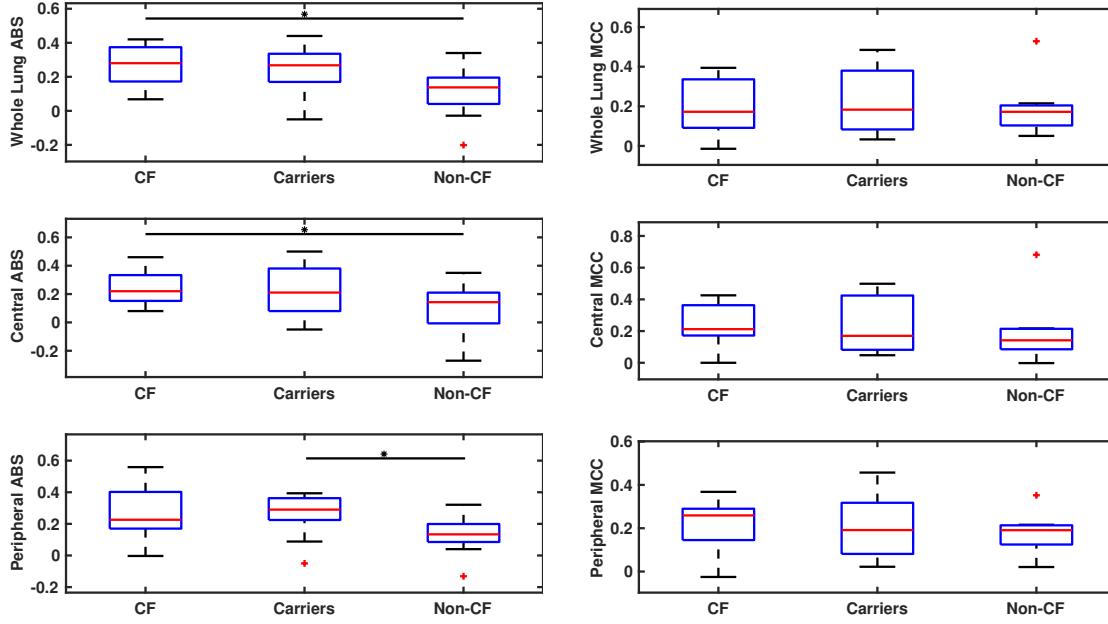


Figure 4.2: Boxplots summarizing the observed differences between the three groups in the study (Non-CF, Carriers, and CF) on the basis of functionalized scans of airway absorptive and clearance dynamics. This procedure involves the inhalation of a nebulized aerosol containing two radiopharmaceuticals, Indium111 DTPA (In-DTPA) and Technetium99m sulfur colloid (Tc-SC). In-DTPA is a small molecule that is cleared from the lungs by mucociliary clearance (MCC) and absorption while Tc-Sc is a particle probe ( $\sim 300$  nm) that is cleared only by mucociliary clearance. Tc-SC clearance is used to measure MCC while the difference in clearance rates between In-DTPA and Tc-SC is used to measure DTPA absorption (ABS). Left: (Top) whole lung, (Middle) central, and (Bottom) peripheral ABS, respectively. Right: (Top) whole lung, (Middle) central, and (Bottom) peripheral MCC, respectively. Red cross signal a measurement found to be a statistical outlier within its group. Statistical significance was determined through a non-parametric Wilcoxon rank sum test. \*:  $p$ -values  $< 0.05$ .



dynamic experiments in HNE cultures treated with 10  $\mu L$  of either isotonic Ringer's (IR), or hypertonic Ringer's (HR = IR + 200 mM NaCl) from subjects in all groups. In particular, we calculated the total % Tc-DTPA ( $\pm$  SEM) absorbed over 24 hours ( $\%DTPA_{24h}$ ), the total change in ASL volume ( $\mu L \pm$  SEM) over 24 hours ( $\Delta ASL_{24h}$ ), and the baseline ASL volume prior to the apical volumetric challenge. This last value was used as a measure of culture quality and baseline control across the different groups and experimental conditions, see Figure 4.3. No differences were observed between any of the groups for the baseline ASL volume. CF cultures were found to be slightly drier than either the Carrier or Non-CF groups in both the isotonic (CF:  $-3.139 \pm 0.571$ ; Carrier:  $1.434 \pm 2.257$ ; Non-CF:  $0.165 \pm 1.157$ ) and the hypertonic cases (CF:  $-2.451 \pm 0.878$ ; Carrier:  $-1.033 \pm 1.619$ ; Non-CF:  $-3.319 \pm 2.751$ ).

Isotonic experiments also showed an increase in  $\%DTPA_{24h}$  for CF HNE cultures relative to the Non-CF group (CF:  $50.84 \pm 2.283$  vs. Non-CF:  $33.51 \pm 3.414$ ,  $p = 0.000381$ ), but no change was observed between the Carrier group and either the CF or Non-CF groups (Carrier:  $37.68 \pm 9.05$ ; Carrier vs CF:  $p = 0.1623$ ; Carrier vs. Non-CF:  $p = 0.6816$ ) (Figure 4.3). The results suggest that Carriers show a much broader variance than the other groups, and effectively "split the difference" between healthy and CF. This is further supported by the results from the hypertonic experiments. HR treatment of the three groups showed no change in the  $\%DTPA_{24h}$  between the three groups (CF:  $33.38 \pm 2.28$ ; Carrier:  $21.21 \pm 5.72$ ; Non-CF:  $32.50 \pm 2.77$ ). However, HR was able to significantly reduce  $\%DTPA_{24h}$  when comparing the CF ( $\sim 34\%$ ,  $p = 0.0034$ ) and Carrier ( $\sim 44\%$ ,  $p = 0.0061$ ) groups across the two experimental conditions. No meaningful change was observed in  $\%DTPA_{24h}$  for the Non-CF group between the two groups ( $p = 0.894$ ) (Figure 4.3). This suggests that at the cellular level, cultures derived from carriers of a single CFTR-causing mutation present a similar, yet milder, version of the liquid transport defect observed for CF cellular cultures, as described in Chapter 3.

In order to further inform these findings, we also measured  $ASL_{24h}$  for all groups under both IR and HR conditions. For these measurements, it should be mentioned that IR tends to promote an absorptive phenotype, while HR promotes a secretory phenotype due to reversal of the transepithelial osmotic gradient. IR experiments showed Carriers reached similar ASL volume to Non-CF samples after 24 hours (Carriers:  $5.63 \pm 1.719$  vs. Non-CF:

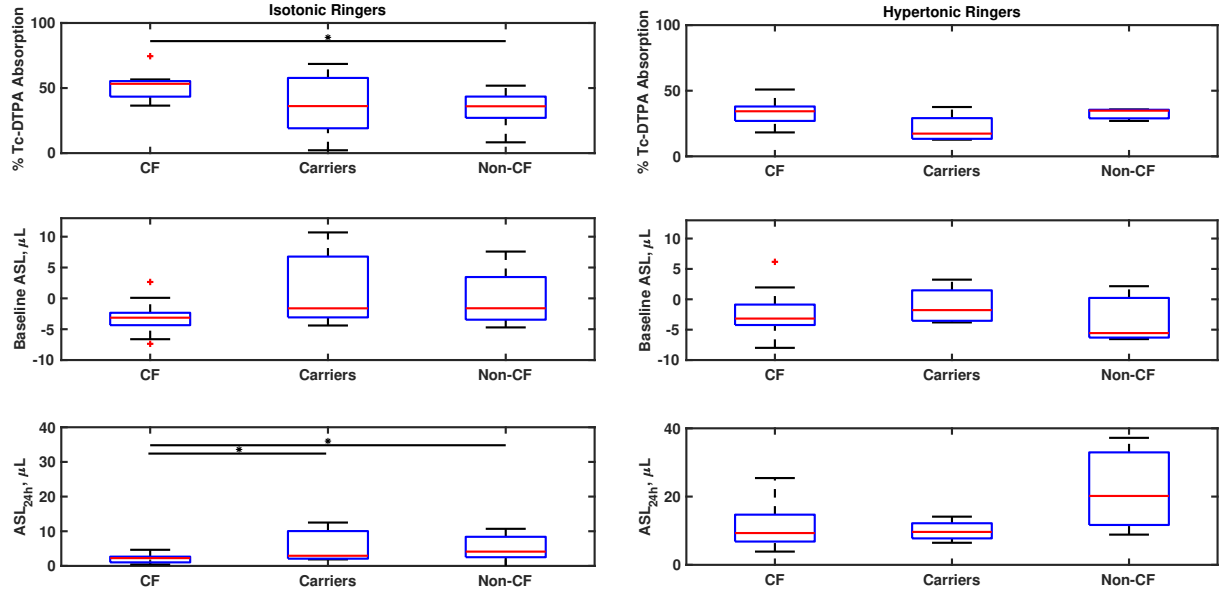


Figure 4.3: Boxplots summarizing the observed differences between the three groups in the study (CF, Carriers, and Non-CF) on the basis of *in vitro* ASL and paracellular solute transport dynamics. These experiments measure ASL volume and absorption of Technetium99m DTPA (Tc-DTPA) over 24 hours following a challenge of 10  $\mu\text{L}$  of either isotonic (Left) or hypertonic (Right, isotonic + 200mM NaCl). Tc-DTPA is an *in vitro* analogue of In-DTPA and can only be cleared paracellularly. (Top) percent Tc-DTPA absorption after 24 hours, (Middle) baseline ASL at time 0, and (Bottom) Change in ASL after 24 hours. Red cross signifies a measurement found to be an outlier within its group. Statistical significance was determined through a non-parametric Wilcoxon rank sum test. \*: p-values < 0.05.

$5.10 \pm 0.930$ ;  $p = 0.852$ ), but significantly higher than the CF group (CF:  $2.163 \pm 0.289$ ,  $p = 0.0093$ ). Following the treatment with IR, however, all groups show a significantly increased  $ASL_{24h}$ . The Carrier group was found to be statistically equivalent to both the CF and Non-CF groups (Carrier:  $9.969 \pm 1.59$ ; Non-CF:  $22.08 \pm 8.23$ ; CF:  $11.08 \pm 1.499$ ). Relative to their IR levels, Non-CF cells showed the highest increase in  $ASL_{24h}$  ( $\sim 5$ -fold), followed by Non-CF ( $\sim 4$ -fold) and Carriers ( $\sim 2$ -fold). Immediately following the addition of HR, all groups secreted liquid into the ASL. However, following the ASL peak ( $\sim 4$ -6 h), only the CF and Carrier groups trend towards their initial baseline levels. Given the similar initial increase in ASL volume achieved for all three groups, the difference observed at 24 hours suggests the difference may be due to a longer duration of the osmotic effect of HR. This could be due to CF, and, to a lesser degree, Carrier cell lines decreased ability to secrete  $Cl^-$  or regulate its  $Na^+$  intake, possibly leading to a more rapid clearing of the excess salt ions through diffusion in the paracellular space. It is important to acknowledge the limited size of the data set in these experiments (Non-CF:  $N = 3$ ,  $n = 18$ ; Carrier:  $N = 4$ ,  $n = 24$ ; CF:  $N = 7$ ,  $n = 42$ ), since the lower number of Non-CF and Carrier samples may skew the results. Here  $N$  represents the number of subjects, and  $n$  is the number of total individual cultures evaluated. It would be of great interest to examine the response profile as a function of stimuli with different tonicity levels and longer time points.

## 4.2.2 Cystic Fibrosis pathophysiology: a study between parent and child

### 4.2.2.1 CFTR Expression and Functional Levels

We have shown so far that carriers of a single CF-causing mutation exhibit physiologically meaningful differences versus both CF and Non-CF individuals at both the cell and organ levels. UC studies in Chapter 2 showed Carriers have statistically different baseline, CFTR, and ENaC associated short circuit currents in the absence of a transepithelial chemical gradient. Similarly, we have shown that whole cell protein samples harvested from fully differentiated Carrier HNE cultures expressed approximately two-thirds as much mature CFTR as those obtained from Non-CF samples (Figure 4.4). This is further supported by a different study that showed approximately a one-third reduction of CFTR expression Carrier samples vs. Non-CF [3].

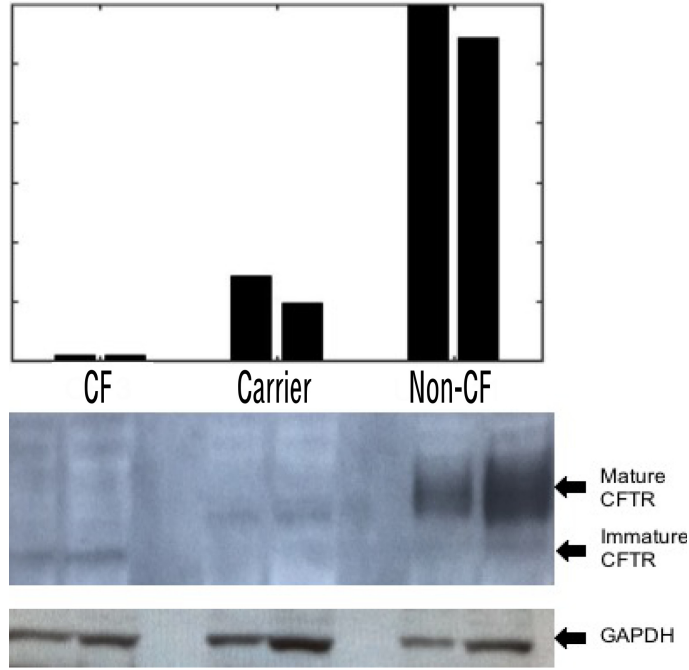


Figure 4.4: Western blot showing the whole-cell CFTR protein expression for two representative primary HNE cell lines from each group: CF, Carriers, and Non-CF. A minimum of 6 individual filters were harvested for each cell line. Protein samples were probed for CFTR (middle panel), stripped, and re-probed for GAPDH to use as a reference protein (bottom panel)

Reduced values for both  $\Delta I_{Forskolin}$  and mature CFTR protein expression suggest that the lack of a functional CFTR allele does lead to quantifiable changes in cellular electrophysiology. Results shown in the previous sections also support this claim. In particular, Carriers showed approximately half as much Forskolin-stimulated ( $\Delta I_{Forskolin}, \mu A/cm^2$ ) current than non-CF subjects ( $3.78 \pm 0.7$  vs.  $7.33 \pm 0.75$ ). In order to explore this difference, we tested the  $I_{SC}$  of a subset of Carrier HNE primary cultures from the group shown in Chapter 2 following the treatment with CFTR modulators (Ivacaftor/Lumacaftor) (Figure 4.5).

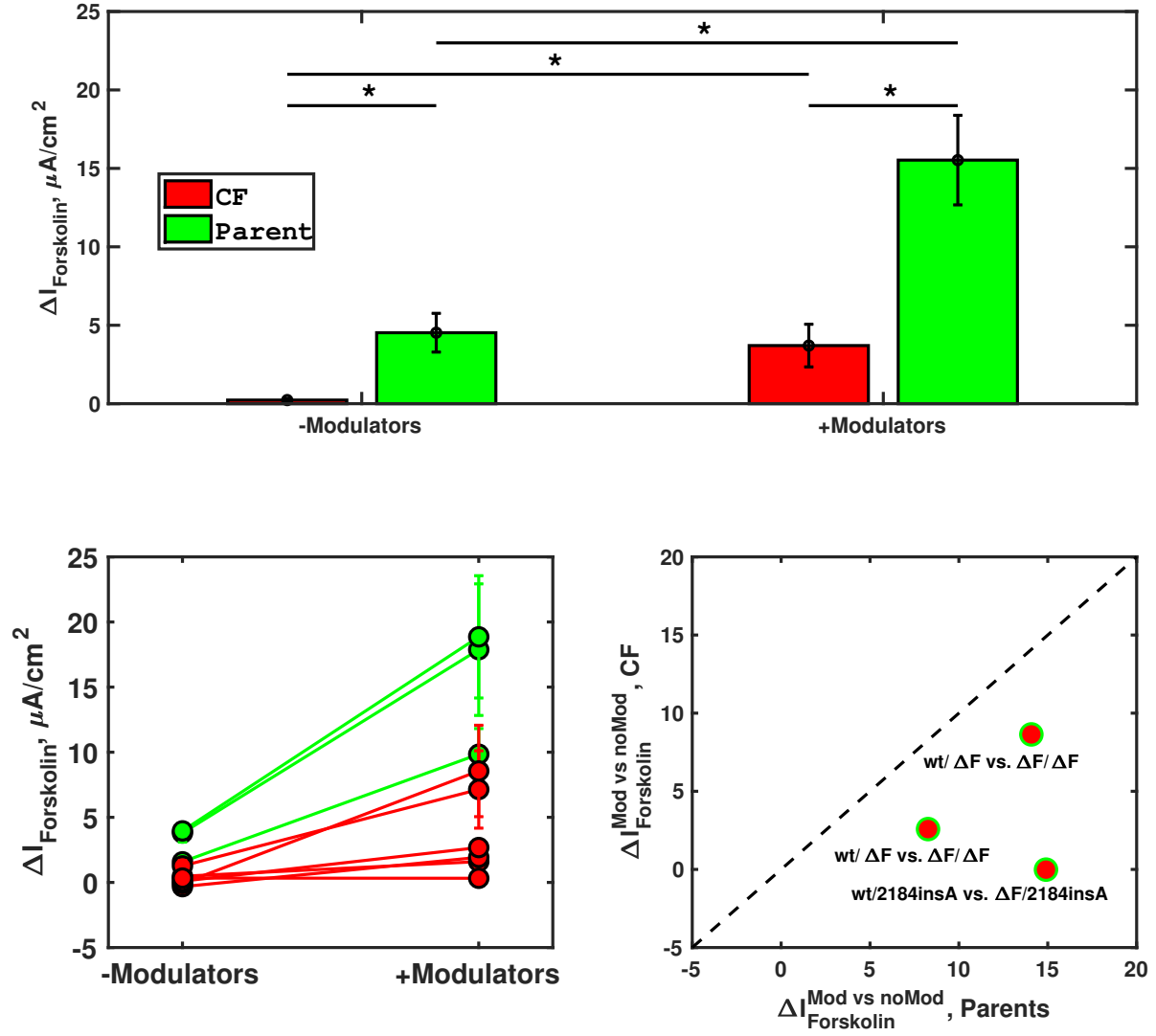


Figure 4.5: (Top) Bar plots showing the  $\Delta I_{Forskolin}$  ( $\mu A/cm^2$ ) results for CF (red) and Carriers (green) following treatment with CFTR modulators (lumacaftor/ivacaftor) or no treatment. (Bottom left) Line plot showing the subject-specific  $\Delta I_{Forskolin}$  at baseline and following modulator treatment. (Bottom right) Scatter plot showing change of  $\Delta I_{Forskolin}$  between the two conditions, plotted as child (CF subject) vs. parent (Carrier) pairs.

The results revealed that Carrier cultures treated with the modulator combo showed a 5-fold increase in  $\Delta I_{Forskolin}$  response relative to their untreated response. This corresponds to a total increase of  $11.82 \pm 0.747 \mu A/cm^2$ . By comparison, CF cultures exposed to the same treatment exhibited a nearly 20-fold increase, but with a much lower over all current change of  $4.29 \pm 0.57 \mu A/cm^2$  (Figure 4.5). When examining the subject-specific response to the modulator treatment, it was revealed that CF cultures showed a larger inter-subject variability of treatment response than the Carrier group. This is possibly due to their genotypes. Out of the three CF lines that exhibited less than  $2 \mu A/cm^2 \Delta I_{Forskolin}$ , two possess a genotype not approved for treatment with Orkambi, the clinical version of the modulators used:  $\Delta F508/D1152H$  and  $\Delta F508/2184insA$ . The third line was homozygous for  $\Delta F508$ . Similarly, out of the three Carrier cell lines treated with correctors, the one with approximately 50% lower treatment response also expressed one of these same alleles ( $2184insA$ ) (Figure 4.5). We also explored whether a Carrier's  $\Delta I_{Forskolin}$  response to treatment exhibited any relation with their respective child's corresponding measurement. No significant relationship was identified from the three parent/child pairs studied. However, one of the pairs studied had the non-responsive allele described above ( $2184insA$ ). More pairs would be required in order to establish the existence of any relationship (Figure 4.5C). Lastly, since Carriers were also shown to have meaningfully elevated levels of sweat  $Cl^-$  (Figure 4.1), we also explore a possible correlation between parent and child. However, even after accounting for pairs where the CF patient is currently on a CFTR modulator therapy, no meaningful relationship was established (Figure 4.6).

**4.2.2.2 Liquid and Solute Transport: *in vitro* and *in vivo*** *In vitro* measurements of ASL volume and Tc-DTPA absorption have been shown to be biomarkers quantifying important aspects of CF airway pathophysiology *in vitro* [32, 111]. Results from these studies in CF and Carriers suggest the two groups share similarities in their water and solute transport profiles (Figure 4.3). This is also supported by the nominal increase in ABS values of Carriers relative to Non-CF subjects (Figure 4.2). ABS has been shown to be a reliable indicator of *in vivo* CF pathophysiology, and acts as an organ-level analogue to Tc-DTPA absorption [30, 31, 111]. In an effort to explore these observations, parallel

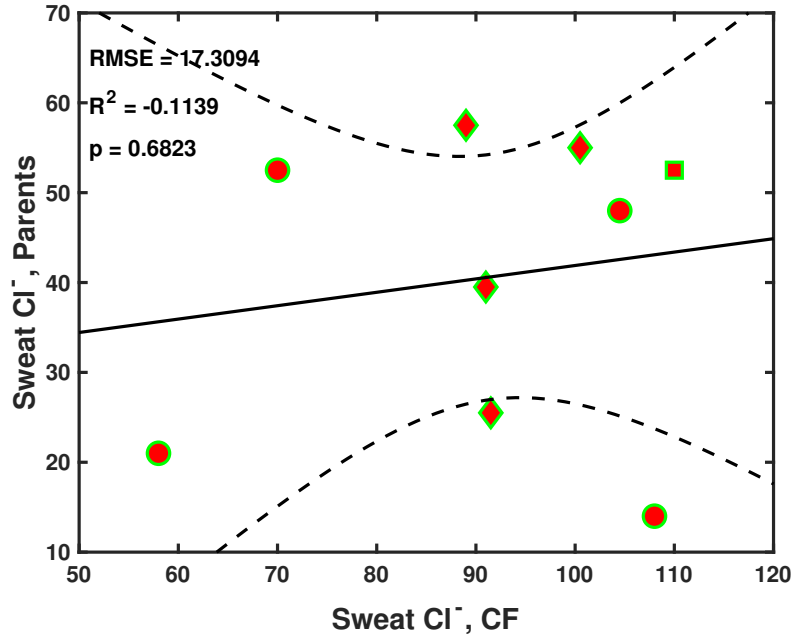


Figure 4.6: Correlation plots showing the relationship between sweat  $\text{Cl}^-$  in Parents and their CF children. A linear regression between the two groups was used to establish correlation. Symbols represent current CFTR modulator status for each patient: no treatment ( $\circ$ ), Kalydeko (square), and Orkambi/Symdeko ( $\diamond$ ). Solid line represents the fitted regression model, and dashed lines represent the 95% confidence intervals.

isotonic (pairs = 7,  $n = 72$ ) and hypertonic (pairs = 4,  $n = 48$ ) ASL volume and Tc-DTPA experiments were performed on CF/carrier matched pairs.

Results revealed a strong correlation between the %Tc-DTPA absorption for the two groups following the treatment with IR. This relationship is maintained, but somewhat diminished for the group treated with HR. This relationship suggests that while CF absorbed Tc-DTPA at higher rates than Carriers, the latter's paracellular transport rates are not just nominally similar, but also related to their corresponding partner in the former. This was further confirmed by calculating the change in %Tc-DTPA in the hypertonic case relative to the isotonic case, a measure of subject-specific therapeutic response. Plotting this for each parent/child pair revealed a strong linear correlation between the two groups that nearly

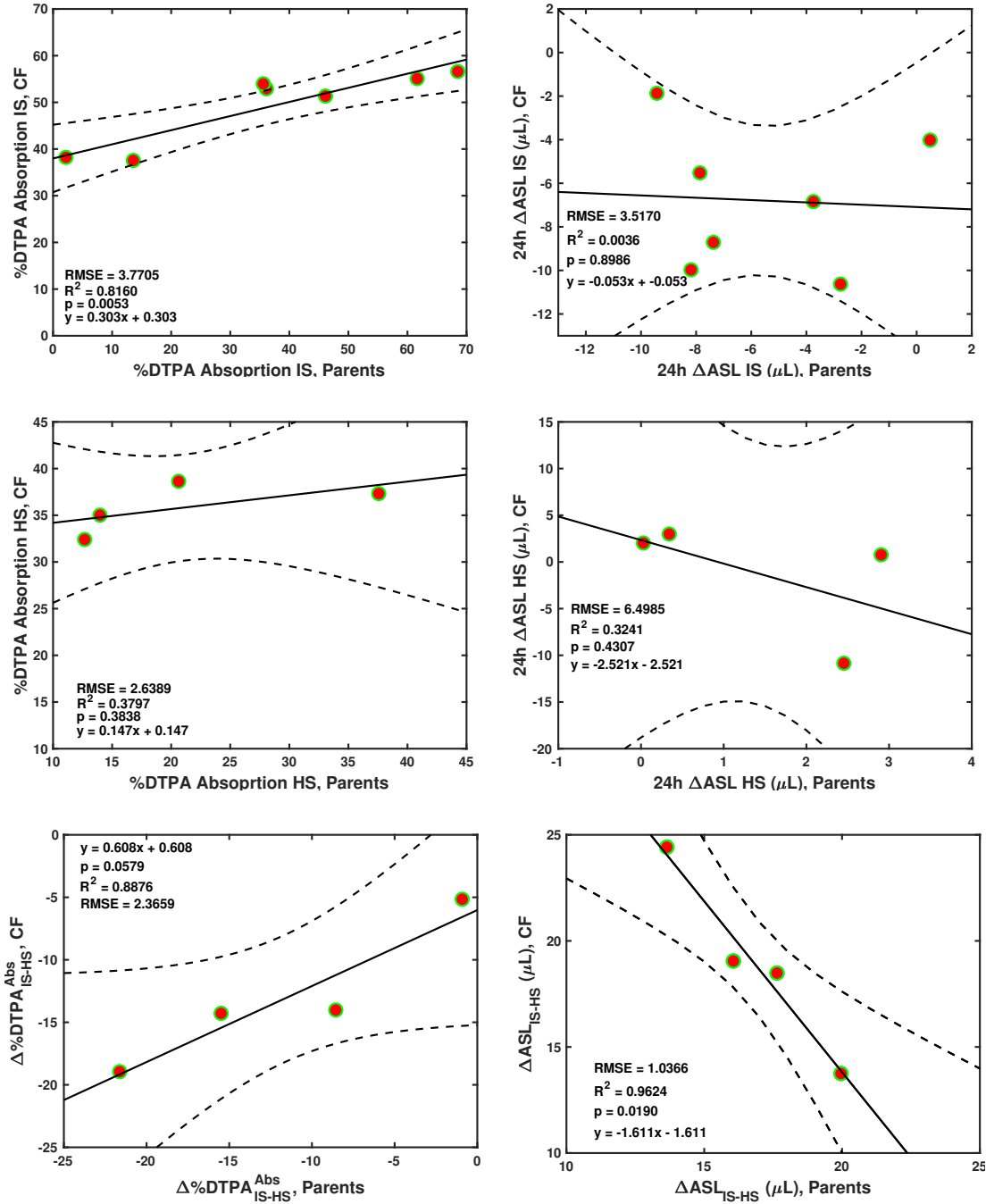


Figure 4.7: Correlation plots showing the relation between (Left) %DTPA absorption and (Right) ASL volume in Parents vs. their CF children following treatment with isotonic Ringer's (Top), hypertonic Ringer's (Center), or change from baseline (Isotonic) following hypertonic Ringer's (Bottom). A linear regression was used to established correlation. Solid line represents the fitted regression model, and dashed lines represent the 95% confidence intervals.



approached parity (Figure 4.7). Specifically, the decrease in %Tc-DTPA absorbed under hypertonic conditions relative to isotonic conditions for carriers is nearly equal to that of their respective children. This suggests that factors beyond disease progression or CFTR genotype can possibly dictate a CF patient's response to inhaled osmotic therapies.

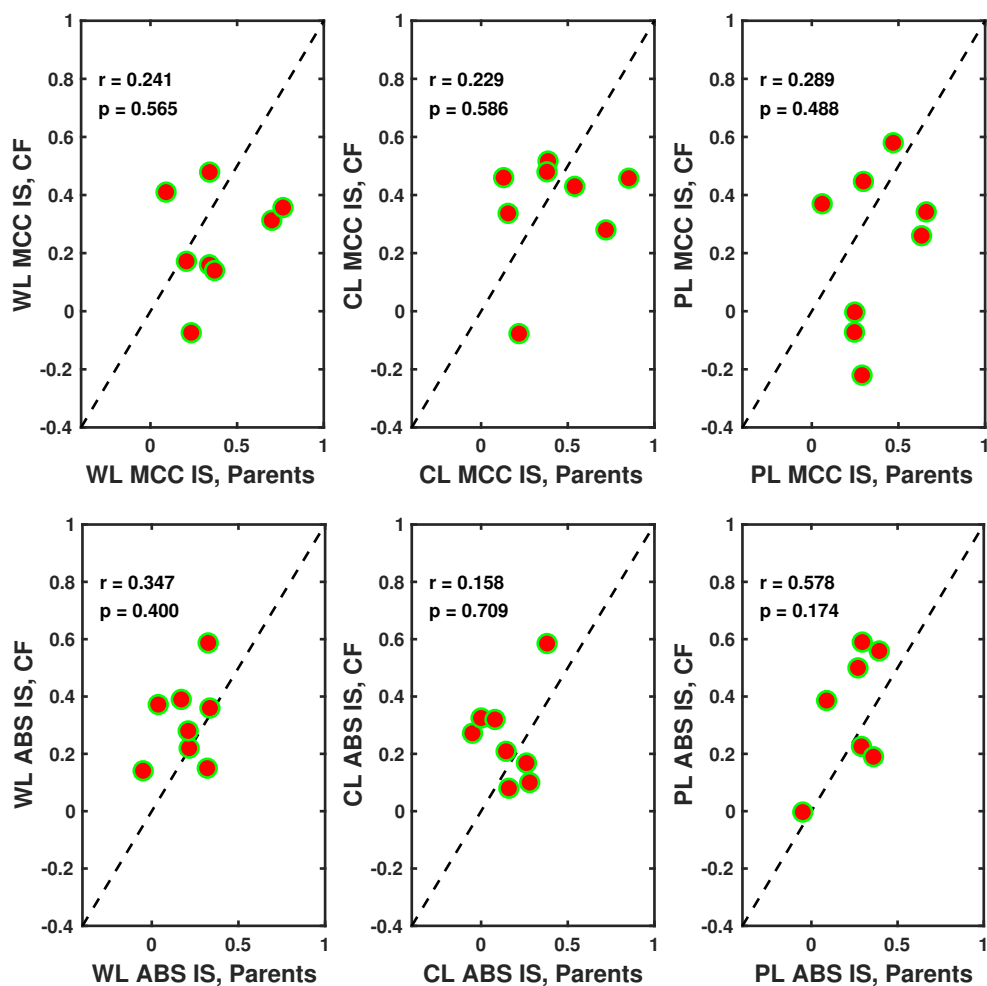


Figure 4.8: Correlation plots showing the relation between MCC (Left) and ABS (Right) in Parents vs. their CF children 24 hours following treatment with inhaled isotonic saline.

A similar analysis was carried out for ASL volume change following isotonic or hypertonic. No correlation was found between the two groups in either conditions. However, when we calculate the ASL volume therapeutic response between the two treatment conditions, we observed a strong inverse linear correlation (Figure 4.7). In this case, it appears that greater

improvement in ASL hydration following hypertonic saline for the parent corresponds with a slightly decreased ASL re-hydration profile in the CF group, with the slope significantly favoring the CF group. This falls within the predictions established in Chapter 3, which revealed that CF cells have significantly larger transepithelial hydraulic permeabilities than Non-CF. It would follow that this trend should also apply to carriers, since CF lines were shown to absorb more ASL than both Carriers and Non-CF (which were deemed equal to each other, Figure 4.3). An increased hydraulic permeability, implies that in the presence of a reverse gradient (*i.e.* apical hypertonic conditions) CF cells should secrete more ASL and thus should exhibit a larger total ASL volume change than Carriers. We also performed direct comparisons between the *in vivo* MCC and ABS measurements for the same parent/child pairs following treatment with inhaled isotonic saline. However, no meaningful correlation was found for either measurement when examining the whole lung, or either its central or peripheral regions (Figure 4.9).

### 4.2.3 DTPA Absorption, a Trans-scale Biomarker for Cystic Fibrosis Pathophysiology

One of the main drivers behind the use of primary HNE cell cultures as an *in vitro* model of CF is their potential to serve as a direct bench-scale analogue of a patient’s possible therapeutic response. In order to fulfill this potential, we must first establish appropriate means to relate cell level mechanisms to relevant organ-level pathophysiology, and eventually clinical response. Traditionally, spirometry measurements have been used as assessments of CF airway disease progression [1, 53]. Similarly, their improvement is used as the primary endpoint for clinical trials evaluating CF therapeutics [16, 40, 92, 158]. With this in mind, we explored whether there is any link between ASL volume and Tc-DTPA clearance and common spirometry measurements: FEV1, FVC, and FEF<sub>25%–75%</sub>. We have also included plots showing the same correlation analysis with respect to sweat Cl<sup>−</sup>, a common method for CF screening and secondary clinical endpoint. This analysis included measurements from all three groups (CF, Carriers, and non-CF), and also accounted for CF patients currently on a CFTR modulator.

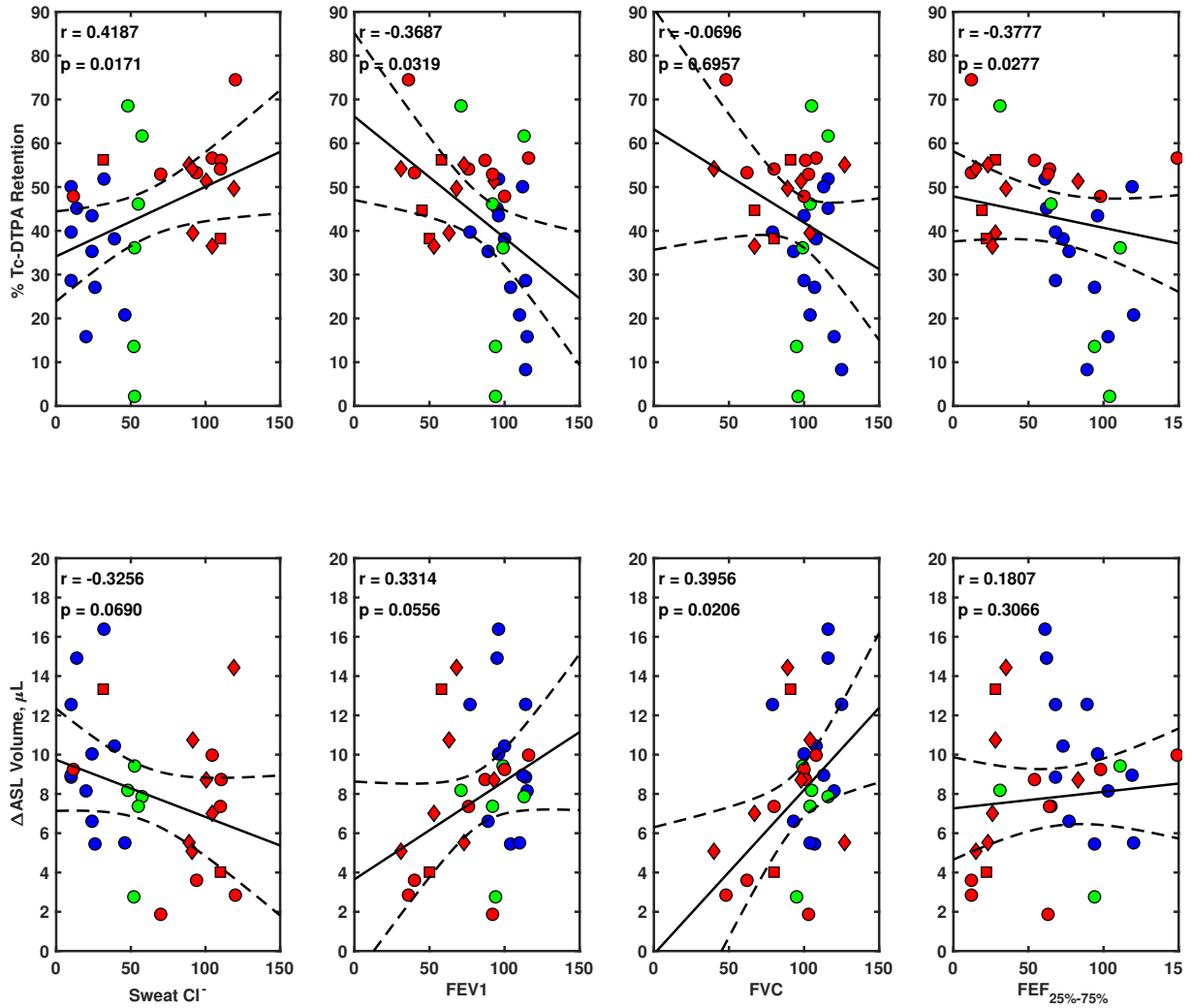


Figure 4.9: Correlation plots between the change in %Tc-DTPA clearance (Top) and ASL Volume (Bottom) versus (From left to right): Sweat  $\text{Cl}^-$ , FEV1, FVC, and FEF<sub>25%-75%</sub> for non-CF (blue), Carrier (green) and CF (red). Symbols represents current CFTR modulator status for each patient: no treatment ( $\circ$ ), Kalydeco (square), and Orkambi/Symdeko ( $\diamond$ ). Solid line represents the fitted regression model, and dashed lines represent the 95% confidence intervals

Sweat  $\text{Cl}^-$  was found to be directly correlated with %Tc-DTPA absorption ( $p = 0.017$ ) and inversely correlated with  $\Delta\text{ASL}$  volume ( $p = 0.0690$ ). These relationships agree with current understanding of CF pathophysiology. It is worthwhile noting that two CF patients with genotypes linked to mild CF airway disease (3849+10kbc>T/R668C and  $\Delta\text{F508/D1152H}$ ) showed sweat  $\text{Cl}^-$  levels on par with Non-CF subjects. No consistent meaningful improvement was observed among CF subjects on a CFTR modulator. Furthermore, this analysis revealed that while sweat  $\text{Cl}^-$  is a good measurement for distinguishing between three groups, it lacks the resolution necessary to serve as a potential link to cell level physiology on its own.

From the spirometry analysis, FEV1 was found to be directly correlated with  $\Delta\text{ASL}$  volume ( $p = 0.0319$ ) and inversely correlated with %Tc-DTPA absorption, although not quite reaching statistical significance for the latter ( $p = 0.0556$ ). Both relationships performed well when capturing the majority of the CF group, but struggle to predict some of the inter-subject variability observed among the Non-CF and Carrier groups, probably due to the scaling-related non linearities dominating the relationship between these measurements. Similar results were observed between FVC and  $\Delta\text{ASL}$  volume ( $p = 0.0206$ ), and between  $\text{FEF}_{25\%-75\%}$  and %Tc-DTPA absorption ( $p = 0.0277$ ). As a whole, these results suggest that while definitely related, ASL volume and Tc-DTPA dynamics struggle to capture system level physiology with sufficient accuracy on their own.

However, it may possible to overcome this issue through the inclusion of system scale *in vivo* analogues of airway absorption dynamics in our analysis. In order to explore this, we evaluated *in vivo* absorption profiles for CF patients following treatment with either inhaled isotonic or hypertonic saline. These measurements track the airway absorption of In-DTPA, an analogue of Tc-DTPA commonly used for organ level assessments [31, 32, 111, 120]. Using this information, we calculated each subject's *in vivo* and *in vitro* therapeutic response to osmotic treatment. These values were plotted against each other, while also accounting for patients currently on a CFTR modulator.

This analysis revealed that the therapeutic response of both Tc-DTPA absorption and ASL volume change are highly correlated to the corresponding therapeutic change observed for ABS across different regions of the lung. In particular, ABS and %Tc-DTPA absorption

were found to have a very strong direct correlation in the peripheral lung ( $p = 0.0001$ ,  $R^2 = 0.7987$ ); this relation was lost in the central lung ( $p = 0.7883$ ), which obfuscated the whole lung analysis ( $p = 0.0633$ ) (Figure 4.10). Previous work in our group has shown that mucociliary clearance (MCC) dominates the dynamics in the large airway, which account for the majority of the central lung region of interest [33, 120, 176]. Since In-DTPA can also be cleared through MCC, it is reasonable to assume that the loss of correlation observed in the central and whole lung analysis is due to MCC dynamics. The peripheral lung, on the other hand, is believed to have significantly lower rates of MCC transport and higher absorptive rates [119, 120, 176], serving as an ideal link to *in vitro* Tc-DTPA clearance rates.

The opposite behavior was observed when comparing ABS with *in vitro* ASL volume change. An inverse correlation was observed between ABS therapeutic response in the whole lung and the change in ASL volume ( $p = 0.0078$ ,  $r^2 = 0.4888$ ). This relationship was abolished in the central ( $p = 0.0854$ ) and peripheral ( $p = 0.1459$ ) lung (Figure 4.10). Unlike Tc-DTPA,  $\Delta$  ASL volume is not a direct analogue of ABS. ABS cannot directly account for *in vivo* transcellular liquid transport, while  $\Delta$ ASL volume does. The inverse nature of these correlations suggests that increased *in vitro* therapeutic response to osmotic therapies, leads to a lesser rescue of ABS. Together with findings from Chapter 3, these results suggest that following osmotic treatment, the larger transcellular water permeabilities lead to rapid, yet unsustained, hydration of the ASL. The transient increase in ASL volume favors MCC and decreases the paracellular driving force for the absorption of In-DTPA, lowering ABS. This behavior is more pronounced in the peripheral lung, which likely has larger rates of hydraulic permeability than the large airways in the central lung region of interest.

The relations established from this data set have been evaluated through both their statistical significance, as well as their overall meaning within the context of known CF airway disease mechanisms. Figure 4.11 shows the results from an univariate, combinatorial, non-linear ranked correlation matrix illustrating the resulting relations between patient-specific *in vitro*, *in vivo*, clinical measurements, and predicted *in silico* parameters from both the cell- and lung-scale models for subjects already enrolled in our trial. The results suggest there are several measurements with some degree of predictive power. Further analysis is required in order to identify optimal predictors of clinical measurements of airway function.

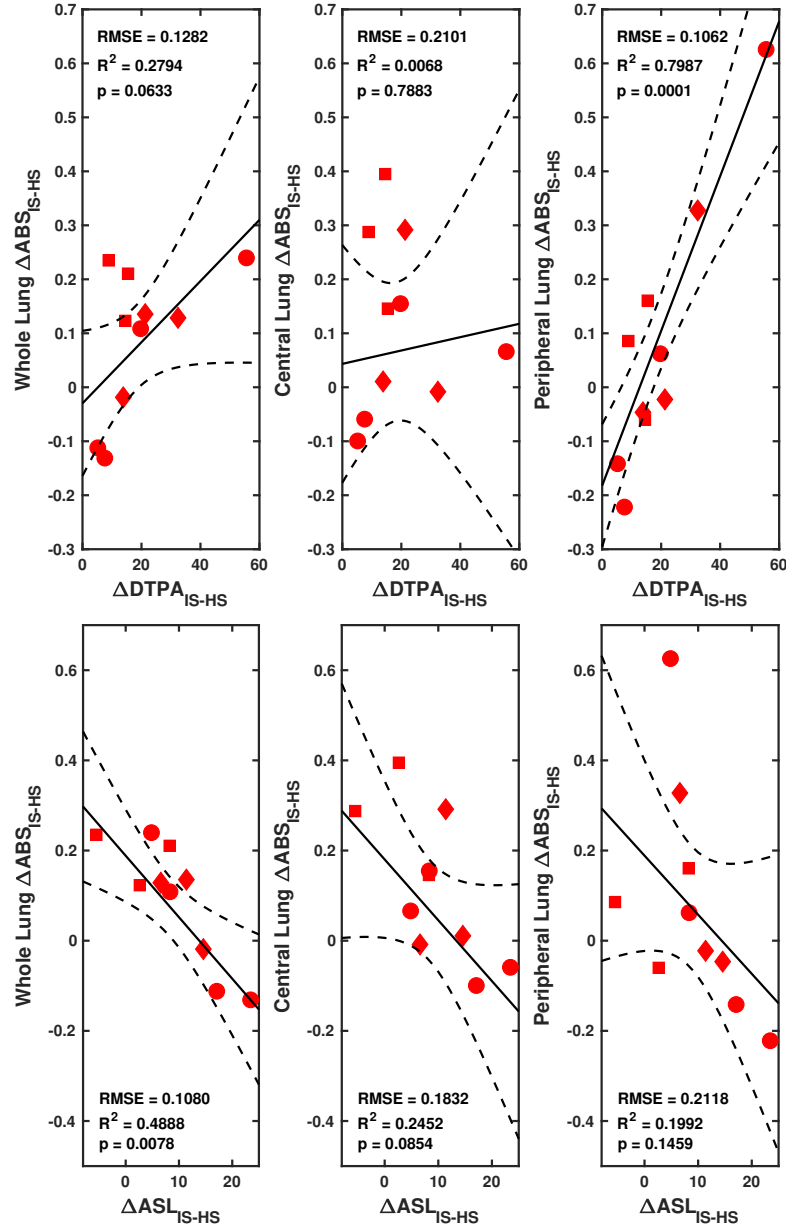


Figure 4.10: Isotonic to hypertonic change in %Tc-DTPA clearance (Top) or ASL Volume (Bottom) versus the change in In-DTPA absorption (ABS) in the whole (Left), central (Center), or peripheral (Right) lung for CF patients. Symbols represents current CFTR modulator status for each patient: no treatment ( $\circ$ ), ivacaftor (square), and lumacaftor/tezacaftor ( $\diamond$ ). Solid line represents the fitted regression model, and dashed lines represent the 95% confidence intervals.

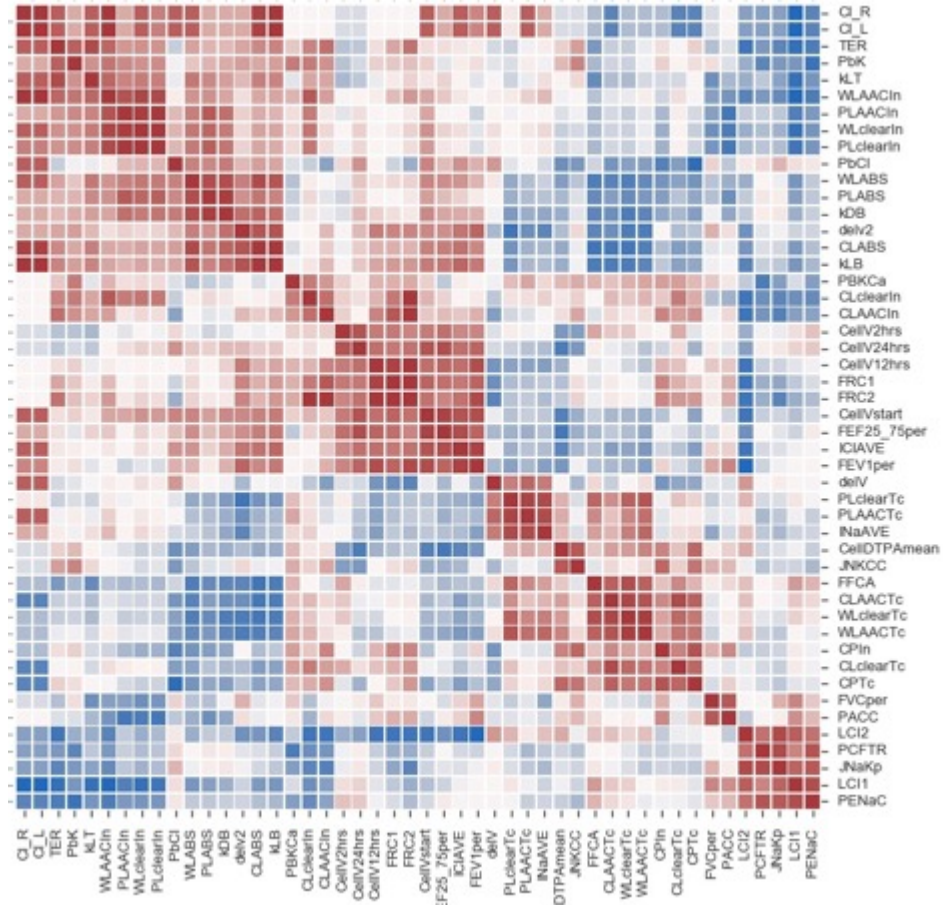


Figure 4.11: Ranked correlation matrix showing all inter-parametric correlation coefficients for all clinical, and *in vitro* measurements, as well as the *in silico* estimated parameters obtained from fitting patient-specific data through our cell-scale models.

In order to do this, we develop a series of linear regression models that leveraged ASL and DTPA measurements under isotonic and hypertonic conditions alongside common patient clinical information such as sweat  $\text{Cl}^-$ , gender, CFTR modulator usage, and airway microbiology results. The models all followed a similar format as described by Equation 4.1.

$$\mathbf{y} = \sum_{i=1}^k (\alpha_i \mathbf{x}_i) + \beta \quad (4.1)$$

Here  $\mathbf{y}$  represents the vector of predicted variables for all subjects being studied,  $\alpha_i$  is the estimated coefficient associated with predictor variable  $i$ ,  $\mathbf{x}_i$  is the vector of measured values for all subjects associated with predictor variable  $i$ , and  $\beta$  is the predicted model intersect, a measure of model bias.

These regressions were run following a feature selection analysis that narrowed down the data set to variables with significant univariate predictive power prior to a full 3-fold and 5-fold internal cross-validation analysis. This analysis reduced the number of variables from 55 down to a total of 6 distinct variables including: gender, presence of a *Pseudomonas aeruginosas* culture within the last year, CFTR modulator status (no use, Kalydeco, or Orkambi/Symdeko), percent of Tc-DTPA absorbed following isotonic Ringer's stimuli ( $\%DTPA_{ABS}^{IS}$ ), baseline ASL volume ( $ASL_{0h}^{IS}$ ), and sweat  $\text{Cl}^-$ . The regression models for the prediction of MCC and ABS following hypertonic saline treatment, also included their respective isotonic counterparts as predictive variables. The resulting regression models for ABS and MCC predictions following either isotonic and hypertonic saline treatment are shown in Figure 4.12. A summary of all the predicted coefficients, their associated errors and significance, as well as the over all model statistics and variance are summarized in Table 4.5 for the isotonic case, and Table 4.6 for the hypertonic case.



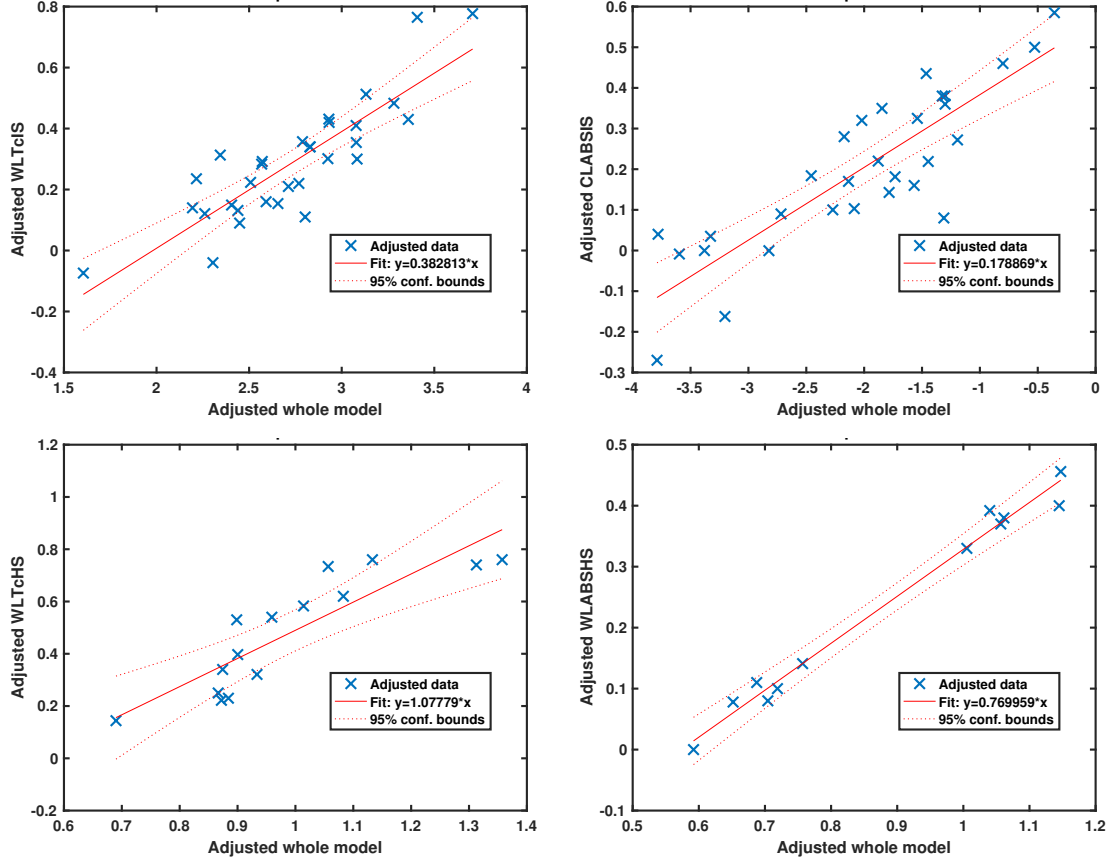


Figure 4.12: Adjusted regression models for the prediction of whole lung MCC (Top left) and ABS (Top right) following inhaled isotonic saline for Non-CF, Carrier, and CF subjects from *in vitro* and demographic data. Similarly, regression model for whole lung MCC and ABS following treatment with hypertonic saline for CF subjects. Predictors, coefficients and statistical information about model fitness can be found in Table 4.5 for the isotonic saline case, and Table 4.6 for the hypertonic saline case.

Table 4.5: Summary of regressions models developed for the prediction of MCC and ABS measurements following treatment with inhaled isotonic saline.

Predicted Variable								
Treatment	MCC				ABS			
	Predictor	Coefficient	SE	p-Value	Predictor	Coefficient	SE	p-Value
<b>Isotonic Saline</b>	Intercept	-0.7582	0.1710	0.0002	Intercept	0.5622	0.1115	3.36E-5
	Gender (F)	0.1215	0.0422	0.0087	Gender (F)	-0.1780	0.0436	0.0047
	%DTPA <sub>ABS</sub> <sup>IS</sup>	0.0026	0.0012	0.0546	%DTPA <sub>ABS</sub> <sup>IS</sup>	-0.0058	0.0013	0.0002
	PA+	-0.1561	0.0549	0.0094	ASL <sub>0h</sub> <sup>IS</sup>	-0.0154	0.0061	0.0179
	No Modulator	-0.2814	0.0881	0.0042	Sweat Cl <sup>-</sup>	0.0023	0.0006	0.0018
	Ivacaftor	0.0661	0.7815	0.0406				
	Luma/Teza	-0.1530	0.0756	0.0555				
Model Statistics					Model Statistics			
	RMSE	0.111	F-stat	9.4	RMSE	0.106	F-stat	18.2
	R <sup>2</sup>	0.741	p-Value	1.74E-5	R <sup>2</sup>	0.745	p-Value	4.0E-5
	5-fold MSE	0.0256	10-fold MSE	0.0291	5-fold MSE	0.0305	10-fold MSE	0.0286

Table 4.6: Summary of regressions models developed for the prediction of MCC and ABS measurements following treatment with inhaled hypertonic saline.

		Predicted Variable						
Treatment	MCC				ABS			
	Predictor	Coefficient	SE	p-Value	Predictor	Coefficient	SE	p-Value
Hypertonic Saline	Intercept	-0.5879	0.2829	0.0726	Intercept	-0.4415	0.1001	0.0069
	%DTPA <sub>ABS</sub> <sup>HS</sup>	-0.0119	0.0045	0.0283	%DTPA <sub>ABS</sub> <sup>IS</sup>	0.0059	0.0010	0.0023
	MCC <sub>WL</sub> <sup>IS</sup>	0.9249	0.2125	0.00018	ABS <sub>WL</sub> <sup>IS</sup>	0.7575	0.1254	0.0017
	No Modulator	0.3556	0.1123	0.0114	No Modulator	0.0426	0.0605	0.5124
	Ivacaftor	0.3777	0.1106	0.0076	Ivacaftor	0.0745	0.0308	0.0603
	Luma/Teza	0.1919	0.1131	0.1240	Luma/Teza	0.1073	0.0370	0.0023
					Sweat Cl <sup>-</sup>	0.0023	0.0008	0.0482
	Model Statistics				Model Statistics			
	RMSE	0.135	F-stat	5.59	RMSE	0.030	F-stat	54.0
	R <sup>2</sup>	0.756	p-Value	0.012	R <sup>2</sup>	0.985	p-Value	2.0E-4
	3-fold MSE	0.0317	5-fold MSE	0.0302	3-fold MSE	0.0360	5-fold MSE	0.0677
	3-fold MCR	0.4807	5-fold MCR	0.4807	3-fold MCR	0.1893	5-fold MCR	0.1786

### 4.3 Discussion

The clinical study described above has provided us with a robust data set that we have then significantly expanded to identify the parametric axes that best describe the aforementioned link. Patients with CF display a wide range of pathophysiology, even among similarly genotyped patients. These variations among the patient population are believed to be due to epigenetic differences in modifier genes of CF disease, as well as the contribution from multiple environmental influences [35, 115]. As such, developing a mathematically formalized representation of the link between cell-level mechanisms to organ-level dynamics, and potentially therapeutic response, requires ample knowledge of the physiological processes that drive these differences.

Analysis of multibreath washout studies and spirometry measurements found no meaningful decrease in airway function in Carriers relative to Non-CF individuals. However, sweat  $\text{Cl}^-$  measurements were significantly elevated, though not as much as those of CF individuals. Measurements of DTPA absorption *in vivo*, ABS, and *in vitro*,  $\% \text{Tc-DTPA}_{\text{ABS}}$ , showed Carriers clear DTPA at nominally higher rates than Non-CF, but not as rapid as CF subjects. No statistical difference was found between Carrier and either of the other two groups. No difference was observed in MCC or baseline ASL values between any of the groups. At the cellular level, however, UC studies showed Carriers have statistically different baseline, CFTR, and ENaC associated short circuit currents. In particular, Carriers showed approximately half as much Forskolin-stimulated current as non-CF subjects, with significant changes to their model-predicted channel and transporter permeabilities.

Functional imaging based biomarkers of lung clearance have recently received extensive development in CF. MCC measurements have been used to develop inhaled osmotic therapies and more recently the CFTR potentiator Ivacaftor [165]. Previous work in our group developed a nuclear imaging method to measure ASL absorption along with measurements of MCC, based on the absorption rate of a small molecule probe (In-DTPA) from the lungs. These biomarkers demonstrate a clear, quantifiable signature of CF lung disease that changes substantially in response to therapy [31, 110]. The multiple variables developed through this work describe therapeutic response both *in vitro* and *in vivo*, and serve to

develop *in silico* system models of the organ level physiology depicted through MCC/ABS scans [119, 120, 176], and the cell level physiology depicted through cell studies [174].

This work has made significant progresses towards the development of a crucial link between cell and organ level models of airway absorption dynamics, and their dysregulation in CF. Collection of trans-scale, paired DTPA clearance data has allowed us to evaluate potential links between cell and organ level physiology. This provides us with a more mechanistic understanding of therapeutic effects on a cellular level, and allows cell level effects to be related to organ level outcomes. In particular, this work has identified a direct relationship between the osmotic effect of hypertonic Ringer's in CF HNE cultures, and the analogue therapeutic effect observed in CF individuals after treatment with inhaled hypertonic saline. This was further validated by statistical models that revealed that both ASL and DTPA can be used to predict organ-level physiology when combined with common clinical data such as gender, airway microbiology, and CFTR modulator usage.

## 5.0 Summary and Future Work

### 5.1 Contributions

#### 5.1.1 Model of Airway Electrophysiology for Expediting of Experimental Work-Flow

The work in this dissertation presented a general model of airway epithelial electrophysiology capable of meeting both numerical tractability and physiological relevance. This work required the development of a custom parameter estimation strategy capable of circumventing the discontinuities observed in the parametric space of the model. This model was validated through the prediction of known parametric and state changes to complex experimental stimuli such as CFTR modulator therapies and inflammatory cytokines.

Furthermore, the model was used to explore the electrophysiology of primary human nasal epithelial (HNE) relative to their human bronchial epithelial (HBE) counterparts. HBE cell cultures have provided a simple and robust model of airway epithelial physiology. HBEs from CF lungs mimic the epithelial pathophysiology of CF and have received extensive use in the study of CF lung disease. HNE cell cultures sampled by nasal brushing have been shown to have similar properties to the HBE cultures, allow for basic studies in cultures from subjects not undergoing lung transplant. Recent improvements to HNE culture protocols have allowed their more practical use in research applications [41, 197, 211, 215], however, little information is currently available about the possible differences between HNE and HBEs, or the impact that any possible difference may have in CF pathophysiology. The model was capable of successfully recapitulating the short-circuit dynamics observed for both types of tissues, and identify potential differences in the homeostatic levels of transepithelial  $\text{Cl}^-$  transport Non-CF HNE cell cultures relative to HBE ones. In particular, the model predicted a shift in basolateral  $\text{Cl}^-$  permeability from NKCC to bicarbonate-dependent flux.

### 5.1.2 Cell-Scale Model of Airway Liquid and Solute Transport

The thin-film extension of the epithelial transport model accurately captures ASL and Tc-DTPA dynamics within biologically-meaningful parametric ranges allowing for a mechanistic exploration of the physiological differences between CF and non-CF epithelia, highlighting differences in absorption kinetics between the non-CF and CF cultures. Non-CF cells rapidly reabsorbed liquid and return to homeostasis while CF cells demonstrated a slower rate, but longer duration, of liquid absorption that ultimately leads to a decreased standing apical volume. The model also predicted the main factor contributing to differences in absorption dynamics between CF and non-CF epithelia are transcellular hydraulic permeabilities ( $\sim 3$ -fold higher in CF) and not paracellular permeability.

Our model also corroborates previous reports, both *in vitro* [32] and *in vivo* [30], that radiolabeled DTPA conjugates absorption is increased in the CF airway. While ASL can be transported through trans- or paracellular pathways, Tc-DTPA can only be cleared paracellularly, either through diffusive or convective transport. Since the convective component of Tc-DTPA absorption is directly driven by ASL absorption, the relationship between Tc-DTPA and ASL absorption can be used to illustrate the relative contributions of convection and diffusion. Using our mathematical description of Tc-DTPA absorption from the ASL, we were able to probe the relative contributions of diffusion and convection that lead to increased solute absorption in CF. The model predicted that diffusive transport accounts for approximately 60% of all Tc-DTPA absorption seen in CF, a significant increase relative to the 49% predicted for non-CF cells, which is close to experimentally observed values [110]. It is likely that diffusion transport rates are increased in CF due to the decreased initial ASL volume and identical added Tc-DTPA counts in both CF and non-CF experiments.

A significant contribution of the model is the use of a more mechanistic understanding of paracellular ion fluxes. Current literature describes paracellular ionic transport using Goldman's constant field equation, the same expression used for transcellular ion channel fluxes [62, 168]. This approximation fails to account for the contributions of diffusive transport along the entire paracellular pathway and convective transport due to fluid drag. Previous work from our group has shown that convective transport can account for approximately

50% of Tc-DTPA transport across primary HBE cell cultures [110]. This suggests convection could also play a significant role in the paracellular transport of ionic species. Specifically, we compared predicted paracellular electrolyte fluxes in the electroosmotic versus the combined convection plus diffusion case. Our results suggest that electrical contributions are effectively negligible after the inclusion of convective driving forces. This distinction is particularly important under conditions of non-homeostatic hydraulic flux, such as those following a volumetric or tonicity challenge of the ASL, which are highly relevant for inhaled osmotic therapies commonly used by CF patients.

### 5.1.3 Insight into the Physiology of Carriers of a Single CF-Causing Mutations

The work here was able to show that carriers of a single CF-causing mutation exhibit physiologically meaningful differences with both CF and non-CF individual at both the cell and organ level. Analysis of showed no meaningful airway function differences in Carriers relative to Non CF individuals. However, solute absorption rates appeared to be elevated in both *in vivo* and *in vitro* measurements never reaching levels observed in CF.

At the cellular level, this work has shown significant electrophysiological differences between Carrier and both CF and non-CF subjects. In particular, Carriers showed approximately half as much Forskolin-stimulated current than non-CF subjects. Similarly, we have shown that both whole cell protein and CFTR modulators are statistically lower in Carriers than Non-CF lines. This study is the first comprehensive look at CFTR function in Carriers, and the degree to which a single mutation can affect the proper function of airway epithelium. This also provides an avenue to identify just how much CFTR is required in order to avoid CF pathogenesis.

### 5.1.4 DTPA as a Multi-Scale Biomarker of CF Pathophysiology

Functional imaging based biomarkers of lung clearance have recently received extensive development in CF. MCC measurements have been used to develop inhaled osmotic therapies and more recently the CFTR potentiator Ivacaftor [165]. In order to better understand the multiple factors contributing to therapeutic response *in vitro* and *in vivo*, we have developed



*in silico* systems models of the organ level physiology depicted through MCC/ABS scans [119, 120, 176], and the cell level physiology depicted through cell studies [174].

This work has made significant progresses towards the development of a crucial link between cell and organ level models of airway absorption dynamics, and their dysregulation in CF. In particular, identifying direct relationships between the therapeutic effect of hypertonic Ringer’s in CF HNE cultures, and the analogue effect observed in CF individuals following treatment with inhaled hypertonic saline. Statistical models revealed that both ASL and DTPA can be used to predict organ-level physiology. These models provide a unique opportunity to evaluate organ level physiology and therapeutic response *a priori* through standard patient demographic information and HNE-based measurements. These measurements could serve as valuable tools for the pre-screening of non-responders for the purposes of clinical trials.

## 5.2 Future Work

The above work represents a summary of a project that bridges the quantitative and design utility of systems medicine with biological and clinical expertise relevant to the field of CF research. It is intended to serve as a means to improve our understanding of CF pathophysiology, and as a basis for the further development of novel, patient-specific treatment options for patients with CF. The following section outlines potential avenues to further advance this work.

### 5.2.1 Additional Applications of the Cystic Fibrosis Electrophysiology Model

**5.2.1.1 Modeling of Bicarbonate and  $H^+$  in Airway Epithelium** One of the most favorable traits of the electrophysiology model presented in this work is its balance between physiological-relevance and numerical parsimony. As we have described above, the electrochemical transport of ionic solutes across biological membranes is an inherently nonlinear and numerically complex phenomenon. The models described in Chapters 2 and 3 include

descriptions of most relevant transport pathways for the three major electrolytes:  $\text{Na}^+$ ,  $\text{Cl}^-$ , and  $\text{K}^+$ . However, these models also implement significant assumptions in order to circumvent the numerical issues described.

There are other ions that also play an important role in epithelial osmolarity, polarization, cell volume and ASL regulation, both in health and disease. For example, many of the cellular ion transport pathways are pH dependent [84]. Characterization of pH would require the addition of  $\text{H}^+$  and  $\text{HCO}_3^-$  as model states. The inclusion of these components would need to account for intracellular chemical reactions that facilitate the pH regulation of the cell. At the same time, it would facilitate the explicit modeling of additional transporters such as anion exchangers (AEs),  $\text{Na}^+$ -Bicarbonate co-transporter (NBC),  $\text{Na}^+$ -Proton-exchanger (NHE), the  $\text{K}^+$ -Proton ATPase (KHP), TMEM16A, and SLC26A9 (Figure 5.1) [1, 8, 9, 60].

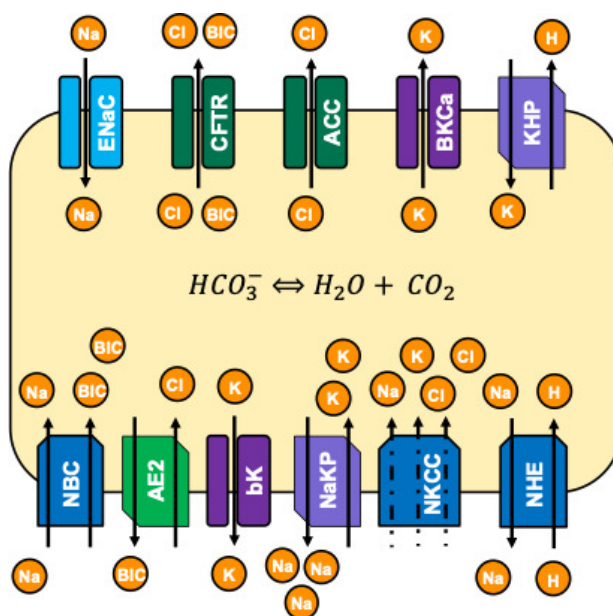


Figure 5.1: Diagram of potential Ussing Chamber model structure extension to include the addition of bicarbonate and proton transport.

Addition of some of these channels would further expand the model's ability to aid in *in silico* experimentation, particularly for the analysis of more nuanced experimental set

ups and the study of inflammatory responses in both CF or in other disease states like asthma [116, 117, 178, 212]. However, it should be noted that inclusion of these extra states would require significant effort in order to ensure model identifiability and numerical stability alongside physiological state dynamics. The difficulty of this task is evident in simulation results that used the basic descriptions of ion transport from the cell-scale models in the literature [54, 75, 135, 207]. However, our groups recent work has led up to developed a data set rich in Bicarbonate-dependent ion transport mechanisms. Thus providing us with a solid launching platform for the addition of extra pathways without necessarily compromising the numerical tractability of our model.

#### 5.2.1.2 Electrophysiology in Cystic Fibrosis-Related Diabetes Pathophysiology

The electrophysiology model could also provide an avenue for the study of CF pathophysiology in other tissues, particularly in pancreatic cells. The recent advances in CFTR modulators and our understanding of airway microbiology and inflammation has led to significant increases in the life expectancy of CF patients. However, this has also lead to an increase in the fraction of the CF population with other meaningful comorbidities such as Cystic Fibrosis related diabetes (CFRD) [91]. This increase has led to a significant push for the study of CF "below the diaphragm".

It has been established that CFTR is widely expressed in both pancreatic  $\alpha$  and  $\beta$  cells in several experimental models, where it appears to contribute to the proper polarization of islet tissue. [10, 13]. Several studies have hypothesized that CFTR dysfunction gives way to cellular hyper-polarization due to reduced glucose-sensitive depolarization and insulin release [69, 137, 214] (Figure 5.2). The electrophysiological mechanism behind this process are largely unknown, and could benefit from a biophysical model capable of tracking the relationship between transmembrane ionic transport, membrane potential, and insulin and glucose dynamics. From a model structure point of view, this system could be much more numerically tractable than airway epithelia, since it would not require the inclusion of epithelial polarization or Ussing chamber short-circuit constraints. It would also benefit from a rich library of *in silico* models of islet cell glucose/insulin dynamics and inflammatory signals in glucose response [122, 124, 155, 202].

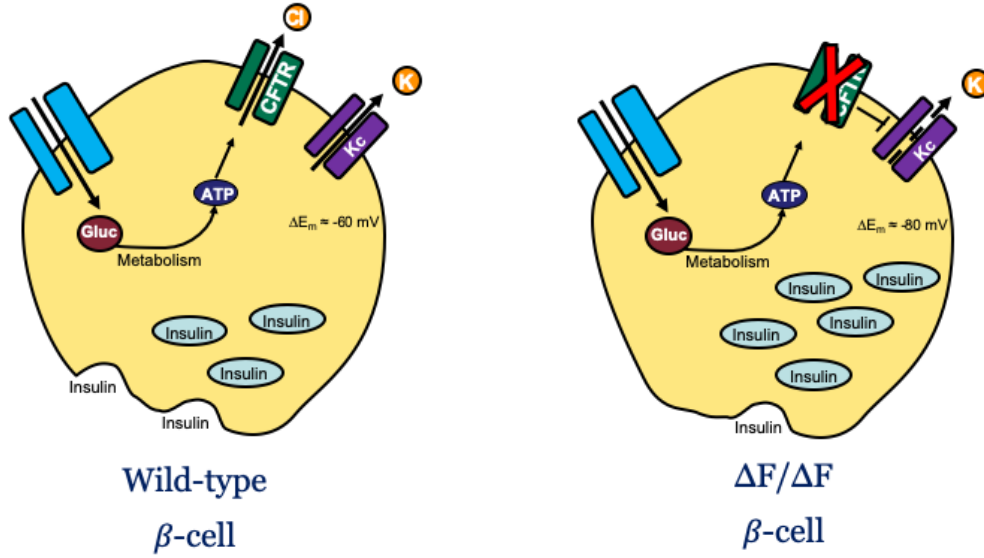


Figure 5.2: Diagram describing a potential  $\beta$ -cell electrophysiological mechanism leading to the pathogenesis Cystic Fibrosis-related diabetes.

### 5.2.2 Optimal Treatment Design at the Cell-Scale

Previous studies of have shown that both ASL reabsorption and ABS begins immediately following the treatment with isotonic therapies [31, 110]. In this work, we have established that there exists a direct relationship between *in vitro* therapeutic response of ASL and Tc-DTPA dynamics and ABS following a hypertonic stimulus. It is possible that this relationship can be used to study cell-to-organ dose response behavior. For example, it should be possible to regulate the degree of ASL hydration in primary cultures as a function of apical osmolarity challenges. Furthermore, we can assume there is a range of ASL volumes at which MCC can occur. If a greater than minimum necessary hydration level is achieved with a given dose, then there will be a period of time that MCC will be improved even as ASL volume recedes.

We have a mathematical model of the ASL volume regulatory system, a treatment objective, a body of relevant *in vitro* and *in vivo* data, and extensive knowledge and experience with airway physiology at multiple scales to generate heuristics that can serve as formal

mathematical constraints in such a way that retains clinical and logistical meaning. Given this information, a dose scheduling problem can be posed. Equation 5.1 shows a possible formulation of this problem.

$$\begin{aligned}
& \min_{N, t_k, \omega} \left\{ \sum_{t=0}^{t_\infty} \frac{1}{\sigma_{t_k}^2} \left( \hat{V}_{CF}^{ASL}(t, \theta, u(t_k, \omega)) - V_{NCF}^{ASL}(\infty) + \lambda \right)^2 + \Gamma N \right\} \\
& \frac{dX}{dt} = \mathbf{f}(\dot{x}, t, \theta) + u(t_k, \omega) \\
& Y(t) = \mathbf{g}(x, t, \theta) \\
& s. \ t. \ \lambda_l \leq \lambda \leq \lambda_u, \\
& \quad N \leq d_D, N \in \mathbb{Z}, \\
& \quad t_k - t_{k-1} \geq d_L, t \in \mathbb{Q} \text{ and} \\
& \quad \omega^{IS} \leq \omega \leq \omega^{15\%HS}, \omega \in \mathbb{Q}
\end{aligned} \tag{5.1}$$

Here,  $\hat{V}_{CF}^{ASL}$  is the control variable,  $\lambda$  is a slack variable that defines the acceptable therapeutic target as referenced by the Non-CF steady state ASL volume,  $V_{NCF}^{ASL}(\infty)$ , and delimited by an upper,  $\lambda_l$ , and an lower,  $\lambda_u$ , bound.  $N$  is the number of treatments per day, which cannot exceed a certain number,  $d_D$ .  $t_k$  is a vector of size  $N$  containing the dosage times, such that a given dose,  $k$ , can not be less than  $d_L$  time units from dose  $k - 1$ .  $\omega$  is the total osmolarity of the solution being dosed. It is defined such that it cannot be lower than the osmolarity of isotonic saline,  $w^{IS}$ , or higher than the osmolarity of 15% hypertonic saline,  $\omega^{15\%HS}$  (Figure 5.3). This formulation is similar to the one proposed by Markovetz *et. al* [119]. However, this iteration leverages the findings from Chapter 4 regarding our ability to track DTPA clearance dynamics across multiple physiological scales.

It is important to highlight that the ODE model and its the decision variables relating to dosage timing and osmolarity are highly nonlinear and continuous in nature. However, the number of doses is an integer variable, making this a mixed-integer nonlinear programming problem. It should be possible to leverage the versatility of Pyomo as well as common open source nonlinear and mix-integer solvers such as IpOpt, GLPK, and CBC to solve problems

of this class efficiently and rigorously. Kronqvist, *et. al.* recently published an extensive review covering both the formulation and implementation of these type of problems [98].

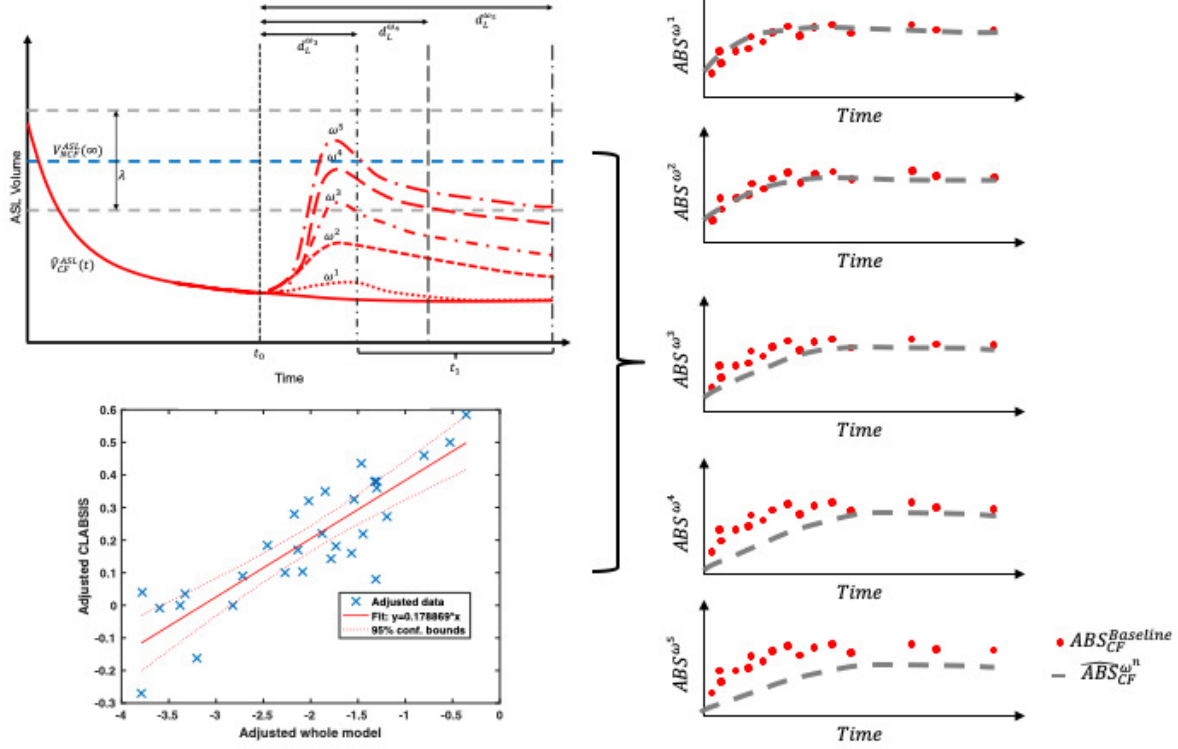


Figure 5.3: Diagram of steps necessary for the development of a therapy design framework at the cell-scale. The project would required the development of a library of dose-response osmotic therapy ASL volume changes *in vitro*, use the responses and the resulting correlations to inform a non-linear, mix integer optimization problem that can be translate to *in vivo*-level response.

### 5.2.3 Development of a CF Clinical Decision Support System

Current trends in CF therapeutics development indicate that future therapies are likely to be genotype specific, possibly even patient specific, and that patients may require different combinations of medications designed to both potentiate CFTR channels and ameliorate existing airway damage [16, 40, 64, 92, 158, 164, 191]. In fact, there has been significant

progress in theratyping of rare CF mutations. Theratyping focuses in evaluating the clinical response to different treatments on an individual basis. This overcomes the limitations of genotype to predict response to individual therapies and includes all patients regardless of CFTR variations. For example, the use of organoids from rectal biopsies in high throughput screening has allowed numerous compounds to be tested on patient-specific tissue pre-clinically [37, 147]. Similarly, recent work has led to the better understanding of the pharmacological mechanism different CFTR modulators used to correct distinct CF-causing variants, including rare combinations [4, 139, 149, 186]. This could lead to the extension of theratyping beyond CFTR modulators. Specifically, creating a push for the design of more targeted prophylactic or ameliorative therapies. Recognition of the clinical importance of CF theratypic variants could potentially extend to the formal definition of CF disease endotypes.

In fact, there has recently been a concerted effort in the optimization and full characterization of novel *in vitro* models of CF pathophysiology [147]. Human nasal epithelial cultures are one of these models, and the work presented in this dissertation provides a strong foundation for the better understanding of its physiological relevance in CF airway disease. Furthermore, recent studies have demonstrated the viability of recovering HNE cells from infants [129]. This provides a glimpse to a future where *in vitro* samples and clinical measurements are acquired and used to inform computational clinical decision support systems (DSS) with the ultimate goal of designing prophylactic strategies early in life, before clinical pathology is demonstrated, potentially stalling disease progression or even pathogenesis.

Furthermore, the availability of more sophisticated, interlinking models that provide a better indication of therapeutic efficacy based on *in vitro* studies would facilitate the more rapid development of these therapies. They could provide a much needed bridge between exploratory studies performed on sampled nasal cell cultures or through functionalized *in vivo* imaging and the design of more optimized and personalized medication choices and dosing schedules, as described in the previous section. A general framework for such a platform is depicted in Figure 5.4. The development and integration of the models described here is a key step in realizing this vision.

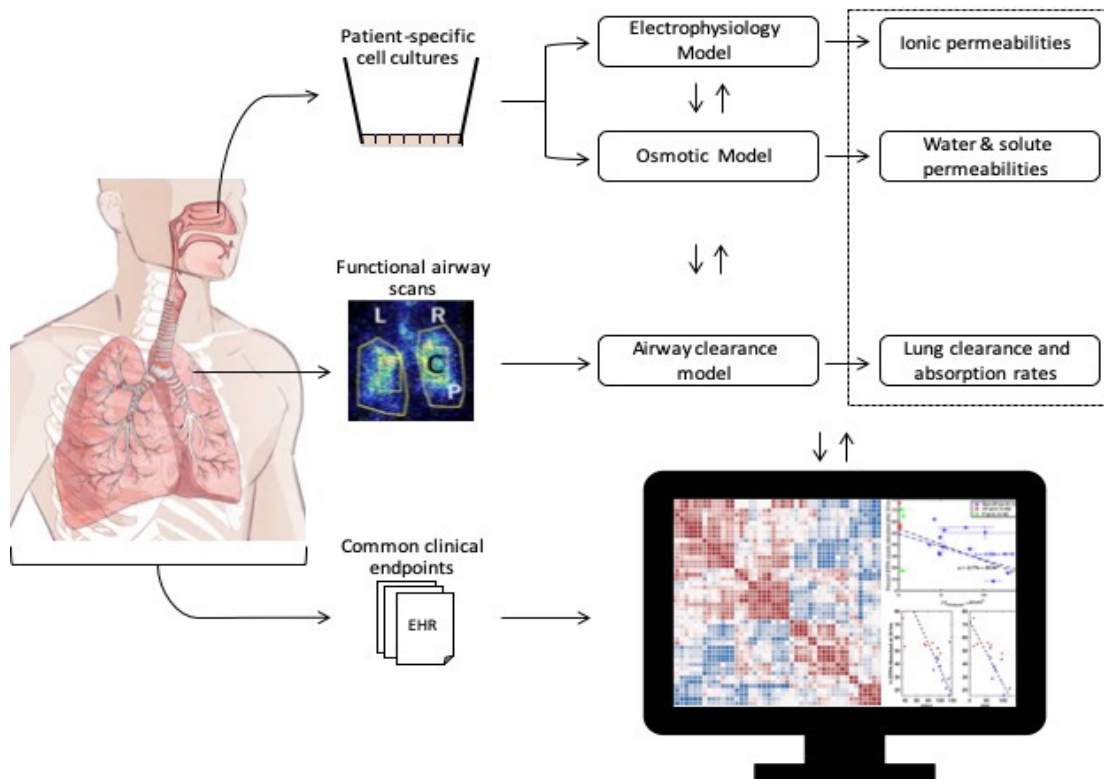


Figure 5.4: Diagram of potential Cystic Fibrosis clinical decision support system (DSS) incorporating a combination of genetic, *in vitro*, *in vivo*, and *in silico* physiological measurements to predict clinical outcomes for individual patients.



## **Appendix A**

### **Human Nasal Epithelia (HNE) Cell Cultures**

#### **A.1 Protocol**

##### **A.1.1 Processing**

Using a 1000uL pipette tip, scrape pipette tip across the brush to harvest cells. Rinse brush and pipette tip with 1-2 mL of sterile PBS to remove cells. Centrifuge sample at 300G at 4C for 5 min. Resuspend cell pellets in 5 mL Accusate, incubate at 37C with occasional agitation until pellet disperses, approximately 5-10 min. Centrifuge sample at 300G at 4C for 5 min. Resuspend pellet in 10 mL PBS and centrifuge at 300G at 4C for 5 min. Remove supernatant and resuspend each cell pellet in media for culture as below.

##### **A.1.2 Expansion: Co-Culture Method**

Pre-seed feeder cells (irradiated 3T3-J2, mouse fibroblast cell line) for 24 hours in the well of a 6 well plate with complete growth media (CGM). Typically, you will seed 400,000 3T3-J2 cells per well the day before receiving the nasal sample. Immediately prior to seeding epithelial cells, aspirate CGM and wash with sterile PBS. Resuspend epithelia cells in Georgetown media (GM), then place onto feeder cell plate. Use 2.5 mL of GM per well; seed a minimum of 500,000 epithelial cells per well for initial seed. Leave undisturbed for 48 hours. Co-culture should be checked, and media changed daily, with at least one DPBS wash per week. For further passages, 1:3 to 1:4 ratio of epithelia to feeder cells yields a co-culture that is ready for subsequent passage in 3-5 days. Ideally, cells should be passaged when the epithelial cells reach 70% confluence. If confluence exceeds 70%, multiple trypsinization (see below, 5-8 minutes each) need to be performed to optimize viability of the cells.

### **A.1.3 Trypsinization**

Wash the plates with PBS once. Add a 1.5 mL of warm dark trypsin solution per per well of 6 well plate to remove the feeders. Swirl gently to coat and incubate the 37C for exactly 2 minute. Observe cells in microscope to verify that feeder cells have fully detached. Swirl/tap gently, if necessary. GENTLY add PBS, swirl and tilt dish to aspirate feeders. If performed too forcefully, epithelial cells can be dislodged and lost. Add 3 mL of trypsin solution per well of 6 well plate and incubate at 37C for 8-10 minutes to detach the remaining epithelial cells. Add an equal amount of TNS and collect mixture in a labeled 50 mL conical tube and place on ice. Centrifuge at 900rpm at 4C for 5 minutes. Remove supernatant and re-suspend cells in GM with a target of  $1 \times 10^6$  cells per mL. Typical volumes range from 1-5mL. Count and determine the volume of cell suspension needed for plating on filters for re-differentiation and/or reseeding as co-culture Remaining cells not used for platting can be centrifuged and resuspended in DMEM/F-12 media to be frozen.

### **A.1.4 ALI Culture**

Coat filters (Transwell) with Human placental collagen. Seed cells on filters at a seeding density of up to 300-250K cells per  $\text{cm}^2$  (P3) or 300K cells per  $\text{cm}^2$  (P4+). Submerged cultures in GM on filters for 24-48 hours (or until confluent) changing media every 24 hours. After cells are >90% confluent, remove GM media and culture cells submerged UNC media for 24 hours, then switch to ALI conditions. Culture at ALI for 28 days before evaluating electrophysiology and/or epithelial transport. Change media as appropriate, minimum is every other day basolaterally during early stages and 3X times weekly once established, with weekly sterile DPBS apical wash of approximately 50-100uL per filter.

## A.2 Media Recipes

### A.2.1 Complete Growth Media (CGM)

: 500 mL DMEM (H) (Gibco: 11965-092) + 50 mL fetal bovine serum (Gibco: 16140-071) + 5.5 mL 100x glutamine (Gibco: 25030-081) + 5.5 mL pen/strep (Gibco: 15140-122). Sterile. Store at 4C.

### A.2.2 Georgetown Media (GM)

: 373 mL DMEM (H) (Gibco: 11965-092) + 125mL F12 nutrient mix (Gibco: 11765-054) + 0.5 mL Hydrocortizone/EGF mix (Dissolve hydrocortisone (Sigma H0888) in 100% ethanol at 0.5mg/mL. Mix 1mL of this with 19 mL DMEM containing 2.5 ug EGF (Invitrogen PHG0311 or PHG0311L)) + 0.5 mL insulin (Dissolve 100 mg insulin (Sigma I5500 or I2643) in 20 mL distilled water containing 200 uL glacial acetic acid) + 0.5 mL Fungizone/Amphotericin B (Fisher: BP264550) + 0.5 Gentamicin (Gibco: 15710-064) + 4.3 L cholera toxin (Dissolve 1 mg vial of cholera toxin (Sigma C8052) in 1 mL distilled water and filter sterilize) + 0.5 mL Y-27632 (Axxora: ALX-270-333-M025. Dissolve 25 mg with sterile water to a concentration of 5 mM).

## Appendix B

### Ussing Chamber characterization of CF Primary Airway Epithelial Cell Cultures Following CFTR Modulator treatments

#### B.1 Protocol

1. Wash the apical side of the membrane for 15 minutes with  $20\mu L$  of warm (37 degrees) DPBS solution at least 2 days prior to the experiments. Make sure to not disturb the monolayer when suctioning the apical wash. DPBS is used in order to minimize any disruption to the tight junctions during the wash.
2. VX-809 (or VX-661 if applicable) should be added to the basolateral media at a  $3\mu M$  final concentration. Filters should be fed with freshly made VX-809 in media 48 and 24 hours prior to the chamber run. Prior testing has shown that in HBEs  $\Delta I_{Forskolin}$  peaks after 48-72 hours of corrector treatment. However, it also showed longer treatment times abrogate this effect, possibly due to excessive exposure to DMSO. When using VX-809, I advise to check the cell line's genotype before starting the treatment. Experience suggest that filters with even a single nonsense or frameshift mutation (those producing no CFTR at all) tend to show negligible to no response to the treatment.
3. The potentiator, VX-770, is added acutely during the Ussing chamber run. It is prepared in DMSO and added to obtain a final chamber concentration of 100 nM. Stock solution is usually prepared at a 1000x concentration in order to facilitate addition during the run. For the purposes of data incorporation into the model, try to add VX770 at the same time as Forskolin.
4. The bath solutions should be normal Ringers with Bicarb on both the apical and basolateral sides of the cell. Some experiments will be run on the presence of a  $Cl^-$  gradient (High  $Cl^-$  Ringers basolaterally and Normal  $Cl^-$  Ringers apically). 5 mL of solution should be added to both the apical and the basolateral chambers and bubbled with 5%-

CO<sub>2</sub> 95% air bubbled through for mixing purposes. In cases where the experiments are ran in the absence of Bicarbonate, use the fish pump to bubble the chambers. This will remove the CO<sub>2</sub> and make sure the chambers solutions do not produce bicarbonate from it.

5. It is important to allow sufficient time in between different drug additions during the run, so the filter can re-stabilize and reach a new steady state. 5 minutes is typically sufficient. Similarly, it is important to allow the baseline current to stabilize prior to the addition of any drugs. This process varies across cell-lines and can take as long as 30 minutes.
6. Please verify the specific drug additions for each experiment. A traditional corrector Ussing Chamber uses the following addition order: Amiloride → Forskolin → VX-770 → CFInh172 → Bumetanide

#### **B.1.1 Other chemicals used**

- Amiloride: 5 L at 10mM concentration, to achieve a chamber concentration of 10 M is added directly to the apical chamber once the current has stabilized. The stock is prepared and kept in aluminum foil to eliminate exposure to light.
- Forskolin: 5 L at 10 mM concentration to achieve a chamber concentration of 10 M is added directly to the basolateral after the current has stabilized from the Amiloride addition usually 5 minutes after the Amiloride addition.
- CF Inh 172: 5 L at 20 mM concentration to achieve a chamber concentration of 20 M is added directly to the apical chamber after the current has stabilized from the VX-770/Forskolin addition.
- Bumetanide: 5 L at 50 mM concentration to achieve a chamber concentration of 50 M is added directly to the basolateral chamber after the current has stabilized from the CF172 addition usually 5 minutes.

## Appendix C

### Experimental Protocol to Assess Apical ASL and Tc-DTPA Retention

#### C.1 DTPA Experimental Protocol

1. Day before the experiment, call Cardinal Health to order radioactive material (412-227-0911), tell them its for the Corcoran Lab. Order 5 mCi sodium pertechnetate in a 1mL solution and 1 DTPA cold kit. There are a few spares in the hot lab if needed (quite rarely). Replace the spares every couple of months as they do expire.
2. Day of experiment, collect your radioactive material and DTPA cold kit from nuclear medicine in Presby.
3. In the hot lab, over an absorbent blue pad, carefully add the 1mL of 5mCi sodium pertechnetate to the DTPA cold kit vial.
4. Add 4mL of saline to the DTPA cold kit vial. The final volume of DTPA-Tc to be 5mL so if they give you the sodium pertechnetate at a different volume, just adjust the amount of saline to add so you have a final volume of 5mL (which is 1mCi/mL).
5. Allow the DTPA and sodium pertechnetate to bind for 15 minutes.
6. While you are waiting, scan the plates of cells for time point = -1.
7. After 15 minutes, remove a small sample of the DTPA-Tc into a separate microtube.
8. Perform QC by obtaining one red and one black chromatography strip. Place a 1.5uL drop of DTPA-Tc at the bottom line of the strip. Place the red strip in a glass vial with a small amount of acetone and the black strip in a glass vial with a small amount of saline. NOTE: you do not want the strip to touch the sides of the glass vial, simply place the strip in the vial so that the bottom is in the solution and the top of the strip leans against the top of the glass vial (if the strip touches the sides, it can throw off your result).
9. Place microtube with DTPA-Tc in a lead container to test the QC.

10. Cut the strips so each number is separate.
11. Check the radioactivity for each strip 1 and 4 should be high, 2 and 3 should be low. If your results are not correct, your kit failed and you must start again with fresh sodium pertechnetate.
12. Mix  $10\mu L$  of the DTPA-Tc with  $990\mu L$  of ringers made the previous day.
13. Add  $10\mu L$  of the ringers + DTPA-Tc to the apical side of each filter
14. At the start of reading each plate, check the background (place the plate behind the lead sheet).
15. Read the radioactivity on each filter keeping the remainder of the plate behind the lead sheet.
16. Once complete, scan the plate for ASL volume.
17. Keep the plate in the incubator between time points.
18. Repeat read/scan for time 2, 4, 6, 8, 12, 24 hours.

### C.1.1 Tips and Tricks

- When starting the experiment, treat one plate at a time. So treat a plate, do the reading/scan, then start the next one. Otherwise, your first time point is not truly time zero.
- When taking the plates out of the incubator, take one plate at a time. The change in temperature and gas does have an affect.
- When adding the Ringers + DTPA-Tc to the cells, you want to slowly dispense the solution to form a ball at the end of the pipet. That way only the ball of solution touches the cells and it will help to avoid disturbing the cell layer.
- Ringers should be used warm, so if you stored in the fridge overnight, place it in the water bath prior to use.

## C.2 Using the Scanner for ASL volume measurement

1. Open the Scanner program on the computer
  - a. EPSON scan
  - b. bottom left icon on desktop
2. When the screen pops up, under SETTING Name Setting 2
3. Click Preview
4. Once the preview happens, click on Scan
5. A third screen will pop up
  - a. Navigate to location → other → browse, and select the folder for which you want to place your images
  - b. File name: Cell type prefix + 3 digit line number → Prefix → how you want to save your images. The standard lab practice for labeling is "date—cell line—experimental conditions—timepoint—".
  - c. Place the plate on the scanner with the rounded edges toward you
  - d. Slide the plate down and to the right within the template
  - e. OK
6. When the scanner is done scanning the image, the folder you saved the image in will pop up and you can check your image.

### C.2.1 Tips and Tricks

- Clean the condensation off the top of the filter plate (in the hood if you are keeping the cells sterile).
- Wipe any unnecessary smudges off the top and bottom of the plate.
- If the scanner stops connecting with the computer, check the connections in the back of the scanner. Tighten them and then restart the program.
- Check the images after scanning to ensure they are of good quality.



## Appendix D

### Code for Models

## D.1 Thin Film Model of Airway Epithelial Liquid and Solute Transport

```
16 import aptmodel as apt
17 import numpy as np
18 import importlib
19 importlib.reload(apt)
20
21 # Initialize model
22 model = apt.aptmodel()
23 model.set_include_path('/usr/local/include')
24 model.set_lib_path('/usr/local/lib')
25
26 # Parameters
27 model.add_par('Pwca', 10200, 18000) #cellular-apical water permeability
28 model.add_par('Pwcb', 8000, 18000) #cellular-basolateral water permeability
29 model.add_par('Pwab', 72000, 120000) #paracellular water permeability
30 model.add_par('Penac', 0.06, 6) #ENaC permeability
31 model.add_par('Pcftr', 0.06, 6) #CFTR permeability
32 model.add_par('Pacc', 0.06, 6) #CaCC permeability
33 model.add_par('Pbk', 0.06, 6) #basolateral potassium permeability
34 model.add_par('Pbcl', 0.06, 6) #basolateral chloride permeability
35 model.add_par('Pbkca', 0.06, 6) #BKCa permeability
36 model.add_par('Jnakp', 0.6, 60) #NaKP flux
37 model.add_par('Jnkcc', 0.6, 60) #NKCC flux
38 model.add_par('Ppna', 0.06, 6) #Na paracellular permeability
39 model.add_par('Ppcl', 0.06, 6) #Cl paracellular permeability
40 model.add_par('Ppk', 0.06, 6) #K paracellular permeability
41 model.add_par('Ppdt', 6, 10) #6-10 micrometers per second
42
43 # States
44 model.add_states('Vap')
45 model.add_states('Naap')
46 model.add_states('Clap')
47 model.add_states('Kap')
48 model.add_states('dtpa')
49 model.add_states('Vb')
50 model.add_states('Nab')
51 model.add_states('Clb')
52 model.add_states('Kb')
53 model.add_states('dtpb')
54 model.add_states('Vc')
55 model.add_states('Nac')
56 model.add_states('Clc')
57 model.add_states('Kc')
58 model.add_states('Ema')
59 model.add_states('Emb')
60
61 # Constants
62
63 model.ODE['Constants'].add_helper('Oapn = 0.0375') #apical nonperm. osmolarity (mosmol/min)
64 model.ODE['Constants'].add_helper('Obn = 0.0625') #basolateral nonperm. osmolarity (mosmol/min)
65 model.ODE['Constants'].add_helper('Ocn = 0.145') #cellular nonperm. osmolarity (mosmol/min)
66 model.ODE['Constants'].add_helper('Dtj = 0.006') #tight junction diameter (microns)
67 model.ODE['Constants'].add_helper('Dc = 8') #cell diameter (microns)
68 model.ODE['Constants'].add_helper('Atj = 0.000494238') #tight junction area (cm2)
```

```

69 model.ODE['Constants'].add_helper('Ama = 0.329505742') #apical cell area (cm2)
70 model.ODE['Constants'].add_helper('Amb = 3.295057417') #basolateral cell area (cm2)
71 model.ODE['Constants'].add_helper('hc0 = 0.00302') #cell height (cm)
72 model.ODE['Constants'].add_helper('T = 310') #temperature (K)
73 model.ODE['Constants'].add_helper('F = 96485') #Faraday's constant (C/mol)
74 model.ODE['Constants'].add_helper('R = 8.314') #ideal gas constant (J/molK)
75 model.ODE['Constants'].add_helper('Vnakp_na = 0.0157') #half max [Na] to bind to nakp (mmol/ml)
76 model.ODE['Constants'].add_helper('Vnakp_k = 0.0019') #half max [K] to bind to nakp (mmol/ml)
77 model.ODE['Constants'].add_helper('Vnkcc_na = 0.105') #half max [Na] to bind to nkcc (mmol/ml)
78 model.ODE['Constants'].add_helper('Vnkcc_k = 0.00122') #half max [K] to bind to nkcc (mmol/ml)
79 model.ODE['Constants'].add_helper('Vnkcc_cl1 = 0.103') #half max [Cl1] to bind to nkcc (mmol/ml)
80 model.ODE['Constants'].add_helper('Vnkcc_cl2 = 0.0239') #half max [Cl2] to bind to nkcc (mol/ml)
81 model.ODE['Constants'].add_helper('Vw = 0.018') #molar volume of water (ml/mmol)
82 model.ODE['Constants'].add_helper('zna = 1') #charge of Na
83 model.ODE['Constants'].add_helper('zk = 1') #charge of K
84 model.ODE['Constants'].add_helper('zcl = -1') #charge of Cl
85 model.ODE['Constants'].add_helper('u = 37.43588') #F/TR (C/J)
86 model.ODE['Constants'].add_helper('Vcplus = 0.00125') #max cell vol
87 model.ODE['Constants'].add_helper('Vcminus = 0.0008') #min cell vol
88 model.ODE['Constants'].add_helper('Cap = 3.23') #muF/cm2
89 model.ODE['Constants'].add_helper('eta = 50')
90 model.ODE['Constants'].add_helper('M = 10') #muF/cm2
91 model.ODE['Constants'].add_helper('VapHalf = 10.5*pow(10,-3)')
92 model.ODE['Constants'].add_helper('n = 2') #muF/cm2
93 model.ODE['Constants'].add_helper('Cb = 33.14')
94 model.ODE['Constants'].add_helper('ee = 2.71828182845904523536')
95
96
97 #note: add_helper can be used to define
98
99 #flux term definitions
100 # Jpnac -> ((Naap/Vap*Vw*Pwab*((Nab + Kb + Clb)/Vb + Obn - (Naap + Kap + Clap)/Vap - 0apn)*(1./(1+ex
101 # Jpclc -> ((Clap/Vap*Vw*Pwab*((Nab + Kb + Clb)/Vb + Obn - (Naap + Kap + Clap)/Vap - 0apn)*(1./(1+ex
102 # Jpkc -> ((Kap/Vap*Vw*Pwab*((Nab + Kb + Clb)/Vb + Obn - (Naap + Kap + Clap)/Vap - 0apn)*(1./(1+ex
103 # Jdtpc -> ((dtpa/Vap*Vw*Pwab*((Nab + Kb + Clb)/Vb + Obn - (Naap + Kap + Clap)/Vap - 0apn)*(1./(1+ex
104
105 # EnacHill = (Vap/(VapHalf+1))*n
106 # Jenac -> ((Penac*zna*u*Ema*(Naap/Vap-Nac/Vc*exp(zna*u*Ema)) / (exp(zna*u*Ema)-1))/10**4)*Ama*pow((
107 # Jcftr -> ((Pcftr*zcl*u*Ema*(Clap/Vap-Clc/Vc*exp(zcl*u*Ema)) / (exp(zcl*u*Ema)-1))/10**4)*Ama
108 # Jacc -> ((Pacc*zcl*u*Ema*(Clap/Vap-Clc/Vc*exp(zcl*u*Ema)) / (exp(zcl*u*Ema)-1))/10**4)*Ama
109 # Jbkca -> ((Pbkca*zk*u*Ema*(Kap/Vap-Kc/Vc*exp(zk*u*Ema)) / (exp(zk*u*Ema)-1))/10**4)*Ama
110 # Jbcl -> ((Pbcl*zcl*u*Emb*(Clb/Vb-Kc/Vc*exp(zcl*u*Emb)) / (exp(zcl*u*Emb)-1))/10**4)*Amb
111 # Jbk -> ((Pbk*zk*u*Emb*(Kb/Vb-Kc/Vc*exp(zk*u*Emb)) / (exp(zk*u*Emb)-1))/10**4)*Amb
112 # Jnakpf -> Jnakp*Amb/10**4*(5*Emb+1.25)/((Vnakp_na*Vc/Nac)**3+1)*((Vnakp_k*Vb/Kb)**2+1))
113 # Nhillf = ((Vnkcc_na*Vb/Nab+1)*(Vnkcc_k*Vb/Kb+1) * (Vnkcc_cl1*Vb/Clb+1)*(Vnkcc_cl2*Vb/Clb+1))
114 # Jnkccf = Jnkcc*Amb/10**4/((Vnkcc_na*Vb/Nab+1)*(Vnkcc_k*Vb/Kb+1) * (Vnkcc_cl1*Vb/Clb+1)*(Vnkcc_cl2*V
115
116
117 # Qrvd = (Jnkcc*Amb/10**4)*(1+(M/(1+exp(-eta*(Vc-Vcplus))))))
118 # Qrvi = (Jnkcc*Amb/10**4)*(1+(M/(1+exp(-eta*(Vcminus-Vc))))))
119
120 # Diff Eqs
121

```

```

122
123 model.ODE['Vap'].set('(-Pwca*Vw*Ama*((Nac+Kc+Clc)/Vc + 0cn - (Naap + Kap + Clap)/Vap - 0apn) - Pwab*V
124 # dNaap/dt = Jpnac - Jpnad - Jenac
125
126 model.ODE['Naap'].set('(-(Naap/Vap*Vw*Pwab*((Nab + Kb + Clb)/Vb + 0bn - (Naap + Kap + Clap)/Vap - 0ap
127
128 #dClap/dt = Jpclc - Jpcld - Jcftr - Jacc
129
130 model.ODE['Clap'].set('(-(Clap/Vap*Vw*Pwab*((Nab + Kb + Clb)/Vb + 0bn - (Naap + Kap + Clap)/Vap - 0ap
131
132 #dKap/dt = Jpkc - Jpkd - Jbkca
133
134 model.ODE['Kap'].set('(-(Kap/Vap*Vw*Pwab*((Nab + Kb + Clb)/Vb + 0bn - (Naap + Kap + Clap)/Vap - 0apn)
135
136 #ddpta/dt = Jdtpc - Jdtpd
137
138 model.ODE['dtpa'].set('(-(dtpa/Vap*Vw*Pwab*((Nab + Kb + Clb)/Vb + 0bn - (Naap + Kap + Clap)/Vap - 0ap
139
140 model.ODE['Vb'].set('(Pwcb*Vw*Amb*((Nab + Kb + Clb)/Vb + 0bn - (Nac + Kc + Clc)/Vc - 0cn) + Pwab*Vw*A
141
142 #DNab/dt = Jpnac + Jpnad - Jnkccf + 3Jnakpf - Qrdi
143
144 model.ODE['Nab'].set('((Naap/Vap*Vw*Pwab*((Nab + Kb + Clb)/Vb + 0bn - (Naap + Kap + Clap)/Vap - 0apn)
145
146 #dClb/dt = Jpclc + Jpcld - 2Jnkccf - Jbcl + Qrvd
147
148 model.ODE['Clb'].set('((Clap/Vap*Vw*Pwab*((Nab + Kb + Clb)/Vb + 0bn - (Naap + Kap + Clap)/Vap - 0apn)
149
150 #dKb/dt = Jpkc + Jpkd - Jnkccf - 2Jnakpf - Jbk
151
152 model.ODE['Kb'].set('((Kap/Vap*Vw*Pwab*((Nab + Kb + Clb)/Vb + 0bn - (Naap + Kap + Clap)/Vap - 0apn)*
153
154 #ddptab/dt = Jdtpc + Jdtpd
155
156 model.ODE['dtpb'].set('((dtpa/Vap*Vw*Pwab*((Nab + Kb + Clb)/Vb + 0bn - (Naap + Kap + Clap)/Vap - 0apn)
157
158 model.ODE['Vc'].set('(Pwca*Vw*Ama*((Nac + Kc + Clc)/Vc + 0cn - (Naap + Kap + Clap)/Vap - 0apn) - Pwcb
159
160 #dNac/dt = Jenac + Jnkccf - 3Jnakpf + Qrvi
161
162 model.ODE['Nac'].set('((Penac*zna*u*Ema*(Naap/Vap-Nac/Vc*exp(zna*u*Ema)) / (exp(zna*u*Ema)-1))/pow(10
163
164 #dClc/dt = Jcftr + Jacc + 2Jnkccf + Jbcl - Qrvd
165
166 model.ODE['Clc'].set('((Pcftr*zcl*u*Ema*(Clap/Vap-Clc/Vc*exp(zcl*u*Ema)) / (exp(zcl*u*Ema)-1))/pow(10
167
168 #dKc/dt = Jbkca + Jnkccf + 2Jnakpf + Jbk
169
170 model.ODE['Kc'].set('((Pbkca*zk*u*Ema*(Kap/Vap-Kc/Vc*exp(zk*u*Ema)) / (exp(zk*u*Ema)-1))/pow(10,4))*A
171
172 # dEa/dt = (Ipar + Iap)/(Cap*Ama)/1000000
173 # dEb/dt = (Ipar - Ibl)/(Cb*Amb)/1000000
174

```

```

175 model.ODE['Ema'].set('((zna*((Naap/Vap*Vw*Pwab*((Nab + Kb + Clb)/Vb + Obn - (Naap + Kap + Clap)/Vap
176
177 model.ODE['Emb'].set('((zna*((Naap/Vap*Vw*Pwab*((Nab + Kb + Clb)/Vb + Obn - (Naap + Kap + Clap)/Vap
178
179
180 model.showpar()
181 model.showstates()
182
183 # data loading
184
185 from scipy.io import loadmat
186 import numpy as np
187
188 voldata = loadmat('CFMultiExperimentData.mat')['p1'] #RENAME WITH ACTUAL FILE NAMES
189 dtpa = loadmat('CFMultiExperimentData.mat')['dtpa1']
190 timedata = loadmat('CFMultiExperimentData.mat')['time']
191 #experiment
192 experiment1 = apt.experiment('Experiment1')
193
194 #aptdata object
195 aptdata = apt.data(voldata,states=['Vap'], time=timedata, isLog=False)
196 experiment1.add_data(aptdata)
197
198 aptdata = apt.data(dtpa, states=['dtpa'], time = timedata, isLog=False)
199 experiment1.add_data(aptdata)
200
201 initcond = apt.data([107.5*0.0189/pow(10,3), 125*0.0189/pow(10,3), 30*0.0189/pow(10,3), 0.4, 107.5*0.
202 experiment1.add_data(initcond)
203
204 model.add_experiment(experiment1)
205
206 voldata = loadmat('CFMultiExperimentData.mat')['p2'] #RENAME WITH ACTUAL FILE NAMES
207 dtpa = loadmat('CFMultiExperimentData.mat')['dtpa2']
208 timedata = loadmat('CFMultiExperimentData.mat')['time']
209 #experiment
210 experiment2 = apt.experiment('Experiment2')
211
212 #aptdata object
213 aptdata = apt.data(voldata,states=['Vap'], time=timedata, isLog=False)
214 experiment2.add_data(aptdata)
215
216 aptdata = apt.data(dtpa, states=['dtpa'], time = timedata, isLog=False)
217 experiment2.add_data(aptdata)
218
219 initcond = apt.data([107.5*0.0189/pow(10,3), 125*0.0189/pow(10,3), 30*0.0189/pow(10,3), 0.4, 107.5*0.
220 experiment2.add_data(initcond)
221
222 model.add_experiment(experiment2)
223
224 voldata = loadmat('CFMultiExperimentData.mat')['p3'] #RENAME WITH ACTUAL FILE NAMES
225 dtpa = loadmat('CFMultiExperimentData.mat')['dtpa3']
226 timedata = loadmat('CFMultiExperimentData.mat')['time']
227 #experiment

```

```

228 experiment3 = apt.experiment('Experiment3')
229
230 #aptdata object
231 aptdata = apt.data(voldata,states=['Vap'], time=timedata, isLog=False)
232 experiment3.add_data(aptdata)
233
234 aptdata = apt.data(dtpa, states=['dtpa'], time = timedata, isLog=False)
235 experiment3.add_data(aptdata)
236
237 initcond = apt.data([107.5*0.0189/pow(10,3), 125*0.0189/pow(10,3), 30*0.0189/pow(10,3), 0.4, 107.5*0.
238 experiment3.add_data(initcond)
239
240 model.add_experiment(experiment3)
241
242 voldata = loadmat('CFMultiExperimentData.mat')['p4'] #RENAME WITH ACTUAL FILE NAMES
243 dtpa = loadmat('CFMultiExperimentData.mat')['dtpa4']
244 timedata = loadmat('CFMultiExperimentData.mat')['time']
245 #experiment
246 experiment4 = apt.experiment('Experiment4')
247
248 #aptdata object
249 aptdata = apt.data(voldata,states=['Vap'], time=timedata, isLog=False)
250 experiment4.add_data(aptdata)
251
252 aptdata = apt.data(dtpa, states=['dtpa'], time = timedata, isLog=False)
253 experiment4.add_data(aptdata)
254
255 initcond = apt.data([107.5*0.0189/pow(10,3), 125*0.0189/pow(10,3), 30*0.0189/pow(10,3), 0.4, 107.5*0.
256 experiment4.add_data(initcond)
257
258 model.add_experiment(experiment4)
259
260 voldata = loadmat('CFMultiExperimentData.mat')['p5'] #RENAME WITH ACTUAL FILE NAMES
261 dtpa = loadmat('CFMultiExperimentData.mat')['dtpa5']
262 timedata = loadmat('CFMultiExperimentData.mat')['time']
263 #experiment
264 experiment5 = apt.experiment('Experiment5')
265
266 #aptdata object
267 aptdata = apt.data(voldata,states=['Vap'], time=timedata, isLog=False)
268 experiment5.add_data(aptdata)
269
270 aptdata = apt.data(dtpa, states=['dtpa'], time = timedata, isLog=False)
271 experiment5.add_data(aptdata)
272
273 initcond = apt.data([107.5*0.0189/pow(10,3), 125*0.0189/pow(10,3), 30*0.0189/pow(10,3), 0.4, 107.5*0.
274 experiment5.add_data(initcond)
275
276 model.add_experiment(experiment5)
277
278 voldata = loadmat('CFMultiExperimentData.mat')['p6'] #RENAME WITH ACTUAL FILE NAMES
279 dtpa = loadmat('CFMultiExperimentData.mat')['dtpa6']
280 timedata = loadmat('CFMultiExperimentData.mat')['time']

```

```

281 #experiment
282 experiment6 = apt.experiment('Experiment6')
283
284 #aptdata object
285 aptdata = apt.data(voldata,states=['Vap'], time=timedata, isLog=False)
286 experiment6.add_data(aptdata)
287
288 aptdata = apt.data(dtpa, states=['dtpa'], time = timedata, isLog=False)
289 experiment6.add_data(aptdata)
290
291 initcond = apt.data([107.5*0.0189/pow(10,3), 125*0.0189/pow(10,3), 30*0.0189/pow(10,3), 0.4, 107.5*0.
292 experiment6.add_data(initcond)
293
294 model.add_experiment(experiment6)
295
296 voldata = loadmat('CFMultiExperimentData.mat')['p7'] #RENAME WITH ACTUAL FILE NAMES
297 dtpa = loadmat('CFMultiExperimentData.mat')['dtpa7']
298 timedata = loadmat('CFMultiExperimentData.mat')['time']
299 #experiment
300 experiment7 = apt.experiment('Experiment7')
301
302 #aptdata object
303 aptdata = apt.data(voldata,states=['Vap'], time=timedata, isLog=False)
304 experiment7.add_data(aptdata)
305
306 aptdata = apt.data(dtpa, states=['dtpa'], time = timedata, isLog=False)
307 experiment7.add_data(aptdata)
308
309 initcond = apt.data([107.5*0.0189/pow(10,3), 125*0.0189/pow(10,3), 30*0.0189/pow(10,3), 0.4, 107.5*0.
310 experiment7.add_data(initcond)
311
312 model.add_experiment(experiment7)
313
314 voldata = loadmat('CFMultiExperimentData.mat')['p8'] #RENAME WITH ACTUAL FILE NAMES
315 dtpa = loadmat('CFMultiExperimentData.mat')['dtpa8']
316 timedata = loadmat('CFMultiExperimentData.mat')['time']
317 #experiment
318 experiment8 = apt.experiment('Experiment8')
319
320 #aptdata object
321 aptdata = apt.data(voldata,states=['Vap'], time=timedata, isLog=False)
322 experiment8.add_data(aptdata)
323
324 aptdata = apt.data(dtpa, states=['dtpa'], time = timedata, isLog=False)
325 experiment8.add_data(aptdata)
326
327 initcond = apt.data([107.5*0.0189/pow(10,3), 125*0.0189/pow(10,3), 30*0.0189/pow(10,3), 0.4, 107.5*0.
328 experiment8.add_data(initcond)
329
330 model.add_experiment(experiment8)
331
332 voldata = loadmat('CFMultiExperimentData.mat')['p9'] #RENAME WITH ACTUAL FILE NAMES
333 dtpa = loadmat('CFMultiExperimentData.mat')['dtpa9']

```



```

334 timedata = loadmat('CFMultiExperimentData.mat')['time']
335 #experiment
336 experiment9 = apt.experiment('Experiment9')
337
338 #aptdata object
339 aptdata = apt.data(voldata,states=['Vap'], time=timedata, isLog=False)
340 experiment9.add_data(aptdata)
341
342 aptdata = apt.data(dtpa, states=['dtpa'], time = timedata, isLog=False)
343 experiment9.add_data(aptdata)
344
345 initcond = apt.data([107.5*0.0189/pow(10,3), 125*0.0189/pow(10,3), 30*0.0189/pow(10,3), 0.4, 107.5*0.
346 experiment9.add_data(initcond)
347
348 model.add_experiment(experiment9)
349
350 voldata = loadmat('CFMultiExperimentData.mat')['p10'] #RENAME WITH ACTUAL FILE NAMES
351 dtpa = loadmat('CFMultiExperimentData.mat')['dtpa10']
352 timedata = loadmat('CFMultiExperimentData.mat')['time']
353 #timedata2 = loadmat('CFMultiExperimentData.mat')['time']
354 #experiment
355 experiment10 = apt.experiment('Experiment10')
356
357 #aptdata object
358 aptdata = apt.data(voldata,states=['Vap'], time=timedata, isLog=False)
359 experiment10.add_data(aptdata)
360
361 aptdata = apt.data(dtpa, states=['dtpa'], time = timedata, isLog=False)
362 experiment10.add_data(aptdata)
363
364 initcond = apt.data([107.5*0.0189/pow(10,3), 125*0.0189/pow(10,3), 30*0.0189/pow(10,3), 0.4, 107.5*0.
365 experiment10.add_data(initcond)
366
367 model.add_experiment(experiment10)
368
369 voldata = loadmat('CFMultiExperimentData.mat')['p11'] #RENAME WITH ACTUAL FILE NAMES
370 dtpa = loadmat('CFMultiExperimentData.mat')['dtpa11']
371 timedata = loadmat('CFMultiExperimentData.mat')['time']
372 #timedata2 = loadmat('CFMultiExperimentData.mat')['time']
373 #experiment
374 experiment11 = apt.experiment('Experiment11')
375
376 #aptdata object
377 aptdata = apt.data(voldata,states=['Vap'], time=timedata, isLog=False)
378 experiment11.add_data(aptdata)
379
380 aptdata = apt.data(dtpa, states=['dtpa'], time = timedata, isLog=False)
381 experiment11.add_data(aptdata)
382
383 initcond = apt.data([107.5*0.0189/pow(10,3), 125*0.0189/pow(10,3), 30*0.0189/pow(10,3), 0.4, 107.5*0.
384 experiment11.add_data(initcond)
385
386 model.add_experiment(experiment11)

```



```

387
388 voldata = loadmat('CFMultiExperimentData.mat')['p12'] #RENAME WITH ACTUAL FILE NAMES
389 dtpa = loadmat('CFMultiExperimentData.mat')['dtpa12']
390 timedata = loadmat('CFMultiExperimentData.mat')['time']
391 #experiment
392 experiment12 = apt.experiment('Experiment12')
393
394 aptdata = apt.data(voldata,states=['Vap'], time=timedata, isLog=False)
395 experiment12.add_data(aptdata)
396
397 aptdata = apt.data(dtpa, states=['dtpa'], time = timedata, isLog=False)
398 experiment12.add_data(aptdata)
399
400 initcond = apt.data([107.5*0.0189/pow(10,3), 125*0.0189/pow(10,3), 30*0.0189/pow(10,3), 0.4, 107.5*0.
401 experiment12.add_data(initcond)
402
403 model.add_experiment(experiment12)
404 #aptdata object
405
406
407 '''voldata = loadmat('CFMultiExperimentData.mat')['p13'] #RENAME WITH ACTUAL FILE NAMES
408 dtpa = loadmat('CFMultiExperimentData.mat')['dtpa13']
409 timedata = loadmat('CFMultiExperimentData.mat')['time2']
410 #timedata2 = loadmat('CFMultiExperimentData.mat')['time']
411 #experiment
412 experiment13 = apt.experiment('Experiment13')
413
414 #aptdata object
415 aptdata = apt.data(voldata,states=['Vap'], time=timedata, isLog=False)
416 experiment13.add_data(aptdata)
417
418 aptdata = apt.data(dtpa, states=['dtpa'], time = timedata2, isLog=False)
419 experiment13.add_data(aptdata)
420
421 initcond = apt.data([107.5*0.0189/pow(10,3), 125*0.0189/pow(10,3), 30*0.0189/pow(10,3), 0.4, 107.5*0.
422 experiment13.add_data(initcond)'''
423
424 #model.add_experiment(experiment13)
425
426 #voldata = loadmat('CFMultiExperimentData.mat')['p14'] #RENAME WITH ACTUAL FILE NAMES
427 #dtpa = loadmat('CFMultiExperimentData.mat')['dtpa14']
428 #timedata = loadmat('CFMultiExperimentData.mat')['time']
429 #experiment
430 experiment14 = apt.experiment('Experiment14')
431
432 #aptdata object
433 aptdata = apt.data(voldata,states=['Vap'], time=timedata, isLog=False)
434 experiment14.add_data(aptdata)
435
436 aptdata = apt.data(dtpa, states=['dtpa'], time = timedata, isLog=False)
437 experiment14.add_data(aptdata)
438
439 initcond = apt.data([107.5*0.0189/pow(10,3), 125*0.0189/pow(10,3), 30*0.0189/pow(10,3), 0.4, 107.5*0.

```

```

449
450 #aptdata object
451 aptdata = apt.data(voldata,states=['Vap'], time=timedata, isLog=False)
452 experiment15.add_data(aptdata)
453
454 aptdata = apt.data(dtpa, states=['dtpa'], time = timedata, isLog=False)
455 experiment15.add_data(aptdata)
456
457
458 initcond = apt.data([107.5*0.0189/pow(10,3), 125*0.0189/pow(10,3), 30*0.0189/pow(10,3), 0.4, 107.5*0.
459 experiment15.add_data(initcond)
460
461 model.add_experiment(experiment15)
462
463 # Create an options variable for model
464 options = apt.aptoptions(maxThr=16)
465 options.nSwap= 1e2
466 options.nTemp = 8
467 options.filename = "IonTransModel_CFMultiExperiment"
468
469 options.show() # Should run this and double check things are okay before generating
470
471 model.output('IonTransModel_CFMultiExperiment')
472 model.generate(options)
473

```

## D.2 Code for Ussing Chamber Electrophysiology Model

```
3 import math
4 from pyomo.environ import *
5 from pyomo.opt import SolverFactory
6 from pyomo.dae import *
7 from pyomo.dae.plugins.finitedifference import Finite_Difference_Transformation
8 from pyomo.dae.plugins.colloc import Collocation_Discretization_Transformation
9 import pylab
10 import numpy as np
11 import scipy as sp
12 import scipy.io as sio
13
14 def UC_PyomoSyst(solver, nfe, stepsize, pfixed, par0):
15
16     #specify the number of finite element
17     # mult = 1
18
19     # n_finite_elements = nfe*mult
20
21     # specify the stepsize (in time) for each finite element
22     # stepsize = stepsize/mult
23
24     tdata = []
25     #tdata.extend([i for i in range(0,3501,1)])
26     tdata.extend([i for i in range(0,1010,10)])
27
28     #Create ODE Model
29     model = ConcreteModel()
30     model.t = ContinuousSet(initialize = tdata)
31     model.time = Set(initialize = tdata, within = model.t, ordered = True)
32
33
34     Nac0 = par0[0]
35     Clc0 = par0[1]
36     Kc0 = par0[2]
37     Vc0 = par0[3]
38     Oc0 = par0[4]
39
40     Fenac0 = par0[5]
41     Fcftr0 = par0[6]
42     Facc0 = par0[7]
43     Fbkca0 = par0[8]
44     Fbk0 = par0[9]
45     Fbcl0 = par0[10]
46     Fnkccr0 = par0[11]
47     Fnkccf0 = par0[12]
48     Fnakp0 = par0[13]
49     Fae0 = par0[14]
50     Fnhe0 = par0[15]
51     Fnbc0 = par0[16]
52     Fkhp0 = par0[17]
53
54     Ema0 = par0[18]
```

```

56 Emb0 = par0[19]
57
58
59
60 # p = [Penac, Pcftr, Pacc, Pbkca, Pbk, Pbcl,\
61 #      Jnkcc, Jnakp, Jnhe, Jae, Jnbc, Jkhp, Pw,
62 #      Naa, Cla, Ka, Va, Oa0, Nab, Clb, Kb, Vb, Ob0, Oic0,\
63 #      Venac, Vcftr, Vtmem, Vbkca, Vnkcc_na, Vnkcc_k,\
64 #      Vnkcc_cl1, Vnkcc_cl2, Vnakp_na, Vnakp_k, Vnhe_na, Vae_cl, Vnbc_na,\
65 #      Amil, Forsk, But]
66
67 #Cellular states
68 model.Nac = Var(model.t, bounds=(Nac0*0.75,Nac0*1.5), initialize=(Nac0)) #guessed bounds, mM
69 model.dNacdt = DerivativeVar(model.Nac, wrt = model.t)
70
71 model.Clc = Var(model.t, bounds=(Clc0*0.75,Clc0*1.5), initialize=(Clc0)) #guessed bounds, mM
72 model.dClcdt = DerivativeVar(model.Clc, wrt = model.t)
73
74 model.Kc = Var(model.t, bounds=(Kc0*0.75,Kc0*1.5), initialize=(Kc0)) #Guess bounds, mM
75 model.dKcdt = DerivativeVar(model.Kc, wrt = model.t)
76
77 model.Vc = Var(model.t, bounds=(0.85,1.25), initialize=(Vc0)) #Guess Bounds, Check! ul
78 model.dVcdt = DerivativeVar(model.Vc, wrt = model.t)
79
80 model.Oc = Var(model.t, bounds=(250,350), initialize=(Oc0)) #Guess Bounds, mOsmIs
81 model.dOcdt = DerivativeVar(model.Oc, wrt = model.t)
82
83 #Channel states
84 #model.Fenac = Var(model.FE, initialize=(Fenac0))
85 model.dFenacdt = Var(model.t)
86
87 #model.Fcftr = Var(model.FE, initialize=(Fcftr0))
88 model.dFcftrdt = Var(model.t)
89
90 #model.Facc = Var(model.FE, initialize=(Facc0))
91 model.dFaccdt = Var(model.t)
92
93 #model.Fbkca = Var(model.FE, initialize=(Fbkca0))
94 model.dFbkcadtdt = Var(model.t)
95
96 #model.Fbk = Var(model.FE, initialize=(Fbk0))
97 model.dFbkdt = Var(model.t)
98
99 #model.Fbcl = Var(model.FE, initialize=(Fbcl0))
100 model.dFbcldt = Var(model.t)
101
102 #model.Fnkccr = Var(model.FE, initialize=(Fnkccr0))
103 model.dFnkccrdt = Var(model.t)
104
105 #model.Fnkccf = Var(model.FE, initialize=(Fnkccf0))
106 model.dFnkccfdt = Var(model.t)
107
108 #model.Fnakp = Var(model.FE, initialize=(Fnakp0))

```

```

109 model.dFnakpdt = Var(model.t)
110
111 #model.Fae = Var(model.FE, initialize=(Fae0))
112 #model.dFaedt = Var(model.t)
113
114 #model.Fnhe = Var(model.FE, initialize=(Fnhe0))
115 #model.dFnhedt = Var(model.t)
116
117 #model.Fnbc = Var(model.FE, initialize=(Fnbc0))
118 #model.dFnbcdt = Var(model.t)
119
120 #model.Fkhp = Var(model.FE, initialize=(Fkhp0))
121 model.dFkhpdt = Var(model.t)
122
123 #channel states
124 # model.Penac = Var(bounds=(1e-9,9e-7), initialize=(pfixed[0]))
125 # model.Pcftr = Var(bounds=(1e-9,9e-7), initialize=(pfixed[1]))
126 # model.Pacc = Var(bounds=(1e-9,9e-7), initialize=(pfixed[2]))
127 # model.Pbkca = Var(bounds=(1e-9,9e-7), initialize=(pfixed[3]))
128 # model.Pbk = Var(bounds=(1e-9,1e-8), initialize=(pfixed[4]))
129 # model.Pbcl = Var(bounds=(1e-9,1e-8), initialize=(pfixed[5]))
130 # model.Jnkcc = Var(bounds=(1e-7,1e-6), initialize=(pfixed[6])) #reduced upper boudsn 10fold....
131 # model.Jnakp = Var(bounds=(1e-7,1e-6), initialize=(pfixed[7]))
132 # model.Jnhe = pfixed[8]#Var(bounds=(1e-9,1e-7), initialize=(pfixed[8]))
133 # model.Jae = pfixed[9]#Var(bounds=(1e-9,1e-7), initialize=(pfixed[9]))
134 # model.Jnbc = pfixed[10]#Var(bounds=(1e-9,1e-7), initialize=(pfixed[10]))
135 # model.Jkhp = Var(bounds=(1e-9,5e-7), initialize=(pfixed[11]))
136 #
137 #
138 model.Penac = Var(bounds=(1e-11,1e-5), initialize=(pfixed[0]*1.2))
139 model.Pcftr = 1e-19; #Var(bounds=(1e-10,1e-5), initialize=(pfixed[1]*0.8))
140 model.Pacc = Var(bounds=(1e-11,1e-5), initialize=(pfixed[2]*1.1))
141 model.Pbkca = Var(bounds=(1e-11,1e-5), initialize=(pfixed[3]*0.8))
142 model.Pbk = Var(bounds=(1e-11,1e-6), initialize=(pfixed[4]))
143 model.Pbcl = Var(bounds=(1e-11,1e-6), initialize=(pfixed[5]))
144 model.Jnkcc = Var(bounds=(1e-9,1e-5), initialize=(pfixed[6])) #reduced upper boudsn 10fold.... **
145 model.Jnakp = Var(bounds=(1e-9,1e-5), initialize=(pfixed[7]))
146 model.Jnhe = pfixed[8]#Var(bounds=(1e-9,1e-7), initialize=(pfixed[8]))
147 model.Jae = pfixed[9]#Var(bounds=(1e-9,1e-7), initialize=(pfixed[9]))
148 model.Jnbc = pfixed[10]#Var(bounds=(1e-9,1e-7), initialize=(pfixed[10]))
149 model.Jkhp = Var(bounds=(1e-11,5e-6), initialize=(pfixed[11]))
150
151 #water permeabilities
152 model.Pw = Var(bounds=(200,230), initialize=(pfixed[12]))
153 model.Vw = pfixed[49] #m^3/mol
154
155 #Membrane potentials
156 model.Ema = Var(model.t, bounds=(-0.1, -0.003), domain = Reals) #, initialize = Ema0
157 model.Emb = Var(model.t, bounds=(0.003, 0.1), domain = Reals) #, initialize = Emb0
158
159 #Membrane Currents
160 model.Iap = Var(model.t, bounds=(1,100))
161 model.Ibl = Var(model.t, bounds=(1,100))

```

```

162
163 #Apical and Basolateral chamber conditions, ten dto be constant
164 model.Naa = pfixed[13]
165 model.Cla = pfixed[14]
166 model.Ka = pfixed[15]
167 model.Va = pfixed[16]
168 model.Oa0 = pfixed[17]
169 model.Nab = pfixed[18]
170 model.Clb = pfixed[19]
171 model.Kb = pfixed[20]
172 model.Vb = pfixed[21]
173 model.Ob0 = pfixed[22]
174
175
176 #Hill half-permeabilities, and coefficients
177 model.Venac = pfixed[23]
178 model.Vcftr = pfixed[24]
179 model.Vacc = pfixed[25]
180 model.Vbkca = pfixed[26]
181
182 model.Vnkcc_na = pfixed[27]
183 model.Vnkcc_k = pfixed[28]
184 model.Vnkcc_cl1 = pfixed[29]
185 model.Vnkcc_cl2 = pfixed[30]
186
187 model.Vnakp_na = pfixed[31]
188 model.Vnakp_k = pfixed[32]
189
190 model.Vnhe_na = pfixed[33]
191 model.Vae_cl = pfixed[34]
192 model.Vnbc_na = pfixed[35]
193
194 model.Vae_hco = pfixed[36]
195 model.Vnhe_h = pfixed[37]
196 model.Vnbc_hco = pfixed[38]
197
198 model.Kp_ae = pfixed[39]
199 model.Kp_nhe = pfixed[40]
200 model.Kp_nbc = pfixed[41]
201
202 model.HCOc = pfixed[42]
203 model.Hc = pfixed[43]
204 model.HCOb = pfixed[44]
205
206 model.pHc = pfixed[45]
207 model.ap_nbc = pfixed[46]
208 model.ap_nhe = pfixed[47]
209 model.ap_ae = pfixed[48]
210
211 model.Ama = pfixed[50]
212 model.Amb = pfixed[51]
213
214

```

```

215 #Valence
216 model.zna = 1
217 model.zcl = -1
218 model.zk = 1
219
220 #Electric Constants
221 model.F = 96485 # mA/mmol
222 model.R = 8.314 # J/Mol K
223 model.T = 310 # K
224 model.u = model.F/(model.R*model.T)
225
226 # Nak pump
227 #def Nakhill_fun(m, fe):
228 #    return Nakhill[fe] == (((m.Vnakp_na*m.Vc[fe]/m.Nac[fe])**3+1)*((m.Vnakp_k/m.Kb)**2+1))
229
230 # Nkcc Hill factors
231 #def Nhillf_fun(m, fe):
232 #    return Nhillf[fe] == ((m.Vnkcc_na/m.Nab+1)*(m.Vnkcc_k*m.Kb+1)*(m.Vnkcc_cl1/m.Clb+1)*(m.Vnkcc_
233
234 #def Nhillr_fun(m, fe):
235 #    return Nhillr[fe] == ((m.Vnkcc_na*m.Vc[fe]/m.Nac[fe]+1)*(m.Vnkcc_k*m.Vc[fe]/m.Kc[fe]+1)*(m.Vi
236
237 # Vol Regulations
238 #def NregD_fun(m, fe):
239 #    return NregD[fe] == (1+(100/(1+np.exp(-50*(m.Vc[fe]*1e6-1)))))
240
241 #def NregI_fun(m, fe):
242 #    return NregI[fe] == (1+(100/(1+np.exp(-50*(0.7-m.Vc[fe]*1e6)))))
243
244 # Other Cotransporters
245
246 # Define the RHS rules for the differential equations
247 # Channel states
248 def Amiloride(m, fe):
249     if fe in range(1,220):
250         return 1
251     else:
252         return 1e-20
253
254 def Forsk(m, fe):
255     if fe in range(1,660):
256         return 1
257     else:
258         return 3
259
260 def dFenacdt_rule(m, fe):
261     #print "dFenacdt_rule was called for i=",fe
262     return m.dFenacdt[fe] == Amiloride(m, fe)*m.Penac*m.zna*m.u*m.Ema[fe]*(m.Naa-m.Nac[fe]*1e6/m
263 model.dFenacdt_RHS = Constraint(model.t, rule = dFenacdt_rule)
264
265 def dFcfrdt_rule(m, fe):
266     #print "dFcfrdt_rule was called for i=",fe
267     return m.dFcfrdt[fe] == Forsk(m, fe)*m.Pcftr*m.zcl*m.u*m.Ema[fe]*(m.Cla-m.Clc[fe]*1e6/m.Vc[

```

```

215 #Valence
216 model.zna = 1
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218 model.zk = 1
219
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222 model.R = 8.314 # J/Mol K
223 model.T = 310 # K
224 model.u = model.F/(model.R*model.T)
225
226 # Nak pump
227 #def Nakhill_fun(m, fe):
228 #    return Nakhill[fe] == (((m.Vnkp_na*m.Vc[fe]/m.Nac[
229 #    fe])**3+1)*(m.Vnkp_k/m.Kb)**2+1))
230
231 # Nkcc Hill factors
232 #def Nhillf_fun(m, fe):
233 #    return Nhillf[fe] == ((m.Vnkcc_na/m.Nab+1)*(m.Vnkcc_k*m.Kb+1
234 #    )*(m.Vnkcc_cl1/m.Clb+1)*(m.Vnkcc_cl2/m.Clb+1))
235
236 #def Nhillr_fun(m, fe):
237 #    return Nhillr[fe] == ((m.Vnkcc_na*m.Vc[fe]/m.Nac[
238 #    fe]+1)*(m.Vnkcc_k*m.Vc[fe]/m.Kc[fe]+1)*(m.Vnkcc_cl1*model.Vc[
239 #    fe]/m.Clc[fe]+1)*(m.Vnkcc_cl2*m.Vc[fe]/m.Clc[fe]+1))
240
241 # Vol Regulations
242 #def NregD_fun(m, fe):
243 #    return NregD[fe] == (1+(100/(1+np.exp(-50*(m.Vc[
244 #    fe]*1e6-1)))))
245
246 #def NregI_fun(m, fe):
247 #    return NregI[fe] == (1+(100/(1+np.exp(-50*(0.7-m.Vc[
248 #    fe]*1e6)))))
249
250 # Other Cotransporters
251
252 # Define the RHS rules for the differential equations
253 # Channel states
254 def Amiloride(m, fe):
255     if fe in range(1,220):
256         return 1
257     else:
258         return 1e-20
259
260 def Forsk(m, fe):
261     if fe in range(1,660):
262         return 1
263     else:
264         return 3
265
266 def dFenacdt_rule(m, fe):
267     #print "dFenacdt_rule was called for i=",fe

```



```

262         return m.dFenacdt[fe] == Amiloride(m, fe)*m.Penac*m.zna*m.u*
263             m.Ema[fe]*(m.Naa-m.Nac[fe]*1e6/m.Vc[fe]*exp(m.zna*m.u*m.
264             Ema[fe]))/(exp(m.zna*m.u*m.Ema[fe])-1)
265     model.dFenacdt_RHS = Constraint(model.t, rule = dFenacdt_rule)
266
267     def dFcftdrdt_rule(m, fe):
268         #print "dFcftdrdt_rule was called for i=",fe
269         return m.dFcftdrdt[fe] == Forsk(m, fe)*m.Pcfttr*m.zcl*m.u*m.
270             Ema[fe]*(m.Cla-m.Clc[fe]*1e6/m.Vc[fe]*exp(m.zcl*m.u*m.Ema
271             [fe]))/(exp(m.zcl*m.u*m.Ema[fe])-1)
272     model.dFcftdrdt_RHS = Constraint(model.t, rule = dFcftdrdt_rule)
273
274     def dFaccdt_rule(m, fe):
275         #print "dFaccdt_rule was called for i=",fe
276         return m.dFaccdt[fe] == m.Pacc*m.zcl*m.u*m.Ema[fe]*(m.Cla-m.
277             Clc[fe]*1e6/m.Vc[fe]*exp(m.zcl*m.u*m.Ema[fe]))/(exp(m.zcl
278             *m.u*m.Ema[fe])-1)
279     model.dFaccdt_RHS = Constraint(model.t, rule = dFaccdt_rule)
280
281     def dFbkcdt_rule(m, fe):
282         #print "dFbkcdt_rule was called for i=",fe
283         return m.dFbkcdt[fe] == m.Pbkca*m.zk*m.u*m.Ema[fe]*(m.Ka-m.
284             Kc[fe]*1e6/m.Vc[fe]*exp(m.zk*m.u*m.Ema[fe]))/(exp(m.zk*m.
285             u*m.Ema[fe])-1)
286     model.dFbkcdt_RHS = Constraint(model.t, rule = dFbkcdt_rule)
287
288     def dFbkdt_rule(m, fe):
289         #print "dFbkdt_rule was called for i=",fe
290         return m.dFbkdt[fe] == m.Pbk*m.zk*m.u*m.Emb[fe]*(m.Kc[fe]*
291             1e6/m.Vc[fe]-m.Kb*exp(m.zk*m.u*m.Emb[fe]))/(exp(m.zk*m.u*
292             m.Emb[fe])-1)
293     model.dFbkdt_RHS = Constraint(model.t, rule = dFbkdt_rule)
294
295     def dFbcldt_rule(m, fe):
296         #print "dFbcldt_rule was called for i=",fe
297         return m.dFbcldt[fe] == Forsk(m, fe)*m.Pbcl*m.zcl*m.u*m.Emb[
298             fe]*(m.Clc[fe]*1e6/m.Vc[fe]-m.Clb*exp(m.zcl*m.u*m.Emb[fe]
299             ))/(exp(m.zcl*m.u*m.Emb[fe])-1)
300     model.dFbcldt_RHS = Constraint(model.t, rule = dFbcldt_rule)
301
302     def dFnakpdt_rule(m, fe):
303         Nakhill = (((m.Vnakp_na*m.Vc[fe]*1e-6/m.Nac[fe])**3+1)*((m.
304             Vnakp_k/m.Kb)**2+1))
305         #print "dFnakpdt_rule was called for i=",fe
306         return m.dFnakpdt[fe] == m.Jnakp*(5*m.Emb[fe]+1.25)/Nakhill
307     model.dFnakpdt_RHS = Constraint(model.t, rule = dFnakpdt_rule)
308
309     def dFnkccrdt_rule(m, fe):
310         Nhillr = ((m.Vnkcc_na*m.Vc[fe]*1e-6/m.Nac[fe]+1)*(m.Vnkcc_k*m
311             .Vc[fe]*1e-6/m.Kc[fe]+1)*(m.Vnkcc_cl1*model.Vc[fe]*1e-6/m
312             .Clc[fe]+1)*(m.Vnkcc_cl2*m.Vc[fe]*1e-6/m.Clc[fe]+1))
313         NregD = (1+(100/(1+exp(-50*(m.Vc[fe]-1)))))
314         #print "dFnkccfdt_rule was called for i=",fe

```

```

300     return m.dFnkccrdt[fe] == m.Jnkcc*NregD/Nhillr
301 model.dFnkccrdt_RHS = Constraint(model.t, rule = dFnkccrdt_rule)
302
303 def dFnkccfdt_rule(m, fe):
304     Nhillf = ((m.Vnkcc_na/m.Nab+1)*(m.Vnkcc_k*m.Kb+1)*(m.
305         Vnkcc_cl1/m.Clb+1)*(m.Vnkcc_cl2/m.Clb+1))
306     NregI = (1+(100/(1+exp(-50*(0.7-m.Vc[fe])))))
307     #print "dFnkccrdt_rule was called for i=",fe
308     return m.dFnkccfdt[fe] == m.Jnkcc*NregI/Nhillf
309 model.dFnkccfdt_RHS = Constraint(model.t, rule = dFnkccfdt_rule)
310
311 # def dFaedt_rule(m, fe):
312 #     Aehill = ((model.Vae_cl/model.Clb+1)*(model.Vae_hco/
313 #         model.HCOc+1)*(10*((model.Kp_ae-model.pHc)/model.ap_ae)+1))
314 #     #Keep in mind that the AE channel is regulated by pH and
315 #     is possibly not well defined by a simple first order Michealis
316 #     Menten term
317 #     #print "dFaedt_rule was called for i=",fe
318 #     return m.dFaedt[fe] == m.Jae/Aehill
319 # model.dFaedt_RHS = Constraint(model.t, rule = dFaedt_rule)
320
321 # def dFnhedt_rule(m, fe):
322 #     Nhehill = ((model.Vnhe_na/model.Nab+1)*(model.Vnhe_h/
323 #         model.Hc+1)*(10*((model.Kp_nhe-model.pHc)/model.ap_nhe)+1))
324 #     #print "dFnhedt_rule was called for i=",fe
325 #     return m.dFnhedt[fe] == m.Jnhe/Nhehill
326 # model.dFnhedt_RHS = Constraint(model.t, rule = dFnhedt_rule)
327
328 # def dFnbcdt_rule(m, fe):
329 #     Nbchill = ((model.Vnbc_na/model.Nab+1)*(model.Vnbc_hco/
330 #         model.HCOb+1)*(10*((model.Kp_nbc-model.pHc)/model.ap_nbc)+1))
331 #     #print "dFnbcdt_rule was called for i=",fe
332 #     return m.dFnbcdt[fe] == m.Jnbc/Nbchill
333 # model.dFnbcdt_RHS = Constraint(model.t, rule = dFnbcdt_rule)
334
335 def dFkhpdt_rule(m, fe):
336     #Khphill = 0.2 #I need to get info on this transporter
337     #Not sure if this will be needed on terms of a thin film
338     model... re-evaluate the need based on the ability to
339     maintain intracellular K homeostasis
340     #print "dFkhpdt_rule was called for i=",fe
341     return m.dFkhpdt[fe] == m.Jkhp#/Khphill
342 model.dFkhpdt_RHS = Constraint(model.t, rule = dFkhpdt_rule)
343
344 # Cellular states
345 def dNacdt_rule(m, fe):
346     #print "dNacdt_rule was called for i=",fe
347     return m.dNacdt[fe] == m.dFenacdt[fe] + m.dFnkccfdt[fe] - m.
348         dFnkccrdt[fe] - 3*m.dFnakpdt[fe] #+ m.dFnbcdt[fe] +
349         m.dFnhedt[fe]
350 model.dNacdt_RHS = Constraint(model.t, rule = dNacdt_rule)
351
352 def dClcdt_rule(m, fe):

```

```

343     #print "dClcdt_rule was called for i=",fe
344     return m.dClcdt[fe] == m.dFcfttrdt[fe] + 2*(m.dFnkccfdt[fe] -
        m.dFnkccrdt[fe]) + m.dFbcltdt[fe] + m.dFaccdt[fe] #+
        m.dFaedt[fe]
345 model.dClcdt_RHS = Constraint(model.t, rule = dClcdt_rule)
346
347 def dKcdt_rule(m, fe):
348     #print "dKcdt_rule was called for i=",fe
349     return m.dKcdt[fe] == m.dFbkcdt[fe] + m.dFnkccfdt[fe] - m.
        dFnkccrdt[fe] + 2*m.dFnakpdt[fe] + m.dFkhpdt[fe] + m.
        dFbkdt[fe]
350 model.dKcdt_RHS = Constraint(model.t, rule = dKcdt_rule)
351
352 def dVcdt_rule(m, fe):
353     #print "dVcdt_rule was called for i=",fe
354     return m.dVcdt[fe] == (m.Pw*m.Vw*m.Ama*(m.Oc[fe]-m.Oa0)-m.
        Pw*m.Vw*m.Amb*(m.Ob0-m.Oc[fe]))*1e-1
355 model.dVcdt_RHS = Constraint(model.t, rule = dVcdt_rule)
356
357 def dOcdt_rule(m, fe):
358     #print "dOcdt_rule was called for i=",fe
359     return m.dOcdt[fe] == ((m.dNacdt[fe] + m.dKcdt[fe] + m.
        dClcdt[fe])*m.Vc[fe]*1e-6 - m.dVcdt[fe]*(155 + m.Nac[fe]
        + m.Clc[fe] + m.Kc[fe]))*(1e6)**2/m.Vc[fe]**2 #85 used
        to be m.Oc[fe]
360 model.dOcdt_RHS = Constraint(model.t, rule = dOcdt_rule)
361
362 #Membrane Currents
363 def ApCurrent_rule(m, fe):
364     Ina_ap = 100*m.dFenacdt[fe]*m.zna*m.F
365     Icl_ap = 100*(m.dFcfttrdt[fe] + m.dFaccdt[fe])*m.zcl*m.F
366     Ik_ap = 100*m.dFbkcdt[fe]*m.zk*m.F
367     #print "dIap_rule was called for i=",fe
368     return m.Iap[fe] == Ina_ap + Icl_ap + Ik_ap
369 model.Iap_RHS = Constraint(model.t, rule = ApCurrent_rule)
370
371 def BICurrent_rule(m, fe):
372     Icl_bl = 100*m.dFbcltdt[fe]*m.zcl*m.F
373     Ik_bl = 100*m.dFbkdt[fe]*m.zk*m.F
374     Ipump = 1000*m.dFnakpdt[fe]*m.zna*m.F
375     #print "dIbl_rule was called for i=",fe
376     return m.Ibl[fe] == Icl_bl + Ik_bl + Ipump
377 model.Ibl_RHS = Constraint(model.t, rule = BICurrent_rule)
378
379 # #####
380 #     def ACC_CFTR(m, fe):
381 #         return m.Pcftr >= m.Pacc
382 #     model.ACC_CFTR_rel = Constraint(model.t, rule = ACC_CFTR)
383 # #####
384
385
386 # Kirchhoff Law's
387 def kirchlaw1_rule(m, fe):

```

```

388     #print "Kirch1_rule was called for i=",fe
389     return m.Iap[fe] - m.Ibl[fe] <= 0.0001
390     #return m.Iap[fe] == m.Ibl[fe]
391 model.kirchlaw1 = Constraint(model.t, rule = kirchlaw1_rule)
392
393 def kirchlaw2_rule(m, fe):
394     #print "Kirch2_rule was called for i=",fe
395     return m.Ema[fe] + m.Emb[fe] <= 0.01 #<= 0.0001
396     #return m.Ema[fe] == m.Emb[fe]
397 model.kirchlaw2 = Constraint(model.t, rule = kirchlaw2_rule)
398
399 def _obj(m):
400
401     CurrObj = 0.0
402     #ncol = len(data[0,:])-1
403
404     dummy = sio.loadmat('CFHNEUCperPatient.mat')
405     data = dummy['UCF10']
406     ncol = len(data[0,:])-1
407     datacols = np.arange(1,ncol+1)
408     a=data[:,datacols]
409
410
411     for j in range(ncol):
412         nrow = 0
413         for i in np.arange(0,1010,10):
414             CurrObj = CurrObj + ((3*m.Iap[i]- data[nrow,j+1])**2)
415             CurrObj = CurrObj + ((3*m.Ibl[i]- data[nrow,j+1])**2)
416             CurrObj = CurrObj + ((3*m.Ema[i]- data[nrow,j+1])**2)
417             CurrObj = CurrObj + ((3*m.Emb[i]- data[nrow,j+1])**2)
418             nrow = nrow + 1
419         return CurrObj
420
421     #tdat1 = [30, 60, 120, 240, 500, 700, 900, 1100, 1500, 2000,
422     #         2500, 3000, 3500]
423     #tdat1 = [30, 60, 90, 120, 180, 240, 300, 360, 420, 480,
424     #         520, 600, 800, 1000]
425     #tdat1 = []
426     #tdat1.extend([i for i in range(0,1001,50)])
427
428     #for i in m.time: #tdat1:
429     #    if i < 300:
430     #        CurrObj = CurrObj + (3*m.Iap[i] - 30 )**2
431     #    elif ( i >= 300 and i < 600):
432     #        CurrObj = CurrObj + (3*m.Iap[i] - 12 )**2
433     #    else:
434     #        CurrObj = CurrObj + (3*m.Iap[i] - 21 )**2
435
436     #for j in range(ncol):
437     #    CurrObj = CurrObj + ((m.Iap[i] - HBEdata[tdat1.index(
438     #        i),j+1])**2/np.std(HBEdata[tdat1.index(i),1:]))

```

### D.3 Driver for Ussing Chamber Model

```
8 import numpy as np
9 import math as m
10 import multiprocessing
11 from itertools import product
12 from scipy.optimize import *
13 import least_squares as ls
14 import TicToc as t
15 from pyDOE import *
16 from pyomo.environ import *
17 from pyomo.opt import SolverFactory, SolverStatus, TerminationCondition
18 import pandas as pd
19 from pandas import *
20
21
22 import pylab
23 import numpy as np
24 import scipy as sp
25 import scipy.io as sio
26 import pickle
27 from scipy.stats.distributions import chi2
28 #from UCSim_DAE_wObj import UC_PyomoSyst
29 #from UCSim_DAE_wObj import UC_PyomoSyst
30 #from UCSim_PyomoImplementation import UC_PyomoSyst
31 #from UCSim_PyTest_Amil2 import UC_PyomoSyst
32 #from UCSim_PyTest_HighK_NomrCl import UC_PyomoSyst
33 #from UCSim_PyTest_OpenParBounds import UC_PyomoSyst
34 #from UCSim_PyTest_OpenPar_nopH import UC_PyomoSyst
35 #from UCSim_PyTest_OpenParBounds_CF import UC_PyomoSyst_Open
36 ##from UCSim_PyTest_OpenBar_HNE import UC_PyomoSyst
37 #from UCSim_PyTest_OpenBar_UPHNE import UC_PyomoSyst
38 #from UCSim_PyTest_OpenBar_CFHNE import UC_PyomoSyst
39 #from UCSim_PyTest_OpenBar_UPHNE import UC_PyomoSyst
40 #from UCSim_PyTest_OpenBar_CFHBE import UC_PyomoSyst
41 #from UCSim_PyTest_OpenBar_HNE_noKpump import UC_PyomoSyst
42 #from UCSim_PyTest_OpenBar_HBE_noKpump import UC_PyomoSyst
43 #from UCSim_PyTest_OpenBar_CFHBE_noKpump import UC_PyomoSyst
44 #from UCSim_PyTest_CF254_CorrectorTest import UC_PyomoSyst
45 #from UCSim_PyTest_Amil import UC_PyomoSyst
46 #from UCSim_PyomoImplementation import UC_PyomoSyst
47 #from UCSim_PyTest_OpenBar_HNE_testing import UC_PyomoSyst
48 #from UCSim_PyTest_CFHNE_perPatient import UC_PyomoSyst
49 from UCSim_PyTest_OpenBar_UPHNE import UC_PyomoSyst
50 from matplotlib import pyplot as plt
51 from itertools import repeat
52
53
54 def pyUCSimTest(parParams):
55
56     Va = 4.5
57     Naa = 127.5#*(Va/1e3) #mmol NaCl + NaHCO3
```

```

58 Cla = 125#*(Va/1e3) #mmol NaCl + others
59 Ka = 5#*(Va/1e3) #mmol KCl *****
60 Oa0 = 300#(300*(Va/1e3) - (Naa + Cla + Ka)) # mOsmol
61 Ema0 = -0.03747 # Initial guess apical potential
62
63 ## Basolateral Compartment
64 Vb = 4.5 #mean(vdat(1,:)); %ml
65 Nab = 127.5#*(Vb/1e3) #mmol NaCl + NaHCO3
66 Clb = 125#*(Vb/1e3) #mmol NaCl + others
67 Kb = 5#*(Vb/1e3) #mmol KCl
68 Ob0 = 300#(300*(Vb/1e3) - (Nab + Clb + Kb)) # mOsmol
69 Emb0 = 0.03747 # Initial guess basolateral potential
70
71 ## Cellular Compartment
72 Dtj = 6e-7 # cm
73 Dc = 8e-4 # cm
74 Atj = 0.33*(2*Dtj*Dc)/(Dtj+Dc)**2 # cm^2
75 Ac = 0.33-Atj #cm^2
76 hc0 = 30.2e-4 # cm
77 Vc0 = Ac*hc0*1000 #ul # 1.92ul
78 Nac0 = 20*(Vc0/1e6) # mmol (15)*(Vc0/1e6); %mmole Na+
79 Clc0 = 55*(Vc0/1e6) # mmol 9*(Vc0/1e6); %mmole Cl-
80 Kc0 = 80*(Vc0/1e6) # mmol 150*(Vc0/1e6); %mmole K+
81 #Oc0 = (300*(Vc0/1e6) - (Nac0 + Clc0 + Kc0)) # mmol
82 Oc0 = 300# - (20 + 55 + 140)
83 T = 310 #K
84 F = 96485 #Faraday's constant, C/mol
85 R = 8.314 #Ideal gas, J/K mol
86
87 #params = product(Penac_list, Pcftr_list, Jnkcc_list, Jnakp_list, Pbkca_list, Pbk_list, Pbcl_list,
88 Jnkcc_list, Jnakp_list, Pacc_list)
89 #Passive Ion Channels Permeabilities, related to abundance of channel expression
90 Penac = parParams[0] #7.11e-8 #9.55e-8 #m/s ENaC Permeability
91 Pcftr = parParams[1] #3.7e-8 #2.98e-8 m/s CFTR Permeability
92 Pacc = parParams[7] #1e-8#8e-8 #m/s CaCC Permeability
93 Pbk = parParams[5] #5e-9 #8e-8 #m/s Basolateral Potassium permeability
94 Pbcl = parParams[6] #3e-8 #5.2e-8 #m/s Basolateral Chloride
95 Pbkca = parParams[4] #1.05e-8#7.05e-8 #m/s BKCa permeability **GUESS**
96
97 #Ion Fluxes for pump, and transporters
98 Jnakp = parParams[3] #1.1e-5 #mol/m^2s, maximum flux for NaK pump
99 Jnkcc = parParams[2] #1.6e-5#mol/m^2s, maximum flux for NaKCl cotransporter
100 Jnhe = 1.6e-7#1.6e-6 #1.6e-5 #mol/m^2s;
101 Jae = 5.5e-7#5.5e-6 #mol/m^2s
102 Jnbc = 1.25e-7#1.25e-6 #mol/m^2s
103 Jkhp = 1.25e-7#1.25e-6#1.25e-5 #mol/m^2s
104
105 #Paracellular fluxes
106 Ppna = 2.8e-8 #m/s
107 Ppcl = 3.0e-8 #m/s
108 Ppk = 1.47*Ppna #m/s

```

```

109 # Half max Concentrations for substrates binding to ion channels
110 Venac = 1 #2.3e-6; % m^3 Half-Max ENaC Permeability
111 Vcftr = 1 #1.88e-6; % m^3 Half-Max CFTR Permeability
112 Vacc = 1 #5e-6; %mM
113 Vbkca = 3e-6 #mM ***Guess***
114 Vnakp_k = 1.9 #mM Falkenberg
115 Vnakp_na = 15.7 #mM Falkenberg
116 Vnkcc_na = 105 #mM
117 Vnkcc_k = 1.22 #mM
118 Vnkcc_cl1 = 103 #mM
119 Vnkcc_cl2 = 23.9 #mM
120 Vbkca_v = 20 #mV
121
122 Vnhe_na = 15 #mM
123 Vae_cl = 5.6 #mM
124 Vnbc_na = 24 #mM
125
126 Pw = 220 #um/s
127 Vw = 1.8e-5 #m^3/mol
128
129 Ama = 0.33 #9.5; %cm^2 chnaged from 0.33;%
130 Amb = 0.33*10 #*5cm^2
131
132 Vnhe_h = 4.5e-4 #mM
133 Kp_nhe = 10**-3
134 ap_nhe = 1
135
136 Vae_hco = 10**-4 #mM
137 Kp_ae = 6.85
138 ap_ae = 0.17
139
140 Vnbc_hco = 19 #mM
141 Kp_nbc = 10**-3
142 ap_nbc = 1
143
144 pHc = 7.5
145
146 Hc = 4.2e-5 #mM
147 HCOc = 13 #mM
148 HCOb = 25 #mM
149
150 #Channel initial fluxes
151 Fenac0 = 0.0
152 Fcftr0 = 0.0
153 Facc0 = 0.0
154 Fbkca0 = 0.0
155 Fbk0 = 0.0
156 Fbcl0 = 0.0
157 Fnkccr0 = 0.0
158 Fnkccf0 = 0.0
159 Fnakp0 = 0.0
160 Fae0 = 0.0

```

```

161 Fnhe0 = 0.0
162 Fnbc0 = 0.0
163 Fkhp0 = 0.0
164
165 ## Parameter Structure to pass
166 pfixed = [Penac, Pcftr, Pacc, Pbkca, Pbk, Pbcl,\
167           Jnkcc, Jnakp, Jnhe, Jae, Jnbc, Jkhp, Pw,\
168           Naa, Cla, Ka, Va, Oa0, Nab, Clb, Kb, Vb, Ob0,\
169           Venac, Vcftr, Vacc, Vbkca, Vnkcc_na, Vnkcc_k, Vnkcc_cl1, Vnkcc_cl2,\
170           Vnakp_na, Vnakp_k, Vnhe_na, Vae_cl, Vnbc_na, Vae_hco, Vnhe_h, Vnbc_hco,\
171           Kp_ae, Kp_nhe, Kp_nbc, HC0c, Hc, HC0b, pHc, ap_nbc, ap_nhe, ap_ae, Vw, Ama, Amb]
172
173 par0 = [Nac0, Clc0, Kc0, Vc0, Oc0, Fenac0, Fcftr0, Facc0, Fbkca0, Fbk0,\
174         Fbcl0, Fnkccr0, Fnkccf0, Fnakp0, Fae0, Fnhe0, Fnbc0, Fkhp0, Ema0, Emb0]
175
176
177 # p = [Penac, Pcftr, Pacc, Pbkca, Pbk, Pbcl,\
178 #       Jnkcc, Jnakp, Jnhe, Jae, Jnbc, Jkhp, Pw,\
179 #       Naa, Cla, Ka, Va, Oa0, Nab, Clb, Kb, Vb, Ob0, Oic0,\
180 #       Venac, Vcftr, Vtmem, Vbkca, Vnkcc_na, Vnkcc_k,\
181 #       Vnkcc_cl1, Vnkcc_cl2, Vnakp_na, Vnakp_k, Vnhe_na, Vae_cl, Vnbc_na,\
182 #       Amil, Forsk, But]
183
184 Parname = ['Penac', 'Pcftr', 'Pacc', 'Pbkca', 'Pbk', 'Pbcl', 'Jnkcc', 'Jnakp', 'Jnhe', 'Jae', 'Jn',
185            'Jkhp', 'Pw']
186 ParList = [Penac, Pcftr, Pacc, Pbkca, Pbk, Pbcl, Jnkcc, Jnakp, Jnhe, Jae, Jnbc, Jkhp, Pw]
187
188 #Solver Problems
189 solver = 'ipopt'
190 nfe = 500
191 stepsize = 1
192
193 print(params)
194
195 #Flag is a output variable that signals the convergence condition of the run.
196 #0: Optimization crashed
197 #1: Optimal condition found
198 #2: Infeasible condition found
199 #3: I dont know
200
201 try:
202     instance, results = UC_PyomoSyst(solver, nfe, stepsize, pfixed, par0)
203     print((results.solver.termination_condition == TerminationCondition.infeasible))
204     print((results.solver.termination_condition == TerminationCondition.optimal))
205     #instance.load(results)
206     if (results.solver.status == SolverStatus.ok) and (results.solver.termination_condition ==
207         TerminationCondition.optimal):
208         flag = 1
209     elif (results.solver.termination_condition == TerminationCondition.infeasible):
210         flag = 2
211     else:
212         flag = 3

```



```

212
213 except:
214     print('++++++ERROR-----ERROR-----ERROR-----ERROR++++++')
215
216     par_dict = {}
217
218     for i in range(len(Paname)):
219         par_dict[Parname[i]] = ParList[i]
220
221     par_dict[len(Paname)] = 'ERROR Throw Initial values'
222     flag = 0
223     return par_dict
224
225 #output = open('PyomoFirstRun.pkl', 'wb')
226 #pickle.dump(instance, output)
227 #output.close()
228
229 #Unpacking model instance
230 Penac = value(instance.Penac)
231 Pcftr = value(instance.Pcftr)
232 Pacc = value(instance.Pacc)
233 Pbkca = value(instance.Pbkca)
234 Pbk = value(instance.Pbk)
235 Pbcl = value(instance.Pbcl)
236 Jnkcc = value(instance.Jnkcc)
237 Jnakp = value(instance.Jnakp)
238 Jnhe = value(instance.Jnhe)
239 Jae = value(instance.Jae)
240 Jnbc = value(instance.Jnbc)
241 #Jkhp= value(instance.Jkhp)
242 Pw = value(instance.Pw)
243
244 #equate initial value for results array
245 t = [0.0]
246 #cell states
247 Nac = [Nac0]
248 Clc = [Clc0]
249 Kc = [Kc0]
250 Vc = [Vc0]
251 Oc = [Oc0]
252 #cell state differentials
253 dNacdt = []
254 dClcdt = []
255 dKcdt = []
256 dVcdt = []
257 dOcdt = []
258 #ion Fluxes
259
260 Fenac = []
261 Fcftr = []
262 Facc = []
263 Fbkca = []
264 Fbk = []

```

```

265 Fbcl = []
266 Fnkccr = []
267 Fnkccf = []
268 Fnakp = []
269 Fae = [0]
270 Fnhe = [0]
271 Fnbc = [0]
272 Fkhp = []
273 #electrophys states
274 Ema = []
275 Emb = []
276 Iap = []
277 Ibl = []
278
279 tdata = []
280 tdata.extend([i for i in range(0,100,2)])
281
282 for fe in instance.time:
283     t.append(fe)
284     #Cellular states
285     Nac.append(value(instance.Nac[fe]))
286     Clc.append(value(instance.Clc[fe]))
287     Kc.append(value(instance.Kc[fe]))
288     Vc.append(value(instance.Vc[fe]))
289     Oc.append(value(instance.Oc[fe]))
290     #Derivatives of cell states
291     dNacdt.append(value(instance.dNacdt[fe]))
292     dClcdt.append(value(instance.dClcdt[fe]))
293     dKcdt.append(value(instance.dKcdt[fe]))
294     dVcdt.append(value(instance.dVcdt[fe]))
295     dOcdt.append(value(instance.dOcdt[fe]))
296     #Ion channels, and cotransporter Fluxes
297     Fenac.append(value(instance.dFenacdt[fe]))
298     Fcftr.append(value(instance.dFcftrdt[fe]))
299     Facc.append(value(instance.dFaccdt[fe]))
300     Fbkca.append(value(instance.dFbkcadtdt[fe]))
301     Fbk.append(value(instance.dFbkdt[fe]))
302     Fbcl.append(value(instance.dFbcldt[fe]))
303     Fnkccr.append(value(instance.dFnkccrdt[fe]))
304     Fnkccf.append(value(instance.dFnkccfdt[fe]))
305     Fnakp.append(value(instance.dFnakpdt[fe]))
306     #Fae.append(value(instance.dFaedt[fe]))
307     #Fnhe.append(value(instance.dFnhedt[fe]))
308     #Fnbc.append(value(instance.dFnbcdt[fe]))
309     #Fkhp.append(value(instance.dFkhpdt[fe]))
310     #Electrophysiology variables
311     Ema.append(value(instance.Ema[fe]))
312     Emb.append(value(instance.Emb[fe]))
313     Iap.append(value(instance.Iap[fe]))
314     Ibl.append(value(instance.Ibl[fe]))
315
316

```

```

317 RList = [parParams, t, Nac, Clc, Kc, Vc, Oc, Fenac, Fcfr, Facc, Fbkca, Fbk, Fbcl, Fnkccr, \
318         Fnkccf, Fnakp, Fae, Fnhe, Fnbc, Fkhp, Ema, Emb, Iap, Ibl, Penac, Pcftr, Pacc, Pbkca, Pbk, Pbcl,
        Jnakp, Jnhe, Jae, Jnbc, Jkhp, Pw, flag]
319 KeyList = ['par0', 'time', 'Nac', 'Clc', 'Kc', 'Vc', 'Oc', 'Fenac', 'Fcfr', 'Facc', 'Fbkca', 'Fbk',
        'Fnkccr', \
320         'Fnkccf', 'Fnakp', 'Fae', 'Fnhe', 'Fnbc', 'Fkhp', 'Ema', 'Emb', 'Iap', 'Ibl', 'Penac', 'Pcftr',
        'Pbkca', \
321         'Pbk', 'Pbcl', 'Jnkcc', 'Jnakp', 'Jnhe', 'Jae', 'Jnbc', 'Jkhp', 'Pw', 'flag']
322
323 params2 = np.array([Penac, Pcftr, Jnkcc, Jnakp, Pbkca, Pbk, Pbcl, Pacc])
324 print(params2)
325 print('+++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++++')
326 #print(Iap)
327
328 #print(Ibl)
329 # ParList = [Penac, Pcftr, Pacc, Pbkca, Pbk, Pbcl, Jnkcc, Jnakp, Jnhe, Jae, Jnbc, Jkhp, Pw]
330 # Parname = ['Penac', 'Pcftr', 'Pacc', 'Pbkca', 'Pbk', 'Pbcl', 'Jnkcc', 'Jnakp', 'Jnhe', 'Jae', 'Jnbc', 'Jkhp', 'Pw']
331
332 results_dict = {}
333 # par_dict = {}
334
335 for i in range(len(KeyList)):
336     results_dict[KeyList[i]] = RList[i]
337
338 # for i in range(len(Parname)):
339 #     par_dict[Parname[i]] = ParList[i]
340 #     #model = parmodel.parmodel()
341
342 return results_dict
343
344 #Latin HyperCube Sampling
345 #numDim = 8
346 #numSamp = 2
347
348
349 ##Regular Parameters
350 Penac = 7.11e-8 #9.55e-8 #m/s ENaC Permeability
351 Pcftr = 3.7e-8 #2.98e-8 m/s CFTR Permeability
352 Pacc = 8e-8 #m/s CaCC Permeability
353 Pbk = 5e-9 #8e-8 #m/s Basolateral Potassium permeability
354 Pbcl = 3e-8 #5.2e-8 #m/s Basolateral Chloride
355 Pbkca = 7.05e-8 #m/s BKCa permeability **GUESS**
356 Jnakp = 1.1e-5 #mol/m^2s, maximun flux for NaK pump
357 Jnkcc = 1.6e-5 #mol/m^2s, maximun flux for NaKCl cotransporter
358 Jnhe = 1.6e-5 #mol/m^2s;
359 Jae = 5.5e-6 #mol/m^2s
360 Jnbc = 1.25e-6 #mol/m^2s
361 Jkhp = 1.25e-5 #mol/m^2s
362 #####
363
364
365 tictoc2 = t.TicTocGenerator()

```

```

366
367 #max_numProcesses = 1
368 #pool = multiprocessing.Pool(max_numProcesses)
369 #soltemp = { 'Header' : 'Header'}
370 #sio.savemat('ParSearchPyomo_UCSimTenshi.mat', soltemp) #Create save
371 results = []
372
373 #Latin HyperCube Sampling
374 numDim = 8
375 numSamp = 15
376
377 #Enac, CFTR, BKCa, BK, BCl, NKCC, NakP
378 # lb = np.array([1e-10, 1e-10, 1e-10, 1e-10, 1e-9, 1e-9, 1e-10, 1e-10])
379 # ub = np.array([9e-6, 9e-6, 9e-6, 1e-7, 1e-7, 1e-7, 1e-7, 9e-8])
380
381 # Tentative HNE range
382 lb = np.array([1e-11, 1e-14, 1e-11, 1e-11, 1e-10, 1e-10, 1e-9, 1e-9])
383 ub = np.array([1e-5, 1e-7, 1e-5, 1e-5, 1e-6, 1e-6, 1e-5, 1e-5])
384 bdiff = ub - lb
385
386 lhsmatrix = lhs(numDim, samples = numSamp)
387 lhsmatrix_ad = lhsmatrix * bdiff #chnage index depending on value being varied
388 lhsmatrix_fin = lhsmatrix_ad + lb #chnage index depending on value being varied
389 # Penac_list = lhsmatrix_fin[:,0]
390 # Pcftr_list = lhsmatrix_fin[:,1]
391 # Pbkca_list = lhsmatrix_fin[:,2]
392 # Pbk_list = lhsmatrix_fin[:,3]
393 # Pbc_l_list = lhsmatrix_fin[:,4]
394 # Jnkcc_list = lhsmatrix_fin[:,5]
395 # Jnakp_list = lhsmatrix_fin[:,6]
396 # Pacc_list = lhsmatrix_fin[:,7]
397
398 for i in range(numSamp):
399     t.tic(tictoc2)
400
401     soldict = {}
402     soltemp = {}
403     #params = [Penac_list, Pcftr_list, Jnkcc_list, Jnakp_list, Pbkca_list, Pbk_list, Pbc_l_list, Pacc_
404     params = lhsmatrix_fin[i,:]
405     results.append(pyUCSimTest(params))
406     soltemp = results[i]
407     InitialGuess = {"InitialGuess%d" %i: params for i in range(len(params))}
408     soldict = {"ParSearch_Run%d" %i: soltemp for i in range(len(soltemp))} #Change list based on parame
being varied
409     j = i
410     print(i)
411     #with open('ParSearchPyomo_UCSimTenshi.mat','ab') as f:
412     #sio.savemat('ParSearchPyomo_UCSimTenshi_CF_%d.mat' %j, soldict)
413     t.toc(tictoc2)
414
415
416 sollist = []
417 for item in results:

```

```

416 sollist = []
417 for item in results:
418     sollist.append(item)
419
420 soldic = {"Run%d" %i : sollist[i] for i in range(len(sollist)) }
421 #optdict = {"Run%d_optinfo" %i: results[i-1][2] for i in range(len(results))}
422
423 #Chnage file name, dependng on channel
424 sio.savemat("MultiVarLHS_PyomoParSearchTest_TitanUP9_NaivePool_wFeasibilityCheck", soldic)

```

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